

1

APPENDIX 5.H

2

AQUATIC CONSTRUCTION EFFECTS

3

4

ADMINISTRATIVE DRAFT

5

BAY DELTA CONSERVATION PLAN

6

7

8

9

February 2012



10

11

Administrative Draft

- 1 ICF International. 2012. *Appendix 5.H: Aquatic Construction Effects.*
- 2 *Administrative Draft. Bay Delta Conservation Plan.* February.
- 3 (ICF 00282.11).

Aquatic Construction Effects

1
2
3
4
5
6
7
8
9
10
11
12

H.0 Executive Summary

Construction and maintenance activities associated with several conservation measures included in the preliminary proposal have the potential to cause adverse effects on covered fish species. The specific conservation measures and the types of effects they may have on covered fish species are listed in Table H.0-1. Analysis of these potential effects was conducted based on engineering data developed to date, assumptions made based on monitoring data for similar projects, and assumptions made about restoration design. All of these effects are temporary and localized to the area of construction or maintenance, and none are expected to result in any substantial adverse effects on the covered fish species (Table H.0-2). The following section summarizes conclusions of construction and maintenance effects.

13 **Table H.0-1. Conservation Measures that Would Result in Construction-Related Effects**

CM	Title/Description	Construction Elements (Aquatic Only)
1	Water Facilities and Operations	<ul style="list-style-type: none"> • Clearing and grubbing/demolition on the riverbank at each of the five intake locations • Detour and levee reinforcement on the riverbank at each of the five intake locations • Setback levee on the riverbank at each of the five intake locations • Sheet pile cell (cofferdam) at each of the five intake locations on the riverbank and in the river channel • Dewatering of the cofferdam area • Excavation and dredging at each of the five intake locations on the riverbank and in the river channel after the cofferdam is constructed • Foundation piles for each of the five intakes on the riverbank and channel after the cofferdam is constructed • Armor and restoration at each of the five intake locations on the riverbank and in the river channel after the cofferdam is constructed • Six barge landings that will include clearing and grubbing (most likely limited to any riparian areas in the path of equipment used to construct the landings, and access for equipment, unloading and offloading supplies from the landings), pile driving, construction of the dock on top of the piles, and ultimately dismantling of the dock and cutting off the piles
2	Yolo Bypass Fisheries Enhancements	<ul style="list-style-type: none"> • Physical modifications to Fremont Weir and Yolo Bypass (e.g., new/modified fish ladders, new gated seasonal floodplain channel) • Fish screens at Yolo diversions • New/replaced Tule Canal and toe drain impoundment structures and agricultural crossings • Lisbon Weir improvements (e.g., fish gate) • Lower and upper Putah Creek improvements (e.g., realignments) • Fish barriers at Knights Landing Ridge Cut and Colusa Basin Drain • Physical and nonphysical barriers in Sacramento River (e.g., bubble curtains or log booms) • Levee improvements • Removal of berms, levees, etc., and construction of berms, levees, reworking of

CM	Title/Description	Construction Elements (Aquatic Only)
		agricultural, delivery channels, etc. • Sacramento Weir Improvements (could include a channel from Sac River to Sac Weir and from Sac Weir to Toe Drain)
4	Tidal Natural Communities Restoration	• Restoration and creation of channel networks; deepening/widening channels • Levee and embankment removal and construction
5	Seasonally Inundated Floodplain Restoration	• Set back, remove, and/or breach levees • Remove riprap and bank protection between setback levees • Modify channels • Create floodway bypasses
6	Channel Margin Enhancement	• Remove riprap from channel margins • Modify or set back levees • Install large woody material in levees
7	Riparian Natural Community Restoration	• Remove riprap • Modify levees and/or channel modification, including possible bench construction • Install riparian plantings
9	Vernal Pool Complex Restoration	• Excavate or recontour historical vernal pools; because vernal pools typically have no outlets to receiving waters used by covered fish, this conservation measure will not result in any effects on covered fish
10	Nontidal Marsh Restoration	• Establish connectivity with existing water conveyance system • Grade to create wetland topography
12	Methylmercury Management	• Provide site-specific characterization and monitoring to mitigate methylmercury production during construction and operations • This conservation measure does not result in construction; therefore, conservation measure will not result in any construction effects on covered fish; however, methylmercury and this conservation measure are discussed in the context of potentially disturbing sediment containing methylmercury during construction
14	Stockton Deep Water Ship Channel Dissolved Oxygen Levels	• Possible construction of additional aeration facilities
15	Predator Control	• Removal of unused predator-housing structures (e.g., old piers, abandoned boats)
16	Nonphysical Fish Barriers	• Install nonphysical fish barriers (e.g., sounds, light, bubbles)
18	Conservation Hatcheries	• Possible bank and channel construction
19	Urban Stormwater Treatment	• Establish vegetative buffer strips • Construct bioretention systems
21	Nonproject Diversions	• Removal/relocation of unscreened diversions • Consolidation of existing smaller unscreened diversions into one larger screened diversion

1 H.0.1 Summary of Conclusions

2 H.0.1.1 Conservation Measure 1

3 **Construction of the new intake facilities will result in localized, temporary increases in turbidity**
4 **and associated suspended sediments that may contain contaminants, but those increases would**
5 **be minimized through standard monitoring and sediment control measures. Additionally, as with**
6 **any construction activities, there is potential for accidental spills of fuels and lubricants. All of**
7 **these effects would be monitored and controlled during construction by BDCP minimization**
8 **measures and permit requirements.**

9 Cofferdam installation at the intakes and pile driving at the barge landings will disturb bottom
10 sediments and could result in temporary turbidity levels that could affect covered fish species. In-
11 water construction activities that could generate increased turbidity are not continuous. Sheet pile
12 driving for the cofferdams would occur during an approximately 8-hour period each day for up to 5
13 months (during the in-water work window of June through October). In-water work associated with
14 constructing the barge landings could take several weeks but also would be confined to 8-hour
15 periods each work day.

16 Of the urban-related toxic constituents identified in Appendix D, *Contaminants*, metals (lead and
17 copper), hydrocarbons, organochlorine pesticides, and polychlorinated biphenyls (PCBs) are
18 common urban contaminants with the greatest affinity for sediments and potentially could be
19 present in sediments that will be disturbed during installation of the cofferdams. In addition,
20 mercury is present in the Sacramento River and could be sequestered in bottom sediments. The
21 barge landings would be constructed on smaller waterways and are more likely to have agriculture-
22 related toxins, including copper and organochlorine pesticides.

23 Sediment disturbance caused by in-water construction may cause localized and temporary turbidity
24 and the suspension of potentially contaminated sediments. These effects would be minimized by
25 compliance with required local permits, clearances, and National Pollutant Discharge Elimination
26 Service (NPDES) permits or other waste discharge requirements (WDRs) from the Regional Water
27 Quality Control Board (Regional Water Board) and implementation of appropriate best management
28 practices (BMPs) to protect water resources from contamination (including measures in CM22).

29 Additionally, as with any construction activity, accidental spills may occur, but implementation of
30 *CM22 Avoidance and Minimization Measures* and avoidance and minimization measures in
31 Appendix 3C would reduce the potential for introduction of contaminants to surface waters and
32 provide for effective containment and cleanup should accidental spills occur.

33 **Construction of the cofferdams and barge landings at each intake requires pile driving. If piles are**
34 **driven with a vibratory driver, all underwater sound impacts on covered fish will be avoided. If**
35 **impact pile driving is needed, localized (up to 3,280 feet in either direction), temporary (up to 5**
36 **months from June through October), and intermittent (over a period of 8 hours per day) increases**
37 **in underwater noise would occur in the mainstem Sacramento River at the intake and barge**
38 **landing locations, some of which could exceed injury and harm thresholds. However, this activity**
39 **would occur when most covered fish species are absent. If it occurs, impact pile driving potentially**
40 **could affect low numbers of migrating adults and rearing juvenile Chinook, steelhead, sturgeon,**
41 **and lamprey.**

42 To the extent possible, the cofferdam necessary for the intake construction would be installed using
43 a vibratory pile driver, which would not cause physical injury to covered fish species. However, the

1 geologic conditions at each intake site determine the type of pile driving used. Impact pile driving
2 may be required if hard substrate is encountered, which generates underwater sound levels that
3 exceed injury and harm thresholds for fish, as opposed to vibratory pile driving. At other locations
4 along the Sacramento River (e.g., Freeport intake location north of the proposed north Delta intake
5 sites), the geologic conditions permitted vibratory pile driving approximately 70% of the time and
6 but hard substrate required impact pile driving approximately 30% of the time. Therefore, if impact
7 pile driving is necessary to construct the cofferdam, on those days when impact pile driving occurs
8 there could be periods of time when the underwater sound levels exceed injury and harm thresholds
9 set by National Marine Fisheries Service (NMFS). During impact pile driving for construction of the
10 cofferdams in the Sacramento River for north Delta intakes, up to 3,280 feet of channel area up- and
11 downstream of the piles that are impact driven at each intake could be exposed to sound levels
12 exceeding thresholds considered protective of fish. The upstream migration of several covered fish
13 may coincide with these increases in noise, including green and white sturgeon, early late-fall run
14 Chinook salmon, late spring-run Chinook salmon, and early steelhead. Likewise, late juvenile
15 outmigrating salmonids and delta smelt adults, eggs, and larvae may be present in June. Because of
16 the timing of construction (June through October), most life stages are not present in the vicinity
17 that would be affected. For those life stages that have the potential to be present, only a small
18 portion of the population is expected to be exposed to the increased noise because increased noise
19 would occur at the end or beginning of peak migration periods. Chinook, sturgeon, splittail, and
20 lamprey adults and juveniles may be able to move away from the area affected by the noise. If it
21 occurs, the noise generated at each intake location would be intermittent over a period of 8 hours
22 each day. Effects on covered fish species would be low to moderate, depending on the duration of
23 exposure and the actual need for impact driving (vs. vibratory driving).

24 Except for splittail and delta smelt, no spawning occurs in this area, so no egg or fry life stages of
25 Chinook salmon or steelhead would be affected, and no egg or larvae life stages of sturgeon or
26 lamprey would be affected. Few delta smelt or splittail adults would be expected in the vicinity of
27 the intake construction because it is not their primary habitat area. Overall, there could be instances
28 of take and/or disruption of migration during intake construction, but exceedance of noise
29 thresholds underwater would occur over a 5-month period when the fewest fish, and therefore the
30 lowest potential for effect, would occur.

31 **Construction of the new intake facilities would result in a permanent loss of 3.9 miles of low**
32 **quality channel margin habitat for migrating, rearing and spawning and the permanent loss of up**
33 **to 16.6 acres of open water habitat in the mainstem Sacramento River; it would result in the**
34 **temporary loss of up to 22.6 acres of open water habitat. These permanent and temporary**
35 **impacts would occur in five roughly even patches on one side of the river, at each intake location.**
36 **These losses would be offset by proposed restoration.**

37 The affected habitat associated with the intake facilities is currently armored levee bank with
38 limited riparian vegetation of low value for species rearing. At each intake, between 2.9 and
39 5.1 acres of river area would be located behind the cofferdam and temporarily lost during in-water
40 work. The temporary channel habitat modification that would occur during construction would
41 occur along the entire length of the intakes on the bank of the Sacramento River to depths of
42 approximately 10 feet. During the in-water construction period, between approximately 17 and
43 20 acres of in-water habitat would be affected because four intakes would be constructed at any
44 given time. These temporary effects would result in the loss of low-quality spawning, rearing, and
45 migration habitat for covered fish species. Likewise, the footprints of the intakes would result in
46 permanent loss of up to 4,100 feet of bank habitat at each intake, totaling 3.9 miles. Implementation

1 of *CM6 Channel Margin Enhancement* would include enhancing 20 miles of the Sacramento River,
2 including the vicinity of the intake structures. Channel margin enhancement would be designed to
3 improve habitat function in the north Delta along important migratory and rearing routes.
4 Additionally, *CM4 Tidal Natural Communities Restoration* would provide substantially more rearing
5 and spawning habitat for delta smelt and splittail.

6 **H.0.1.1.2 Other Conservation Measures**

7 **Construction associated with non-physical barriers (CM16), removal of in-water structures**
8 **(CM15), Stockton Deep Water Ship Channel dissolved oxygen (DO) (CM14), and tidal and nontidal**
9 **restoration and enhancement (CM2, CM4, CM5, CM6, CM7, and CM10) would be located**
10 **throughout the Plan Area and would cause temporary localized noise, turbidity, and the potential**
11 **for accidental spills at each specific site similar to, but of a much smaller magnitude than, that**
12 **described for construction of the intakes. Monitoring, adherence to permit requirements during**
13 **construction, timing activities when species are less likely to be present, and implementation of**
14 **CM22 and measures presented in Appendix 3C will reduce the potential for this to affect covered**
15 **fish species.**

16 Similar to the intake construction, construction activities associated with *CM2 Yolo Bypass Fisheries*
17 *Enhancement*, *CM4 Tidal Natural Communities Restoration*, *CM5 Seasonally Inundated Floodplain*
18 *Restoration*, *CM6 Channel Margin Enhancement*, *CM7 Riparian Natural Community Restoration*, *CM10*
19 *Nontidal Marsh Restoration*, *CM14 Stockton Deep Water Ship Channel Dissolved Oxygen Levels*, *CM15*
20 *Predator Control*, *CM16 Nonphysical Fish Barriers*, and *CM21 Nonproject Diversions* would be limited
21 to periods of lowest fish density and would include implementation of minimization measures
22 described in CM 22 and Appendix 3C. Underwater noise associated with in-water construction for
23 these conservation measures is not expected to be as high as that estimated for the new intakes,
24 primarily because vibratory hammers are expected to be used for construction of these structures
25 (e.g., non-physical barriers and intake screens) when pile driving is necessary, which is not expected
26 to result in adverse effects on fish. Similarly, removal of in-water structures would be conducted
27 using a vibratory hammer or crane on a barge. Other in-water construction, such as dredging, would
28 not exceed noise thresholds. The magnitude of water quality effects on covered fish from turbidity
29 and associated suspended sediments and from accidental spills also would be of lower magnitude
30 than that described for the intakes, and would be minimal overall because of the very temporary and
31 localized nature of the activities and the timing of activities outside periods of high fish density.
32 Accidental spills and turbidity would be minimized through measures described in CM22 and
33 Appendix 3C, as well as requirements of the permits necessary to construct these facilities.

34 **Restoration construction and construction associated with non-physical barriers, removal of in-**
35 **water structures, and intake screening have the potential to permanently or temporarily remove**
36 **or disturb aquatic habitats as a result of levee breaching or other activities that directly or**
37 **indirectly (e.g., bank scour) result in the loss of habitat. However, this removal or temporary**
38 **disturbance is expected to be small, highly localized, and fully offset by the benefits provided by**
39 **the conservation measures.**

40 The restoration, intake screening, in-water structure removal, and nonphysical barriers would
41 result in very minor loss or changes in habitat, with exact amounts depending on the specific areas
42 and design of the conservation measures. For the most part, these activities can be located in areas
43 that avoid or minimize effects on sensitive habitats to the extent possible. Tidal marsh restoration
44 included in *CM4* would provide substantially more rearing and spawning habitat for covered fish

1 that would more than offset the potential temporary and minor changes in habitat resulting from
2 construction of these conservation measures.

3 **Periodic maintenance of the intake facilities and other structures and at the restoration sites has**
4 **the potential to temporarily increase localized noise in the vicinity of the intakes and structures;**
5 **except during emergencies, maintenance can be planned during nonmigratory periods to**
6 **minimize effects on fish.**

7 No maintenance activities are expected to use an impact pile driver, and therefore underwater noise
8 is expected to be minimal. Similarly, minimal sediment disturbance is anticipated. As with all in-
9 water construction activities, there is potential for accidental spills, but that potential would be
10 minimized through measures described in CM22 and measures identified in Appendix 3C. These
11 activities also would be timed to avoid periods of high fish densities, except during emergencies.

12 **All in-water construction and maintenance activities have the potential to directly harm or kill**
13 **individual fish, but CM22 and measures in Appendix 3C, including timing activities to periods of**
14 **lowest fish density, would be implemented to minimize this effect to the extent possible;**
15 **emergency maintenance may require in-water activities during periods of high fish density.**

16 All in-water work activities have the potential to directly harm or kill individual fish in the vicinity of
17 the construction activities, but no major effects on species are expected from these activities.
18 Overall, construction and maintenance associated with the preliminary proposal would be spread
19 throughout the Plan Area and would occur primarily during periods of low fish density and over the
20 permit implementation period (50 years). CM22 would minimize and avoid many potential effects,
21 and other conservation measures, including CM2, CM4, CM5, CM6, and CM7, would include
22 restoration and other operational improvements to provide alternative habitats and areas of refuge
23 from construction activities. Except for during emergencies, direct effects on individuals during
24 construction or maintenance are expected to be minimal.

25

1 **Table H.0-2. Potential for Effects of Construction and Maintenance Activities on Covered Fish Species from the Preliminary Proposal**

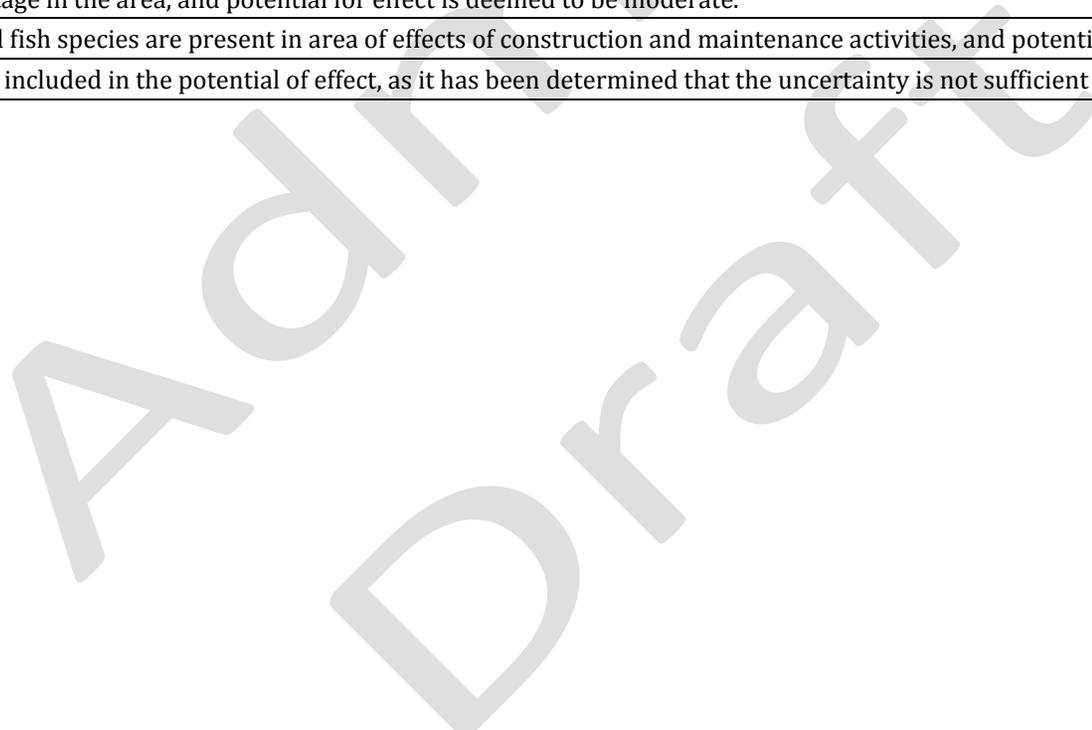
Species	Life Stage	BDCP Subregions								Total Effects
		Yolo Bypass	Cache Slough	North Delta	West Delta	Suisun Bay	Suisun Marsh	East Delta	South Delta	
Delta smelt	Egg	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM	RC, RM	RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Larva	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM		RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Longfin smelt	Egg	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Larva	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile					RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Steelhead	Egg/Embryo									
	Fry									
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC*, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC*, FM, OCC, OCM, RC, RM	FC*, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Winter-run Chinook salmon	Egg/Embryo									
	Fry	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM					FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM

Species	Life Stage	BDCP Subregions								Total Effects
		Yolo Bypass	Cache Slough	North Delta	West Delta	Suisun Bay	Suisun Marsh	East Delta	South Delta	
Spring-run Chinook salmon	Egg/Embryo									
	Fry									
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
Fall-/late fall-run Chinook salmon	Egg/Embryo									
	Fry	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Late fall-run Chinook salmon	Egg/Embryo									
	Fry									
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
Sacramento splittail	Egg/Embryo	RC, RM	RC, RM	FC, FM, OCC, OCM, RC, RM	RC, RM		RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Larvae	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM

Species	Life Stage	BDCP Subregions								Total Effects
		Yolo Bypass	Cache Slough	North Delta	West Delta	Suisun Bay	Suisun Marsh	East Delta	South Delta	
White sturgeon	Egg/Embryo									
	Larva	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Green sturgeon	Egg/Embryo									
	Larva									
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Pacific lamprey	Egg/Embryo									FC, FM, OCC, OCM, RC, RM
	Ammocoete	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM				FC, FM, OCC, OCM, RC, RM		FC, FM, OCC, OCM, RC, RM
	Macrophthalmia	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
River lamprey	Egg/Embryo									
	Ammocoete									
	Macrophthalmia	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM

Species	Life Stage	BDCP Subregions								Total Effects
		Yolo Bypass	Cache Slough	North Delta	West Delta	Suisun Bay	Suisun Marsh	East Delta	South Delta	
FC = facility construction; FM = facility maintenance; RC = restoration construction; RM = restoration maintenance; OCC = other conservation measures construction; OCM = other conservation measures maintenance. *Underwater sound generated by impact pile driving is resulting in the moderate effect. Categories of effect of construction as result of BDCP:										
Potential for Effects:										
None	None: Covered fish species are not present in area of effects of construction and maintenance activities.									
Low	Low: Covered fish species are present in area of effects of construction and maintenance activities but have low abundance of species/life stage in the area, and no or low effects on covered fish species are identified.									
Medium	Medium: Covered fish species are present in area of effects of construction and maintenance activities but have a moderate abundance of species/life stage in the area, and potential for effect is deemed to be moderate.									
High	High: Covered fish species are present in area of effects of construction and maintenance activities, and potential for effect is deemed to be high.									
Note: Uncertainty is not included in the potential of effect, as it has been determined that the uncertainty is not sufficient to indicate elevated effects.										

1



Appendix 5.H

Aquatic Construction Effects

Contents

	Page
Appendix H Aquatic Construction Effects	H.0-1
H.0 Executive Summary	H.0-1
H.0.1 Summary of Conclusions	H.0-3
H.1 Organization of Appendix.....	H-1
H.2 Introduction.....	H-1
H.3 Information on Covered Fish Species.....	H-9
H.4 Construction and Maintenance Activities	H-13
H.4.1 Construction Activities.....	H-13
H.4.2 Maintenance Activities	H-20
H.5 Methods Used to Evaluate Potential Construction and Maintenance Effects on Covered Fish Species	H-21
H.6 Results of Analysis of Construction Effects on Covered Fish Species.....	H-28
H.6.1 Conservation Measure 1: Water Supply Facilities.....	H-28
H.6.2 Conservation Measures 2, 4, 5, 6, and 7: Restoration Measures.....	H-51
H.6.3 Other Conservation Measures.....	H-53
H.7 Maintenance-Related Effects	H-57
H.7.1 Underwater Sound	H-57
H.7.2 Water Quality	H-57
H.7.3 Habitat Modification.....	H-58
H.7.4 Physical Injury or Loss of Individuals	H-58
H.8 Conclusions.....	H-59
H.9 References.....	H-64
H.9.1 Personal Communications.....	H-73

List of Tables

	Page
Table H.0-1. Conservation Measures that Would Result in Construction-Related Effects.....	H.0-1
Table H.0-2. Potential for Effects of Construction and Maintenance Activities on Covered Fish Species from the Preliminary Proposal	H.0-7
Table H.2-1. Main Construction Elements of BDCP Conservation Measures with Potential to Affect Aquatic Environments (details of these measures provided in Chapter 3).....	H-2
Table H.2-2. Construction and Maintenance Activities, Stressors and Potential Effects on Covered Fish Species	H-5

1	Table H.3-1. Potential Monthly Distribution of Adults and Juveniles of Non-Salmonid Fish Species in Plan	
2	Area	H-10
3	Table H.3-2. Potential Monthly Distribution of Adults and Juveniles of Salmonids	H-11
4	Table H.4-1. Dimensions of North Delta Intakes and Associated Construction Footprints	H-14
5	Table H.5-1. Potential for Construction Activities to Affect Water Quality	H-26
6	Table H.6-1. Life Stages of Covered Species Present in the North, East and South Delta Subregions during	
7	the in-Water Construction Window (June 1–October 31)	H-36
8	Table H.6-2. Length, Width, and Area of Water Bodies Potentially Exposed to Impact Pile Driving Noise	
9	above 183 dB SELcumulative If It Is Required	H-38
10	Table H.6-3. Species and Duration of Exposure to Impact Pile Driving during Cofferdam Installation..	H-39
11	Table H.6-4. Temporary Channel Habitat Modification (Miles).....	H-48
12	Table H.6-5. Permanent Channel Habitat Modifications (Miles).....	H-49
13	Table H.8-1. Construction and Maintenance Activities Associated with Conservation Measures and	
14	Potential Stressors and Effects on Fish and Fish Habitat	H-60
15		

16 List of Figures

	Page	
17		
18	Figure H.4-1. Representative Intake with Cofferdam	H-15
19	Figure H.6-1. Sheet Pile Impact Driving (Single Strike SEL = 180 dB at 10 m).....	H-32
20	Figure H.6-2. 24-Inch Steel Pipe Pile in Dewatered Cofferdam Impact Driving	
21	(Single Strike SEL = 167 dB at 10 m)	H-33
22	Figure H.6-3. 24-Inch Steel Pipe Pile Impact Driving (Single Strike SEL = 177 dB at 10 m)	H-34
23	Figure H.6-4. Tunnel Option Intake and Barge Landing Locations	H-35
24		

1 Acronyms and Abbreviations

BDCP	Bay Delta Conservation Plan
Caltrans	California Department of Transportation
CIDH	cast-in-drilled hole
CM	conservation measure
cm	centimeter
CWA	Clean Water Act
dB	decibels
DO	dissolved oxygen
DPS	Distinct Population Segment
DWR	California Department of Water Resources
LED	light-emitting diode
MCY	million cubic-yards
MIL	Modulated Intense Light
NMFS	National Marine Fisheries Service
NPDES	National Pollutant Discharge Elimination Service
NTU	nephelometric turbidity unit
RM	River Mile
RMS	root mean squared
ROA	restoration opportunity area
RWQCB	Regional Water Quality Control Board
SAV	submerged aquatic vegetation
SEL	sound exposure level
SEL _{cumulative}	Cumulative sound exposure level
SR	State Route
SWPPP	stormwater pollution prevention plan
WDR	waste discharge requirement

2

Aquatic Construction Effects

H.1 Organization of Appendix

This appendix provides details of technical analyses of effects of restoration on covered fish species under the Bay Delta Conservation Plan (BDCP). The appendix is organized as follows.

- **Section H.2, *Introduction***, provides a summary of the different construction activities associated with each conservation measure, stressors, and potential effects.
- **Section H.3, *Fish Species Presence***, describes fish species anticipated to occur in different plan areas throughout the year and the habitat they use.
- **Section H.4, *Construction and Maintenance Activities***, describes the phasing, timing, and activities anticipated under each conservation measure.
- **Section H.5, *Methods***, outlines the methods used to assess the effects of the stressors associated with construction and maintenance on the covered fish species.
- **Section H.6, *Construction-Related Effects***, identifies the effects on covered fish species by life stage and region associated with construction activities for each conservation measure.
- **Section H.7, *Maintenance-Related Effects***, identifies the effects on covered fish species by life stage and region associated with construction activities for each conservation measure.
- **Section H.8, *Conclusions of Effects of Construction***, summarizes the overall results of the construction and maintenance effect analyses.

H.2 Introduction

This appendix analyzes the potential effects on the aquatic environment and covered fish species associated with construction and maintenance activities of the preliminary proposal (for effects of construction and maintenance activities on covered terrestrial species and natural communities, see Chapter 5). The conservation measures and the construction and maintenance elements of these measures are listed in Table H.2-1. Although there are various types of structures and construction activities associated with the different conservation measures, the construction and maintenance activities can be grouped by a few potential effects, as shown in Table H.2-1.

The construction and maintenance activities described here are limited to those that have the potential to affect the aquatic environment and covered fish species. While the construction and maintenance activities for all of the conservation measures are extensive, the activities will be spread throughout the Plan Area and over the implementation period (50 years) of the BDCP. However, most of the construction will occur in the near-term and early long-term periods. As described in more detail below, most of the construction- and maintenance-related impacts of the north Delta intakes (CM1), restoration (CM2, CM4–CM7), and other conservation measures, are localized and will occur over a relatively short period of time, as they will be associated with discrete activities at specific sites.

1 **Table H.2-1. Main Construction Elements of BDCP Conservation Measures with Potential to Affect**
 2 **Aquatic Environments (details of these measures provided in Chapter 3)**

CM	Title/Description	Construction Elements (Aquatic Only)	Area/Subregion
1	Water Facilities and Operations	<ul style="list-style-type: none"> • Clearing and grubbing/demolition on the riverbank at each of the five intake locations • Detour and levee reinforcement on the riverbank at each of the five intake locations • Setback levee on the riverbank at each of the five intake locations • Sheet pile cell (cofferdam) at each of the five intake locations on the riverbank and in the river channel • Dewatering of the cofferdam area • Excavation and dredging at each of the five intake locations on the riverbank and in the river channel after the cofferdam is constructed • Foundation piles for each of the five intakes on the riverbank and channel after the cofferdam is constructed • Armor and restoration at each of the five intake locations on the riverbank and in the river channel after the cofferdam is constructed • Six barge landings that will include clearing and grubbing (most likely limited to any riparian areas in the path of equipment used to construct the landings, and access for equipment, onloading and offloading supplies from the landings), pile driving, construction of the dock on top of the piles, and ultimately dismantling of the dock and cutting off the piles 	North Delta South Delta East Delta
2	Yolo Bypass Fisheries Enhancements	<ul style="list-style-type: none"> • Physical modifications to Fremont Weir and Yolo Bypass (e.g., new/modified fish ladders, new gated seasonal floodplain channel) • Fish screens at Yolo diversions • New/replaced Tule Canal and toe drain impoundment structures and agricultural crossings • Lisbon Weir improvements (e.g., fish gate) • Lower and upper Putah Creek improvements (e.g., realignments) • Fish barriers at Knights Landing Ridge Cut and Colusa Basin Drain • Physical and nonphysical barriers in Sacramento River (e.g., bubble curtains or log booms) • Levee improvements • Removal of berms, levees, etc., and construction of berms, levees, reworking of agricultural, delivery channels, etc. • Sacramento Weir Improvements (could include a channel from Sac River to Sac Weir and from Sac Weir to Toe Drain) 	Yolo Bypass
3	Natural Communities Protection and Restoration	<ul style="list-style-type: none"> • This conservation measure will not result in any construction effects on covered fish because there will be no construction associated with it 	NA
4	Tidal Natural Communities Restoration	<ul style="list-style-type: none"> • Restoration and creation of channel networks; deepening/widening channels • Levee and embankment removal and construction 	Suisun Marsh Cache Slough East Delta West Delta South Delta

CM	Title/Description	Construction Elements (Aquatic Only)	Area/Subregion
5	Seasonally Inundated Floodplain Restoration	<ul style="list-style-type: none"> Set back, remove, and/or breach levees Remove riprap and bank protection between setback levees Modify channels Create floodway bypasses 	Southern Delta
6	Channel Margin Enhancement	<ul style="list-style-type: none"> Remove riprap from channel margins Modify or set back levees Install large woody material in levees 	North Delta East Delta South Delta
7	Riparian Natural Community Restoration	<ul style="list-style-type: none"> Remove riprap Modify levees and/or channel modification, including possible bench construction Install riparian plantings 	North Delta East Delta South Delta
8	Grassland Natural Community Restoration	<ul style="list-style-type: none"> This conservation measure will not result in any effects on covered fish because there will be no effects on or in the aquatic habitat 	NA
9	Vernal Pool Complex Restoration	<ul style="list-style-type: none"> Excavate or recontour historical vernal pools; because vernal pools typically have no outlets to receiving waters used by covered fish, this conservation measure will not result in any effects on covered fish 	Yolo Bypass Cache Slough Suisun Marsh Suisun Bay South Delta
10	Nontidal Marsh Restoration	<ul style="list-style-type: none"> Establish connectivity with existing water conveyance system Grade to create wetland topography 	Yolo Bypass North Delta
11	Natural Communities Enhancement and Management	<ul style="list-style-type: none"> This conservation measure will not result in any effects on covered fish because there will be no effects on or in the aquatic habitat 	NA
12	Methylmercury Management	<ul style="list-style-type: none"> Provide site-specific characterization and monitoring to mitigate methylmercury production during construction and operations This conservation measure does not result in construction; therefore, conservation measure will not result in any construction effects on covered fish; however, methylmercury and this conservation measure are discussed in the context of potentially disturbing sediment containing methylmercury during construction 	Yolo Bypass Suisun Marsh Cache Slough East Delta West Delta South Delta
13	Invasive Aquatic Vegetation Control	<ul style="list-style-type: none"> This conservation measure does not result in construction; therefore, conservation measure will not result in any construction effects on covered fish 	Plan Area
14	Stockton Deep Water Ship Channel Dissolved Oxygen Levels	<ul style="list-style-type: none"> Possible construction of additional aeration facilities 	South Delta
15	Predator Control	<ul style="list-style-type: none"> Removal of unused predator-housing structures (e.g., old piers, abandoned boats) 	North Delta South Delta East Delta
16	Nonphysical Fish Barriers	<ul style="list-style-type: none"> Install nonphysical fish barriers (e.g., sounds, light, bubbles) 	South Delta North Delta Yolo Bypass East Delta

CM	Title/Description	Construction Elements (Aquatic Only)	Area/Subregion
17	Illegal Harvest Reduction	<ul style="list-style-type: none"> This conservation measure does not result in construction; therefore, conservation measure will not result in any construction effects on covered fish 	NA
18	Conservation Hatcheries	<ul style="list-style-type: none"> Possible bank and channel construction 	West Delta
19	Urban Stormwater Treatment	<ul style="list-style-type: none"> Establish vegetative buffer strips Construct bioretention systems 	North Delta South Delta
20	Recreational Users Invasive Species Program	<ul style="list-style-type: none"> There will be no construction associated with this conservation measure; therefore, this conservation measure will not result in any effects on covered fish 	NA
21	Nonproject Diversions	<ul style="list-style-type: none"> Removal/relocation of unscreened diversions Consolidation of existing smaller unscreened diversions into one larger screened diversion 	Plan Area
22	Avoidance and Minimization Measures	<ul style="list-style-type: none"> This conservation measure is intended to minimize and avoid effects related to the other conservation measures and will not result in any additional effects 	NA

1
2 The construction and maintenance activities associated with the conservation measures will result
3 in similar types of potential stressors and effects on aquatic species. For example, cofferdam
4 installation during intake construction under CM1 and levee breaching under CM4 for restoration
5 both will result in increases in turbidity and temporary reductions in water quality, which could
6 reduce foraging habitat for fish. However, although the type of effect may be similar, effects may
7 differ in degree depending on location, duration, and timing. The effects on covered fish species
8 depend on the timing of the activity and the fish present during the construction activity (as
9 described in Section H.2 and Table H.2-2) and the type of construction or maintenance activity (as
10 described in Section H.3). Table H.2-2 below summarizes the different types of construction
11 activities, conservation measures, associated stressors, and potential effects on fish. These stressors
12 and effects are discussed in detail in this appendix.

1 **Table H.2-2. Construction and Maintenance Activities, Stressors and Potential Effects on Covered Fish Species**

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat
Impact pile driving	1	Underwater noise	Disturbance of fish passage, fish displacement, and/or fish injury or loss
		Increased turbidity*	Decreased foraging success
			Increased predation risk
		Reduced DO	
Toxins from sediments	Impairment of behavior, development, growth and/or reproduction		
Vibratory sheet driving or vibratory pile driving	1, 16, 21	Underwater noise	Disturbance of fish passage and/or fish displacement
		Increased turbidity*	Decreased foraging success
			Increased predation risk
			Reduced DO
		Toxins from sediments	Impairment of behavior, development, growth, and/or reproduction
		Increased erosion/sedimentation	Disturbance of rearing habitat
Disturbance of benthic habitat	Decreased foraging success		
Grading	2, 4, 5, 6, 7	Increased erosion/sedimentation	Impairment of spawning and/or rearing
		Increased turbidity*	Decreased foraging success
			Increased predation risk
			Reduced DO
Channel dredging/excavation	4, 5, 15	Increased turbidity*	Decreased foraging success
			Increased predation risk
			Reduced DO
		Resuspension of toxins attached to sediments	Impairment of behavior, development, growth, and/or reproduction
		Disturbance/removal of channel sediments	Disturbance of spawning and/or rearing habitat
			Disturbance, injury, and/or mortality of fish
Injury or loss of benthic invertebrates	Decreased forage for benthic feeding fish		

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat
Refueling, operating, and storing construction equipment and materials	1, 2, 4, 5, 6, 7, 14, 15, 16, 18, 19, 21	Accidental spills or runoff of toxins	Impairment of behavior, development, growth, and/or reproduction
		Increased erosion/sedimentation	Impairment of spawning, rearing and/or migration habitat
		Increased turbidity*	Decreased foraging success
			Increased predation risk
Placement/removal of rip-rap or other bank protection	1, 4, 5, 6, 7	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat
		Increased turbidity	Decreased foraging success
			Increased predation risk
			Reduced DO
Levee breaching	4, 5	Changes in channel morphology and hydraulics	Disturbance of fish passage and/or fish displacement
			Impairment of spawning, rearing, and/or migration habitat
		Increased turbidity*	Decreased foraging success
			Increased predation risk
			Reduced DO
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat
Changes in flow velocities	Impairment of fish passage and/or fish displacement		
Construction of levees/embankments	4, 5	Removal/destruction of cover	Reduction in habitat quantity and/or quality
		Changes in salinity	Disturbance of fish passage and/or fish displacement
			Impairment of spawning, rearing, and/or migration habitat
Use of equipment in riparian areas	1, 2, 4, 6, 7	Changes in noise, light, from physical movements of people and equipment	Disturbance of fish passage and/or fish displacement
Clearing, grubbing and/or demolition on riverbanks	1, 2, 4, 5, 6, 7, 14, 18, 19, 21	Increased turbidity*	Decreased foraging success
			Increased predation risk
			Reduced DO
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing areas
Reduced input to river of leaves, insects	Reduced rearing habitat quality		

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat	
Detour and levee reinforcement and setback levees	1	Bank disturbance	Reduced spawning and/or rearing habitat quality	
Installation of aeration facilities	21	Changes in channel morphology and hydraulics	Disturbance of spawning and/or rearing habitat	
Removal of in-water docks, vessels, or barriers	1, 15, 16	Channel disturbance	Disturbance of spawning and/or rearing habitat	
		Disturbance of benthic habitat	Decreased foraging success	
Construction of dikes to maintain adjacent land uses	2, 4, 5	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	
		Increased turbidity*	Decreased foraging success	
			Increased predation risk	
			Reduced DO	
Installation of irrigation infrastructure and levees to control irrigation during vegetation establishment	2, 4	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	
		Increased turbidity*	Decreased foraging success	
			Increased predation risk	
			Reduced DO	
Maintenance				
Use of in-water equipment; water control structure maintenance or replacement; infrastructure maintenance	1, 14, 16, 18, 19, 21	Increased turbidity*	Decreased foraging success	
			Increased predation risk	
			Reduced DO	
		Toxins (from sediments and spills)	Impairment of behavior, development, growth, survival, and/or reproduction	
		Channel disturbance	Disturbance of spawning and/or rearing habitat	
Dredging	1, 4, 16	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	
			Increased turbidity*	Decreased foraging success
				Increased predation risk
		Reduced DO		
		Contaminant resuspension	Impairment of growth, survival, and/or reproduction	
		Disturbance and/or removal of channel sediments	Impairment of spawning and/or rearing habitat	
			Disturbance, injury, and/or mortality of fish	
Disturbance of benthic habitat	Decreased foraging success			

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat
Levee maintenance (e.g., grading, breach repair, and riprap replacement)	2, 4, 5, 6, and 7	Increased turbidity*	Decreased foraging success
			Increased predation risk
			Reduced DO
		Toxins (from sediments)	Impairment of growth, survival, and/or reproduction
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat
DO = dissolved oxygen. * Elevated turbidity levels can have a negative effect on fish, but moderate levels of turbidity (e.g., 35–150 nephelometric turbidity units) can increase foraging rates, presumably in response to reduced vulnerability to sight-feeding predators (Gregory and Northcote 1993).			

1

Administrative Draft

1 **H.3 Information on Covered Fish Species**

2 All covered fish species in the Plan Area are potentially affected by construction and maintenance
3 activities. This section summarizes the potential spatial and temporal occurrence of these species in
4 construction and maintenance areas during key life history events (spawning, rearing, migration).
5 Details on the life histories of fish species are provided in Appendix 2.A and summarized in Table
6 H.3-1 and Table H.3-2 below.

7

1
2 **Table H.3-1. Potential Monthly Distribution of Adults and Juveniles of Non-Salmonid Fish Species in Plan Area**

Species	Distribution	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
In-water construction activities for CM1. Note in-water construction activities for other conservation measures are currently unknown but will be outside these periods to the extent possible													
Delta Smelt													
Adults	All restoration opportunity areas (ROAs) but most abundant in West Delta and Cache Slough												
Larvae	All ROAs but most abundant in West Delta, Cache Slough, Suisun Marsh, and Suisun Bay												
Sub-Adults	All ROAs but primarily in Suisun Bay, West Delta and Cache Slough*												
Longfin Smelt													
Adults	All ROAs but are most abundant in the West Delta, Suisun Marsh, and Suisun Bay												
Larvae	All ROAs but are most abundant in the West Delta, Suisun Marsh, and Suisun Bay												
Splittail													
Adults	All ROAs migrating to floodplains and backwaters to spawn												
Larvae	In all ROAs except Suisun Marsh and Suisun Bay with highest abundance in ROAs with floodplains, Yolo and East Delta												
Juveniles	All ROAs moving down river corridors to Suisun Marsh and Suisun Bay												
Green Sturgeon													
Adults	Suisun Bay, West Delta, North Delta, Cache Slough, South Delta												
Juveniles	All BDCP Regions												
White sturgeon													
Adult spawners	All BDCP Regions, except East Delta												
Adult estuarine feeders	All BDCP Regions												
Juveniles	All BDCP Regions												

Species	Distribution	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Pacific Lamprey													
Adults	All BDCP Regions to spawning areas												
Ammocoetes	All BDCP Regions except Suisun Bay and Suisun Marsh												
Macrophthalmia	All BDCP Regions												
River Lamprey													
Adults	All BDCP Regions to spawning areas												
Ammocoetes	Above ROAs												
Macrophthalmia	All BDCP Regions												
Note: Shading indicates presence.													

1

2 **Table H.3-2. Potential Monthly Distribution of Adults and Juveniles of Salmonids**

Species	Distribution	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
In-water construction activities for CM1. Note in-water construction activities for other conservation measures are currently unknown but will be outside these periods to the extent possible													
Fall-Run Chinook Salmon													
Adults	All ROAs but most abundant in North and West Delta												
Juveniles	All ROAs												
Late Fall-Run Chinook Salmon													
Adults	North and West Delta												
Juveniles	North and West Delta and possibly all ROAs												
Winter-Run Chinook Salmon													
Adults	Sacramento River corridor of Yolo Bypass, Cache Slough, North Delta, and West Delta												
Juveniles	All ROAs, but primarily within the Sacramento River corridor of Yolo Bypass, Cache Slough, North Delta, and West Delta												

Note to Reader: This is a revised working draft prepared by the BDCP consultants. This document is currently undergoing review by the Department of Water Resources with input from the Department of Fish and Game, U.S. Fish and Wildlife Service, National Marine Fisheries Service, and U.S. Bureau of Reclamation and does not necessarily reflect the position of the state or federal agencies. It is expected to go through several more revisions prior to being released for formal public review and comment in 2012. All members of the public will have an opportunity to provide comments on the public draft of a revised version of this document during the formal public review and comment period. Responses will be prepared only on comments submitted in the formal public review and comment period.

Species	Distribution	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Spring-Run Chinook Salmon													
Adults	Primarily within the Sacramento River corridor of Yolo Bypass, Cache Slough, North Delta, and West Delta												
Juveniles	Primarily within the Sacramento River corridor of Yolo Bypass, Cache Slough, North Delta, and West Delta												
Steelhead, Central Valley Distinct Population Segment (DPS)													
Adults	All ROAs but most abundant in West and North Delta												
Juveniles	In all ROAs												
Note: Shading for salmonids indicates potential abundance based on actual catch data described in Appendix 2.A. Darker shades indicate potential higher abundance.													

1

Administrative Draft

1 **H.4 Construction and Maintenance Activities**

2 This section contains a brief overview of the conservation measures and associated construction
3 and maintenance activities that potentially could affect covered fish species. Chapter 3 includes
4 detailed descriptions of each of these conservation measures.

5 **H.4.1 Construction Activities**

6 **H.4.1.1 Conservation Measure 1: Water Operations**

7 Construction activities associated with CM1 include: constructing five north Delta intakes, installing
8 pipelines connecting the intakes to an intermediate forebay in the North Delta subregion,
9 constructing tunnels along the eastern edge of the Delta (North Delta, West Delta, South Delta
10 subregions), and constructing the Byron Tract Forebay in the South Delta subregion. Inverted
11 siphon structures will be constructed to connect certain facilities. The following sections describe
12 the construction and maintenance activities associated with CM1 that have the potential to affect
13 covered fish species.

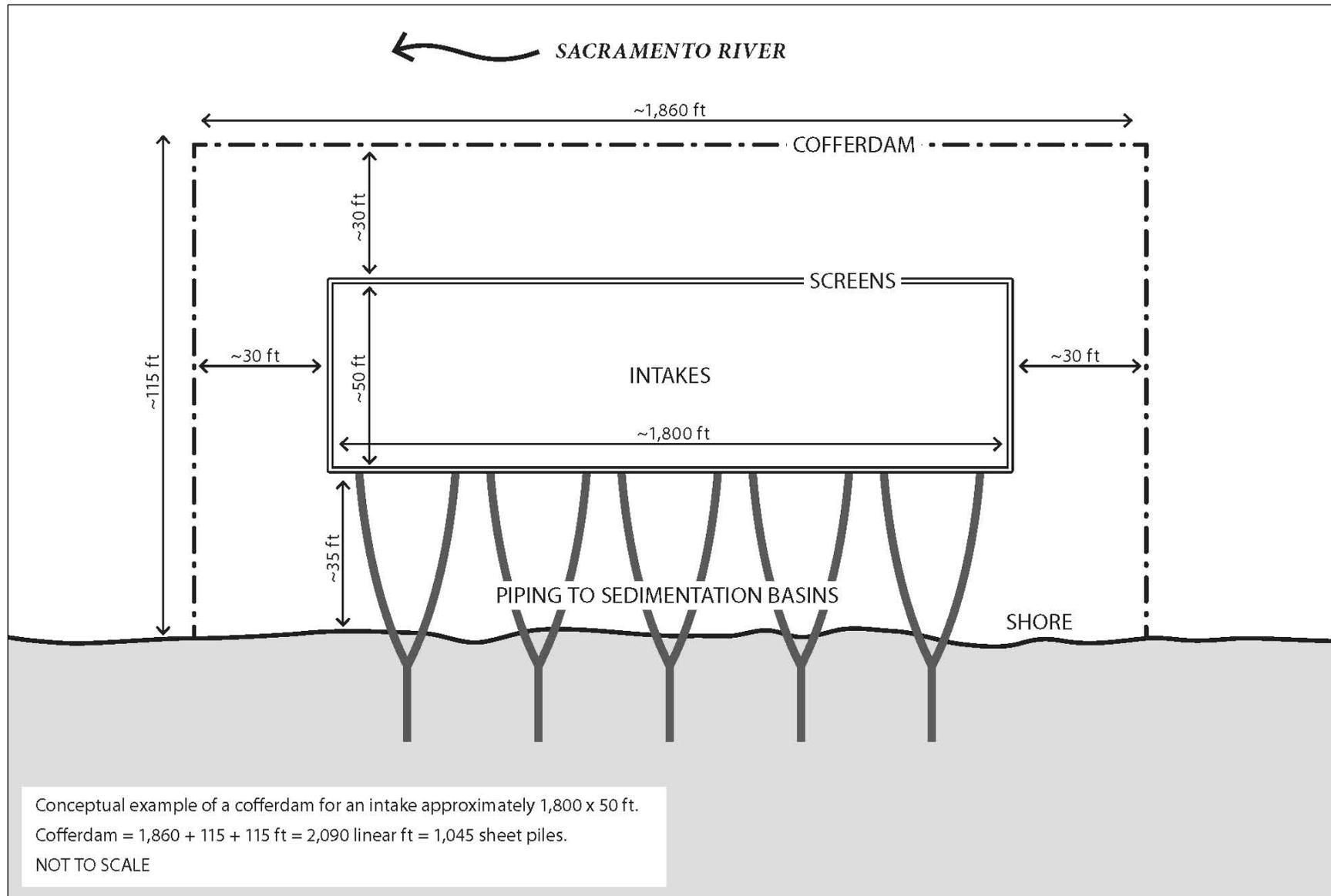
14 **H.4.1.1.1 Construction of Intakes**

15 Five intake facilities (intakes 1–5) between Sacramento River Mile (RM) 44 (south of Freeport) and
16 RM 33 (at the town of Courtland) will be constructed, affecting the Sacramento River channel and
17 bank. The location, dimensions, and construction footprints of each of the intakes are provided in
18 Table H.4-1. A single intake, along with the infrastructure needed to construct it (e.g., cofferdam), is
19 provided in Figure H.4-1.

1 **Table H.4-1. Dimensions of North Delta Intakes and Associated Construction Footprints**

North Delta Intake No.	Intake Construction Duration	Pile Driving Duration ^{1,2}	Location (River Mile)	Length of Screened Intake (feet)	Dimension of Temporary Cofferdams (feet)	In-Water Area Temporarily Isolated inside Cofferdam (acres)	In-Water Area Permanently Affected by Screened Intake Footprint (acres)
1	October/November 2017 to July 2021	June to August 2019	44	1,100	1,330	2.9	2.1
2	December 2017 to August 2021	June to September 2019	41	1,800	2,030	4.8	3.5
3	September 2017 to July/August 2021	June to October 2019	40	1,900	2,130	5.0	3.7
4	January 2018 to September/October 2021	September to October 2019	38	1,800	2,030	4.8	3.5
5	October 2017 to July 2021	July to October 2019	37	1,950	2,180	5.1	3.8
Total				8,550	9,700	22.6	16.6
¹ It is anticipated that 16 feet of cofferdam could be built in a single day. ² It is anticipated the barge landing pile driving would occur during the same time period of the cofferdam pile driving.							

2



1
2

Figure H.4-1. Representative Intake with Cofferdam

1 Constructing each intake will involve installing a sheet-pile cofferdam in the river during the first
2 construction season, which will isolate a majority of the in-water work area around each intake
3 during the intake construction process. Some clearing and grubbing at the construction site may be
4 required prior to installing the sheet-pile cofferdam, depending on site conditions (e.g., presence of
5 vegetation and/or stone bank protection). Clearing and grubbing activities may include removing
6 riprap, vegetation, and garbage from the levee and/or channel area within the aquatic habitat,
7 depending on the specific placement of the sheet piles and the existing conditions. Once the
8 cofferdam is installed, the area within the cofferdam will be dewatered. Following dewatering, the
9 area behind the newly constructed cofferdam is no longer considered "in-water." Work within the
10 cofferdam will progress, with excavation and pile driving to support the intake structures. Water
11 pumped from behind the cofferdams will be treated (removing all sediment) and returned to the
12 river.

13 Constructing each of the five intakes will take between 3.5 and 4.5 years. All of the intakes will be
14 constructed simultaneously with in-water work beginning in October or November 2019. Each of
15 the five cofferdams (one installed at each intake) also will be constructed simultaneously from June
16 to October 2019. Multiple vibratory pile drivers likely will be needed to construct each intake
17 cofferdam because of their size.

18 **H.4.1.1.2 Construction of Pipelines and Portals**

19 The preliminary proposal will involve subsurface conveyance pipelines and portal structures to
20 access subsurface tunnels. The subsurface tunnels will be constructed from portals that will provide
21 access for equipment and materials, and for removing tunnel muck. These portals and tunnel muck
22 storage areas are all located in upland areas and will not affect the aquatic environment. These areas
23 will be designed to minimize the potential for stormwater runoff to surface waters; therefore they
24 will not be discussed in this appendix.

25 **H.4.1.1.3 Construction of Barge Landings**

26 Six temporary barge landing sites will be constructed to provide access for equipment and materials
27 barged to the portal construction sites. The six barge landings are located on or near the locations
28 listed below.

- 29 ● State Route (SR) 160 west of Walnut Grove
- 30 ● Venice Island
- 31 ● Bacon Island
- 32 ● Woodward Island
- 33 ● Victoria Island
- 34 ● Tyler Island

35 The specific design of the barge landings is unknown at this time, but typically will include
36 temporary docks supported by piles driven in the river.

1 **H.4.1.2 Conservation Measures 2, 4, 5, 6, and 7, and 10:** 2 **Restoration Construction**

3 Restoration construction activities also will affect covered fish species. Restoration will likely
4 include pre-breach management of the restoration site to promote desirable vegetation and
5 elevations within the restoration area and levee maintenance, improvement, or redesign. This may
6 require substantial earthwork outside, but adjacent to, tidal and other aquatic environments. Levee
7 breaching will require removing levee materials from within and adjacent to tidal and other aquatic
8 habitats. These materials could be placed on the remaining levee sections, placed within the
9 restoration area, or hauled to a disposal area. Some restoration may include much more extensive
10 construction activities, specifically restoration activities in the Yolo Bypass, where drainage and
11 other agricultural facilities may need to be installed or relocated. Table H.2-1 summarizes this
12 information by conservation measure.

13 **H.4.1.2.1 Conservation Measure 2: Yolo Bypass Fisheries Enhancements**

14 The expected Fremont Weir and Yolo Bypass construction activities are listed below.

- 15 • Modifying the Fremont Weir and Yolo Bypass.
- 16 • Constructing a deep fish passage channel in the Yolo Bypass.
- 17 • Replacing the Fremont Weir fish ladder.
- 18 • Constructing experimental sturgeon ramps at the Fremont Weir.
- 19 • Modifying the stilling basin.
- 20 • Improving the Sacramento Weir.
- 21 • Making improvements at the Tule Canal/Toe Drain.
- 22 • Realigning lower Putah Creek.

23 **H.4.1.2.2 Conservation Measure 4: Tidal Natural Communities Restoration**

24 *CM4 Tidal Natural Communities Restoration* will occur in the ROAs of Suisun Marsh, Cache Slough,
25 West Delta, South Delta, and the Cosumnes-Mokelumne Rivers. Below is a list of construction
26 activities for tidal habitat restoration.

- 27 • Excavating channels to encourage the development of sinuous, high density, dendritic channel
28 networks within restored marsh plain.
- 29 • Modifying ditches, cuts, and levees to encourage more natural tidal circulation and better flood
30 conveyance based on local hydrology.
- 31 • Removing or relocating infrastructure, including levee breaching to restore tidal connectivity.
- 32 • Removing existing levees or embankments or creating new structures to allow restoration to
33 take place while protecting adjacent land.
- 34 • Prior to breaching, recontouring the surface to maximize the extent of surface elevation suitable
35 for establishment of tidal marsh vegetation (marsh plain) by scalping higher elevation land to
36 provide fill for placement on subsided lands to raise surface elevations.

- 1 • Prior to breaching, importing dredged or fill material and placing it in shallowly subsided areas
- 2 to raise ground surface elevations to a level suitable for establishment of tidal marsh vegetation
- 3 (marsh plain).
- 4 • Prior to breaching, cultivating stands of tules through flood irrigation for sufficiently long
- 5 periods to raise subsided ground surface to elevations suitable to support marsh plain and
- 6 breaching levees when target elevations are achieved. Irrigation infrastructure and levees will
- 7 need to be installed or retained to control irrigation during the establishment period.
- 8 • Possibly constructing dikes to maintain existing land uses when tidal habitat is restored
- 9 adjacent to farmed lands or lands managed as freshwater seasonal wetlands .

10 **H.4.1.2.3 Conservation Measure 5: Seasonally Inundated**

11 **Floodplain Restoration**

12 Construction activities to restore floodplains are listed below.

- 13 • Lowering the elevation of restored floodplain surfaces or modifying river channel morphology
- 14 to increase inundation frequency and duration and to establish elevations suitable for the
- 15 establishment of riparian vegetation by either active planting or allowing natural establishment.
- 16 • Setting levees back along selected river corridors and removing or breaching levees.
- 17 • Removing existing riprap or other bank protection to allow for channel migration between the
- 18 setback levees through the natural processes of erosion and sedimentation.
- 19 • Modifying channel geometry in unconfined channel reaches or along channels where levees are
- 20 set back in order to create backwater habitat.
- 21 • Selectively grading restored floodplain surfaces to provide drainage of overbank floodwaters
- 22 such that the potential for fish stranding is minimized.
- 23 • Actively establishing riparian habitat on floodplains.

24 **H.4.1.2.4 Conservation Measure 6: Channel Margin Enhancement**

25 Channel margin enhancement actions often will be implemented in conjunction with seasonally

26 inundated floodplain and riparian natural community restoration conservation measures (CM5 and

27 CM7, respectively).

28 Below is a list of channel margin habitat enhancements.

- 29 • Removal of riprap from channel margins where levees are set back to restore seasonally
- 30 inundated floodplains.
- 31 • Modification of the outboard side of levees or setback levees to create low floodplain benches
- 32 with variable surface elevations that create hydrodynamic complexity and support emergent
- 33 vegetation.
- 34 • Installation of large woody material (e.g., tree trunks and stumps) into constructed low benches
- 35 or into existing riprapped levees to provide physical complexity.
- 36 • Planting of riparian and emergent wetland vegetation on created benches.

1 **H.4.1.2.5 Conservation Measure 7: Riparian Natural Community Restoration**

2 Riparian natural community restoration will include establishment/reestablishment of forest and
3 scrub vegetation in restored floodplain areas (CM5), consistent with floodplain land uses and flood
4 management requirements.

5 **H.4.1.3 Conservation Measures 14, 15, 16, 18, 19 and 21: Other**
6 **Conservation Measures That Include Construction**

7 Other conservation measures that include construction activities with the potential to affect covered
8 fish are CM14, CM15, CM16, CM18, CM19, and CM21 (Table H.0-1 and Table H.2-1). All of these
9 conservation measures will require at least some in-water work to install and/or remove facilities.
10 Additionally, some work will be on the levee or bank adjacent to aquatic habitat.

11 CM16 specifically involves installing piles to support the nonphysical barrier structure within the
12 channel in addition to placing telemetry equipment up- and downstream of the barrier. Nonphysical
13 barriers that may be installed probably will be similar to the three-component barriers tested at the
14 head of Old River in 2009–2010 and at Georgiana Slough in 2011 (ICF International 2010). The
15 design consists of a multi-stimulus fish barrier that combines high intensity light-emitting diode
16 (LED) modulated intense lights (MILs), an air bubble “curtain,” and sound at frequencies and levels
17 that are repellent to Chinook salmon (Bowen et al. 2009; Bowen and Bark 2010). Nonphysical
18 barriers will differ in length based on the width of channel that fish are to be deterred away from.
19 For example, the Georgiana Slough barrier tested in 2011 was around 700 feet long with 18 piles
20 (ICF International 2010; Shields 2011) whereas the head of Old River barriers tested in 2009 and
21 2010 were around 370 and 450 feet long, respectively, and included four piles (Bowen et al. 2009;
22 Bowen and Bark 2010). Typical piles are 12-inch-diameter, open-end steel pipes that are driven
23 with a vibratory pile driver in the wetted channel from a barge. Concrete pier blocks also may be
24 placed to provide additional support to the barrier frame structure; four such pier blocks covering
25 16 square feet each were required for the 2011 Georgiana Slough nonphysical barrier, for example
26 (ICF International 2010). Depending on the exact location, vegetation and/or riprap may need to be
27 removed to ready the channel for the piles and the remainder of the structure (light, sound, and air
28 supply).

29 CM21 will involve removal of individual diversions that have relatively large effects on covered fish
30 species; consolidation of multiple smaller unscreened diversions into a single or fewer screened
31 diversions placed in lower quality habitat; or reconfiguration and screening of individual diversions
32 in higher-quality habitat. This will involve on-bank construction activities such as clearing
33 vegetation and in-water work such as possible dredging and modifications of pipe and in-water
34 structures and placing a screen over existing diversions. If consolidation of multiple smaller
35 diversions occurs, a sheetpile cofferdam will be needed on the water side of the riverbank along the
36 outermost edge of the intake structure footprint. While cofferdam construction will vary based upon
37 the soil that exists in each work area, it is likely that sheet piles will be installed using vibratory
38 methods. Once completed, the cofferdam will be dewatered prior to the installation of the intake
39 structure foundation. The sheet piling will extend to the top of the sloped soil bank. A pile
40 foundation for the intake structure then will be installed by driving piers within the dewatered in-
41 channel section of the cofferdam and within the bank section of the cofferdam. These piers will
42 extend beneath the structure and down into the substrate. A pipeline will be constructed from the
43 intake structure to the pump station. The length and diameter will be based on site-specific and
44 project-specific requirements, but construction impacts associated with the pipeline installment will

1 be similar. The alignment for the pipeline will be excavated from the bank of the river using an
2 extended-arm excavator.

3 **H.4.2 Maintenance Activities**

4 **H.4.2.1 Conservation Measure 1: Intake Maintenance**

5 The proposed intake facilities will require routine or periodic adjustment and tuning to remain
6 consistent with design intentions. Facility maintenance will include activities such as painting,
7 cleaning, repairs, and other routine tasks to operate facilities in accordance with design standards
8 after construction and commissioning. Many of these maintenance activities will not be conducted in
9 water or have the potential to affect covered fish. However, maintenance activities associated with
10 river intakes could include removing sediment, debris, and biofouling materials. These maintenance
11 activities could require suction dredging or mechanical excavation around intake structures;
12 dewatering; or use of underwater diving crews, boom trucks or rubber wheel cranes, and raft- or
13 barge-mounted equipment. Routine visual inspection of the facilities will be conducted to monitor
14 performance and prevent mechanical and structural failures of project elements.

15 **H.4.2.2 Conservation Measures 2, 4, 5, 6, and 7: 16 Restoration Maintenance**

17 Maintenance of restoration areas may include dredging or other earthwork, vegetation removal or
18 installation, and maintenance of drainage or other facilities constructed or included in the restored
19 area. Most of the proposed restoration activities are designed to require as little maintenance as
20 possible, but over the 50-year permit period, some maintenance may be required to ensure the best
21 possible performance of these sites. Typically, maintenance for the restoration projects will consist
22 of the following activities.

- 23 • Watering/irrigation (limited to transition and/or upland areas for tidal wetland projects).
- 24 • Weed removal/control.
- 25 • Re-planting.
- 26 • Debris removal.
- 27 • Sediment removal.
- 28 • Vegetation pruning/culling/removal.

29 Levee maintenance may be needed for some sites and could include activities such as grading,
30 breach repair, and riprap replacement. Other restoration maintenance activities are listed below.

- 31 • Water control structure maintenance/replacement (canal gates, flashboard risers).
- 32 • Infrastructure maintenance/replacement (fences, gates, gravel access roads).
- 33 • Instream woody material replacement.

34 Periodically, maintenance activities in the Yolo Bypass subregion (CM2) may include sediment
35 removal from the Fremont Weir area using graders, bulldozers, excavators, dump trucks, or other
36 machinery. A recent record of maintenance activities indicates that it will be reasonable to expect
37 approximately 1 million cubic yards (MCY) of sediment may be removed within 1 mile of the weir an
38 average of every 5 years. An additional 1 MCY of sediment conservatively is anticipated to be

1 removed inside the new channel every other year as part of routine sediment management
2 activities. Where feasible, work will be conducted under dry conditions; some dredging may be
3 required to maintain connection along the deepest part of the channel for fish passage.

4 **H.4.2.3 Conservation Measures 14, 15, 16, 18, 19 and 21:** 5 **Maintenance of Other Conservation Measures**

6 Of the remaining conservation measures (14, 15, 16, 18, 19, and 21), all but CM15 may require
7 maintenance, but any maintenance will be expected to be very minimal. Maintenance activities may
8 include clearing debris from around the nonphysical barrier, aeration facilities, or bioretention
9 facilities, and making repairs to the facilities. Major repair or maintenance likely will be conducted
10 outside of the aquatic environment.

11 **H.5 Methods Used to Evaluate Potential Construction** 12 **and Maintenance Effects on Covered Fish Species**

13 The methods used to evaluate the potential effects of construction and maintenance activities on
14 covered fish species are discussed below according to specific stressors of concern, including
15 potential increases in underwater sound above fish tolerance levels, degradation of water quality,
16 habitat modification, and physical injury and loss of individual fish.

17 **H.5.1.1 Underwater Sound**

18 Under the preliminary proposal, pile driving will be the primary source of any underwater sound.
19 Two types of pile driving will occur: impact pile driving (generating the most sound) and vibratory
20 pile driving (generating less sound). The construction of CM1 likely will use both impact and
21 vibratory pile driving, and CM16 will use only vibratory pile driving. Constructing the restoration or
22 other conservation measures will not use pile driving and therefore will not generate underwater
23 sound at levels of concern.

24 Underwater sound generated by pile driving in or near surface waters potentially can harm covered
25 fish. Because of geologic or other conditions at some sites, some piles likely will require impact pile
26 driving for installation¹. Research indicates that impact pile driving can result in injuries to fish if
27 the peak sound pressure levels are high enough or exposure is long enough. Under the primary
28 proposal, the California Department of Water Resources (DWR) intends to use vibratory (or other
29 non-impact) methods to install cofferdam, intake foundation, and barge landing piles during the
30 approved in-water work windows to minimize the potential for covered fish species to be exposed
31 to underwater sound levels that could harm or kill them.

32 Pile driving with the potential to cause underwater noise at levels of concern includes impact pile
33 driving of sheet pile for the cofferdams at the intake sites, impact driving of foundation piles for the

¹ It should be noted that DWR proposes to use a vibratory driver/extractor for constructing the cofferdam, landing piles, and removing existing and temporary piles or use cast-in-drilled hole (CIDH) methods for the foundation piles. Vibratory and CIDH pile installation methods have low potential for adverse effects on fish. However, geological conditions have not been evaluated at specific sites, and based on other projects in the area (e.g., Freeport), some impact driving likely will be necessary.

1 intake structure, impact pile driving of piles to support temporary docks at the barge landing sites,
2 and pile driving to install the nonphysical barriers.

3 Underwater sound associated with CM1 impact pile driving is evaluated quantitatively. Underwater
4 sound associated with vibratory pile driving is considered to have substantially fewer effects on fish
5 and therefore is not analyzed quantitatively.²

6 Details on construction of cofferdams, foundation piles, and barge landings are not known at this
7 time, so a number of very conservative assumptions and published information³ and information
8 from other in-water construction projects (e.g., Freeport intake construction and Red Bluff
9 Diversion Dam construction) were used to evaluate the potential effects on fish resulting from
10 underwater sound during construction. The specific approach is included below.

- 11 • Developing assumptions associated with pile driving.
- 12 • Determining underwater sound levels generated from impact pile driving developed by the
13 California Department of Transportation (Caltrans) (2009) and estimating the attenuation of
14 sound using a spreadsheet model created by the National Marine Fisheries Service (NMFS)
15 (2009).
- 16 • Applying pile-driving assumptions to the presence and life stages of covered fish species to
17 determine whether effects will occur.

18 Approximately 30% of the cofferdam sheet piles installed to construct the Freeport intake required
19 impact driving. Therefore, as a conservative assumption, it was assumed for this analysis that 30%
20 of the cofferdam, foundation, and barge landing piles will require impact driving under CM1.

21 Impact criteria are based on criteria specified in Agreement in Principle for Interim Criteria for
22 Injury to Fish from Pile Driving Activities (Fisheries Hydroacoustic Working Group 2008). Four
23 sound metrics are commonly used in evaluating underwater noise (hydroacoustic) impacts on fish.
24 Refer to Caltrans guidance (2009) for a detailed discussion of sound metrics and analysis methods⁴.

- 25 • Peak sound pressure level (PEAK) is the highest sound pressure level experienced during a
26 single pile strike.
- 27 • Single-strike sound exposure level (SEL) is a measure of the total sound energy associated with
28 a single strike event normalized to one second.
- 29 • Cumulative SEL ($SEL_{cumulative}$) is a measure of the cumulative sound energy that occurs over the
30 duration of a day of impact pile driving exposure. $SEL_{cumulative}$ is calculated from the single strike
31 SEL and the number of strikes per day.
- 32 • RMS (root mean squared) sound pressure level is the square root of the mean square pressure
33 (the average of the squared pressures over the period of time that contains the portion of the
34 waveform that includes 90% of the sound).

² NMFS assumes that there may be a behavioral response (startle response or avoidance) for fish exposed to sound levels above 150 dB RMS. It is generally assumed that vibratory pile driving may cause fish might to avoid the area when it is occurring, but it does not result in any injury or mortality.

³ Underwater sound monitored and reported by California Department of Transportation (2009).

⁴ In this document, all underwater peak and RMS decibel levels are referenced to 1 micropascal (μPa), and SEL values are referenced to 1 $\mu\text{Pa}^2\text{-second}$.

1 Dual interim criteria were developed by the Fish Hydroacoustic Work Group to identify the
2 maximum underwater sound levels that are not expected to injure fish. The dual thresholds for
3 impact pile driving are 206 decibels (dB) for the peak sound pressure level, and 187 dB for the
4 SEL_{cumulative} for fish larger than 2 grams, and 183 dB SEL_{cumulative} for fish smaller than 2 grams. The
5 SEL_{cumulative} threshold is based on the cumulative daily exposure of a fish to noise sources that are
6 discontinuous (e.g., only occur for 1–12 hours in a day, with more than 12 hours between exposure).
7 Although not well-documented, NMFS assumes that there may be a behavioral response (startle
8 response or avoidance) for fish exposed to sound levels above 150 dB RMS.

9 The methods used to evaluate potential underwater sound effects on covered fish from the
10 preliminary proposal are very conservative in that a “reasonable worst case” approach is taken to
11 estimate the duration and area affected by impact pile driving. DWR proposes to use a vibratory pile
12 driver to install all piles. However past experience at nearby locations in the Sacramento River
13 indicates that this may not be feasible. As such, the analysis includes a conservative assumption that
14 a relatively large proportion (30%) of the piles will require impact driving and uses the maximum
15 number of strikes likely to occur in a day to estimate SEL_{cumulative}. The criteria are set to be protective
16 of fish. Recent research (California Department of Transportation 2010; Ruggerone et al. 2008) has
17 demonstrated that barotrauma (physical injury to organs and tissues from sound pressure waves)
18 or mortality did not occur in fish exposed to SEL_{cumulative} exposures in the range of 194 to 207 dB
19 SEL_{cumulative}, well above the interim criteria. To date, however, NMFS has not indicated that they will
20 accept a higher threshold. Further, the NMFS model assumes that a fish is stationary within the
21 impact area throughout the entire exposure (a day of pile driving). The assumed sound attenuation
22 rate used in the model is also conservative. The distance to attenuation assumes open water, and
23 therefore overestimates the criteria for narrow, sinuous (winding) water bodies like rivers and
24 sloughs (sound radiates straight outward from the source and is attenuated as it encounters bends
25 in the river/slough. As such, evaluating potential underwater sound effects on covered fish from the
26 preliminary proposal involved the following activities.

- 27 • Estimating conservative source sound levels (peak and single-strike SEL at 10 meters from the
28 driven pile) by comparing measured underwater sound levels collected during pile driving
29 events where measured piles were at similar distances to water, and where similar pile type,
30 pile driver, and attenuation methods were used (California Department of Transportation
31 2009).
- 32 • Estimating the number of impact pile strike per day. For this analysis, a maximum of 8 piles
33 driven per day at each intake and 500 strikes per pile are used as conservative estimates.
- 34 • Using the NMFS developed spreadsheet model to estimate the SEL_{cumulative} and the distance
35 within which pile driving sound levels will exceed the peak and SEL_{cumulative} interim criteria.
36 Sound attenuates [decreases] underwater as the distance from the source increases⁵.
- 37 • Once the underwater sound criteria and distance to attenuation below the interim criteria are
38 identified, determining where any exceedances occur and potentially overlap with species
39 presence to determine which species and life stages could be affected.
- 40 • Estimating the exposure of covered species, assuming that impact driving will occur 30% of the
41 days falling within the in-water work window when the cofferdams are constructed. For each
42 barge landing, it should be assumed that 32 piles will be installed over a period of 15 workdays,
43 and impact driving will occur on 5 of those days (30%).

⁵ The NMFS spreadsheet uses an assumed transmission loss in the model.

1 **H.5.1.2 Water Quality**

2 Construction and maintenance effects on water quality could result from in-water work and from
3 stormwater discharges from upland construction areas adjacent to water bodies in the Plan Area.
4 Potential effects are outlined below.

5 **H.5.1.2.1 Erosion and Sedimentation**

6 Once in the aquatic environment, eroded sediments can result in direct impacts on resident fishes
7 through gill damage and reduced capacity to take in oxygen. Indirect impacts can include increased
8 metabolic costs associated with reduced dissolved oxygen (DO) intake ability, and reduced foraging
9 ability as the result of decreased visibility. These activities could adversely affect covered fish
10 species and their habitat (U.S. Bureau of Reclamation et al. 2011).

11 **H.5.1.2.2 Turbidity**

12 Turbidity is a measure of water transparency that reflects the amount of suspended material within
13 the water column. Turbidity in the Delta is often 20–40 nephelometric turbidity units (NTUs) and
14 decreases to less than 10 NTUs during low-flow conditions. Turbidity increases in the rivers during
15 high flows (to 250–500 NTUs) and is generally high in Suisun Bay (measurements of 50–100 NTUs
16 are common) from tidal resuspension. Turbidity levels can be approximated from the inverse of
17 Secchi depth measurements taken during stream surveys. For example, a Secchi depth of 25
18 centimeters (cm) indicates a turbidity of 30 NTUs, and a Secchi depth of 50 cm refers to a turbidity
19 of 15 NTUs.

20 Although turbidity is an important characteristic for many native fish both to see prey and to hide
21 from predators, fish responses to high turbidity may include avoidance /displacement, reduced
22 foraging success, and increased predation risk (Meehan and Bjornn 1991; Bash et al. 2001).

23 **H.5.1.2.3 Toxins**

24 Toxic substances are present in both water and sediment in the Delta aquatic environment. In-water
25 construction activities will result in resuspension of sediments that may contain toxic contaminants.
26 When the toxins are in river channel sediments, they can enter the food chain via benthic organisms.
27 If contaminated sediments are disturbed and become suspended in the water column, they also
28 become available to pelagic organisms, including covered species and planktonic food sources of
29 covered species. Thus, construction-related disturbance of contaminated bottom sediments opens
30 another pathway to the food chain and increases bioavailability. Because the toxins are entering the
31 water column attached to sediment, their movement is closely linked to turbidity, which measures
32 the amounts of particulates in the water column.

33 The potential effects of toxins on covered fish species will depend on the types and concentrations of
34 the toxins in disturbed sediments. Unfortunately, there are few available chemical data for
35 sediments in the Delta. Toxins that tend to bind to particulates do not mix homogeneously into the
36 sediment, and concentrations can vary widely over a small area.

37 Of the urban-related toxic constituents identified in Appendix D, *Contaminants*, metals (lead and
38 copper), hydrocarbons, organochlorine pesticides, and PCBs are common urban contaminants with
39 the greatest affinity for sediments. Agriculture-related toxins include copper and organochlorine
40 pesticides.

1 Lead, PCBs, and hydrocarbons (typically oil and grease) are common urban contaminants that are
2 introduced to aquatic systems via nonpoint-source stormwater drainage, industrial discharges, and
3 municipal wastewater discharges. Lead, PCBs, and oil and grease all tend to adhere to soils, although
4 some lighter components of oil and grease can become dissolved in water. Because they adhere to
5 particulates, they tend to settle out close to the source and likely will be found at highest
6 concentrations adjacent to the urban areas. PCBs are very persistent, adsorb to soil and organics,
7 and bioaccumulate in the food chain. Lead also will adhere to particulates and organics but does not
8 bioaccumulate at the same rate as PCBs. Hydrocarbons will biodegrade over time in an aqueous
9 environment and do not tend to bioaccumulate; thus, they are not persistent.

10 **H.5.1.2.4 Methylmercury Production**

11 Mercury is a toxin of concern in the Delta and is present throughout the Delta system as a result of
12 historical mining operations. Inorganic mercury tends to stay sequestered in sediments but, under
13 certain biogeochemical conditions, can be transformed to a more toxic and bioavailable form called
14 methylmercury. Mercury methylation is primarily a product of sulfur-reducing bacteria and is
15 supported in anoxic environments, such as marshes. The bacterial action, and thus the rate of
16 methylmercury production, is dependent on a wide range of environmental parameters, including
17 temperature, salinity, pH, oxygenation, and redox. Current understanding of the fate and transport
18 of mercury and methylmercury in the Delta and potential effects on covered fish species is described
19 in Appendix D, *Contaminants*.

20 Production of methylmercury is not expected to result from construction and maintenance
21 activities. As explained above, mercury methylation is achieved mainly by bacterial activity in anoxic
22 environments. Construction activities will disturb and possibly suspend sediments that contain
23 mercury into the water column, but this will not result in the bacterial activity that will result in
24 methylation. In addition, *CM12 Methylmercury Management* provides procedures to minimize
25 methylmercury production in restoration areas. CM12 includes a framework to evaluate site-specific
26 probability of elevated mercury concentrations, preconstruction site characterization of mercury
27 levels, and monitoring and reporting requirements. For construction and operation of non-
28 restoration conservation measures where the probability of mercury methylation is low, permits
29 may require preconstruction sediment characterization and appropriate best management practices
30 (BMPs) to minimize suspension of mercury-contaminated sediments into the water column.

31 **H.5.1.2.5 Accidental Spills**

32 Construction-related activities may affect water quality through accidental spills of contaminants,
33 including cement, oil, fuel, hydraulic fluids, paint, and other construction-related materials.
34 Depending on the type and magnitude of an accidental spill, contaminants can directly affect growth
35 and survival of covered fish species.

36 The first step in evaluating potential water quality effects was to screen construction and
37 maintenance activities to identify those that have the potential to result in adverse effects on water
38 quality and then define those effects. Applicable state and federal permits will require that water
39 quality parameters such as turbidity remain below specified thresholds that are protective of
40 covered fish species. Those permit requirements were considered in determining whether activities
41 will result in risks to covered fish species. A summary of this screening methodology is presented in
42 Table H.5-1, and results are presented in Section H.6. The evaluation of effects on covered fish

- 1 species was based on the potential for water quality effects to occur in the same area and timeframe
- 2 as covered fish.

3 **Table H.5-1. Potential for Construction Activities to Affect Water Quality**

Activity	Conservation Measures	Location	Potential Water Quality Effects	Avoidance and Minimization Measures
Channel dredging/excavation	4, 5, 15, 21	In-water	<ul style="list-style-type: none"> • Increased turbidity • Resuspension of toxins attached to sediments • Disturbance/removal of channel sediments • Injury or loss of benthic invertebrates 	<ul style="list-style-type: none"> • Section 404 and Section 10 permits will require BMPs to minimize suspension of bottom sediments • Basin plan requirements limit turbidity levels
Installation of sheet pile for cofferdam	1, 21	In-water	<ul style="list-style-type: none"> • Increased suspension of bottom sediments and turbidity • Suspension of toxic-contaminated sediment 	<ul style="list-style-type: none"> • Section 404 and Section 10 permits will require BMPs to minimize suspension of bottom sediments • Basin plan requirements limit turbidity levels
Pile driving	1, 16, 21	In-water	<ul style="list-style-type: none"> • Increased suspension of bottom sediments and turbidity • Suspension of toxic-contaminated sediment 	<ul style="list-style-type: none"> • Section 404 and Section 10 permits will require BMPs to minimize suspension of bottom sediments • Basin plan requirements limit turbidity levels
Discharge of treated water from dewatering activities	1	In-water	• None	<ul style="list-style-type: none"> • Water will be treated prior to discharge and will meet NPDES permit requirements
Stormwater discharge (from upland construction areas)	1, 2, 4, 5, 6, 7, 14, 15, 16, 18, 19, 21	In-water	• Small discharges from upland construction areas	<ul style="list-style-type: none"> • Subject to NPDES Permit requirements
Accidental spills (from construction equipment)	1, 2, 4, 5, 6, 7, 14, 18, 19, 21	In-water	• Small discharges of petroleum products	<ul style="list-style-type: none"> • Pollution prevention programs
Excavation for restoration	2, 4, 5, 6, and 7	In-water	<ul style="list-style-type: none"> • Increased suspended sediment • Mobilization of toxic-contaminated sediment 	<ul style="list-style-type: none"> • Section 404 and Section 10 permits will require BMPs to minimize suspension of bottom sediments • Basin plan requirements limit turbidity levels

Basin plan = water quality control plan.
 BMPs = best management practices.
 NPDES = National Pollutant Discharge Elimination Service.

4

1 **H.5.1.3 Modification to Habitat**

2 **H.5.1.3.1 Removal/Destruction of Cover**

3 Cover describes the physical components of an aquatic environment that provide shelter and hiding,
4 resting, rearing, holding, and feeding areas for fish. Aquatic plants, trees, and large woody debris
5 (e.g., tree limbs, logs, rootwads) provide cover. The occurrence of many aquatic species depends on
6 the size, density, and continuity of suitable cover. Cover could be temporarily or permanently
7 removed during restoration activities such as levee reconstruction and/or breaching.

8 **H.5.1.3.2 Changes to Channel Hydraulics**

9 Channel morphology, along with flow, affects hydraulics, and together channel morphology and
10 hydraulics influence the conditions that support fish movements and provide holding, rearing, and
11 spawning habitat. Depending on the size and location of levee breaches for habitat restoration, there
12 could be temporary hydraulic changes until newly opened areas become stabilized. Stabilization
13 occurs as sediment gradually fills in the sites, raising elevations and decreasing the tidal prism and
14 associated flow velocities.

15 **H.5.1.3.3 Changes in Salinity**

16 Breaching of levees also could change salinity by increasing tidal flows in wetland channels. The
17 magnitude of the salinity effects will depend on the location and breach connection and the area of
18 the new tidal wetlands.

19 **H.5.1.3.4 Changes in Flow Velocities**

20 Changes in tidal flow velocities are a concern when they are above the sustained swimming speeds
21 of fish species. Chinook salmon are strong swimmers compared to delta smelt and can move in and
22 out of high velocity areas if necessary. However, young splittail could be excluded from edge habitat
23 if velocities are high. Velocity changes are less likely to affect steelhead, green sturgeon, and adult
24 splittail. Excess velocities typically are addressed adaptively through modifications of breach
25 locations and sizes (U.S. Bureau of Reclamation et al. 2011).

26 Spawning, rearing, and migration habitat of covered fish species (see Section H.3) could be
27 temporarily or permanently disturbed or removed because of construction or maintenance
28 activities. The methods for determining the temporary or permanent effects on habitat are
29 discussed below.

30 For CM1, existing habitat conditions of importance to fish were summarized using the Sacramento
31 River Bank Protection Project revetment database (U.S. Army Corps of Engineers 2007). This
32 database covers levees that are part of the Sacramento River Flood Control Project. In the Plan Area,
33 the full extent of the Sacramento River is one of the major channels important to covered fish
34 species that is included in the database. The revetment database was used to summarize several
35 features of existing habitat that may be important to covered fish species, including water depth,
36 presence of revetment, emergent vegetation coverage, overhead cover, and woody material. The
37 summary of bankline features was used to provide context for the potential effects of CM1 intake
38 facilities. Each intake is expected to have between 0.5 and 1.0 mile of permanent habitat disturbance
39 and 0.2 to 0.4 mile of temporary habitat disturbance.

1 For the remaining conservation measures, the exact location and timing of the construction are
2 unknown. Therefore, a qualitative analysis regarding habitat modification was prepared using best
3 professional judgment and the information in Section H.3 on spawning, rearing, and migration
4 habitats of covered fish species and the monthly presence of species by life stage in the Plan Area.

5 **H.5.1.4 Physical Injury or Loss of Individuals**

6 **H.5.1.4.1 Entrapment**

7 Physical injury or loss of individual fish could occur without proper precautions, although some
8 injuries and losses may be unavoidable. For example, under CM1, in-water work associated with
9 facility construction may include the use of temporary barriers to buffer pile driving sounds. Use of
10 these temporary barriers has the potential to entrap fish and result in physical injury or loss of
11 individual fish during entrapment or fish removal.

12 **H.5.1.4.2 Dredging/Excavation**

13 Excavation along banks and channel dredging for CM1, CM2, CM4, CM5, CM6, CM7, CM14, CM15,
14 CM16, CM18, CM19, and CM21 could cause excessive erosion or disturbance of bottom sediments.
15 Suction dredging, mechanical excavation, and front end-loading equipment can capture or crush fish
16 causing injury or mortality.

17 **H.6 Results of Analysis of Construction Effects on** 18 **Covered Fish Species**

19 The following subsections discuss results of the analysis of potential stressors and effects resulting
20 from construction activities. Results are organized according to conservation measure.

21 **H.6.1 Conservation Measure 1: Water Supply Facilities**

22 **H.6.1.1 Presence of Fish Species during Conservation Measure 1** 23 **Construction**

24 **H.6.1.1.1 Salmonids**

25 In-water construction activities for CM1 will be scheduled in order to avoid the peak migrations of
26 salmonids but will overlap with some early migrating (late fall-run), or late (spring-run) adults,
27 early steelhead adults, or late emigrating juveniles. Juvenile salmon and occasional adult salmon also
28 may be present near the barge landings during in-water construction of those sites.

29 **H.6.1.1.2 Delta Smelt**

30 Although egg, larva, and adult life stages of delta smelt are all potentially present in the vicinity of
31 the intake and barge landing areas during June, the timing of cofferdam installation (June through
32 October) will avoid most of the spawning season when delta smelt are most likely to be present. The
33 number of fish potentially migrating past the site of the intakes during the in-water construction

1 window will be very small compared to their overall population. Therefore, effects from
2 construction at the intake sites on delta smelt are expected either not to occur or to be very minimal.

3 **H.6.1.1.3 Longfin Smelt**

4 Longfin smelt likely are not present in the Sacramento River near the intake facilities. Therefore,
5 effects from construction at the intake sites on longfin smelt are expected either not to occur or to be
6 very minimal.

7 **H.6.1.1.4 Splittail**

8 Although larva and juvenile stages of Sacramento splittail are potentially present in the vicinity of
9 the intake and barge landing areas during June and July, their prevalence is very low. Typically,
10 splittail are least prevalent in the north Delta, east Delta, and south Delta, and therefore the number
11 of fish potentially present in the vicinity of the intakes and barge landings during the in-water
12 construction window (June through October) can be quite abundant during wet years, but the
13 construction effects would be minimal on their overall populations.

14 **H.6.1.1.5 Green and White Sturgeon**

15 Adult and juvenile sturgeon could occur year-round in the Sacramento River but will be rare in the
16 construction area. These adults and juveniles will be using the area for feeding and rearing. A small
17 number of adults could use the construction area in June as a migratory corridor back to the ocean.
18 The number of fish potentially migrating past the site of the intakes and barge landings during the
19 in-water construction window will be very small compared to their overall populations.

20 **H.6.1.1.6 Pacific and River Lamprey**

21 Pacific and river lamprey ammocoetes are present year-round in the Sacramento River and possibly
22 in the construction area. Presence of ammocoetes in the project area is dependent on the substrate.
23 Appropriate substrate is needed for burial of ammocoetes. Spawning adults could be migrating
24 upstream through the project area from March to August, with the majority occurring May to July.
25 The ammocoetes will be rearing and adults will be using the area as a migratory corridor. The
26 number of lamprey potentially migrating past the site of the intakes and barge landings during the
27 in-water construction window will be small compared to their overall populations.

28 **H.6.1.2 Underwater Sound**

29 The following paragraphs evaluate underwater noise generated by pile driving activities base on
30 conservative assumptions regarding the CM1 construction activities described in Section H.4.1.

31 Based on underwater sound measurements collected during sheet pile installation with an impact
32 pile driver, source sound levels (the level measured at 10 meters from the pile) could be as high as
33 205 dB maximum peak, and a single strike SEL of 180dB (California Department of Transportation
34 2009). The peak sound level will not be expected to exceed the interim criteria of 206 dB. The
35 SEL_{cumulative} is dependent on the source single-strike SEL and the number of pile strikes in a day.
36 Figure H.6-1 illustrates the attenuation of SEL_{cumulative} to the 187 dB and 183 dB interim criteria for a
37 number of sheet pile driving scenarios ranging from 5 strikes to 8,000 strikes in a day. As a
38 reasonable worst case scenario, if eight sheet piles were impact driven in a day, and assuming a
39 source sound level of 180 dB single-strike SEL and 500 strikes per sheet pile (4,000 strikes in a day),

1 SEL_{cumulative} levels will exceed the 183 dB SEL_{cumulative} (for fish smaller than 2 grams) out to 1,000
2 meters and exceed the 187 dB SEL_{cumulative} criteria (for fish larger than 2 grams) out to a distance of
3 approximately 900 meters from the pile being driven. For comparison, if only two sheet piles were
4 impact driven in a day (1,000 strikes), the distance to the 187 dB SEL_{cumulative} criteria will be
5 approximately 320 meters.

6 In order to construct the cofferdams within one in-water work window, exceedance of this criterion
7 over some distance of the river likely will be unavoidable if impact driving is required. There are no
8 effective methods to attenuate sound from impact driving of sheet pile because the sheets need to be
9 interlaced, and individual sheets cannot be isolated by casings or air bubble rings as they are driven.

10 After the cofferdam is constructed and dewatered, foundation piles will be installed to support the
11 intakes and pumping plant. As noted earlier, these piles will be cast-in-drilled hole (CIDH) piles,
12 which do not require pile driving (only drilling), or 24-inch-diameter steel pipe piles that are driven
13 then filled with concrete. It is anticipated that if piles are driven they will be primarily vibrated.
14 However, as with the sheet pile, some of these foundation piles may require impact driving. Figure
15 H.6-2 illustrates the attenuation of SEL_{cumulative} to the 183 dB and 187 dB interim criteria for a
16 number of 24-inch pipe pile driving scenarios ranging from five strikes to 8,000 strikes in a day. This
17 figure represents the piles being driven in a dewatered cofferdam, which is estimated to attenuate
18 sound transmittance to water by about 10 dB. The source maximum peak level is estimated to be
19 193 dB, and single-strike SEL level is estimated to be 167 dB based on data from other measured
20 piles (California Department of Transportation 2009).

21 If it is assumed that it takes 500 strikes per pile, and eight piles are impact driven in a day
22 (4,000 strikes total), as a reasonable worst case scenario, SEL_{cumulative} above 187 dB is calculated to
23 extend approximately 120 meters from the pile. Other than the attenuation provided by the
24 dewatered cofferdam, no methods could be used to attenuate the sound further. In order to proceed
25 with the construction, foundation piles could be driven at various times of the year, not just within
26 the in-water work windows, so the potential for covered fish to be exposed to increased sound levels
27 is higher than that described for noise increases from sheetpile installation.

28 For the barge landings, up to 36 24-inch-diameter pipe piles will be needed to support the
29 temporary docks at each of the six landings to provide service to the tunnel portals. Although
30 predominantly vibratory methods will be used to drive these piles, geologic conditions at the sites
31 are not known at this time, and some piles may require impact driving. The maximum peak source
32 level for an impact-driven 24-inch pipe pile will be 203 dB based on data from other measured piles
33 (California Department of Transportation 2009), which is below the peak criteria of 206 dB. Figure
34 H.6-3 illustrates the attenuation of SEL_{cumulative} to the 187 dB and 183 dB interim criteria for a
35 number of 24-inch pipe pile driving scenarios ranging from five strikes to 8,000 strikes in a day. This
36 figure represents the piles being driven in open water without attenuation devices. The source SEL
37 level is estimated to be 177 dB based on data from other measured piles (California Department of
38 Transportation 2009). If it is assumed it takes 500 strikes per pile, and eight piles were impact
39 driven in a day (4,000 strikes total) as a reasonable worst case scenario, SEL_{cumulative} above 187 dB is
40 calculated to extend approximately 550 meters from the pile. If an attenuation device is used (e.g.,
41 isolation casing, bubble curtain), source sound levels will be 10 dB SEL less (167 dB), and the
42 distance to attenuation to 187 dB SEL_{cumulative} will be reduced to approximately 120 meters.

43 Installation of piles with a vibratory driver does not produce underwater sound great enough to
44 exceed the interim criteria and will not cause direct physical injury to fish. However, vibratory

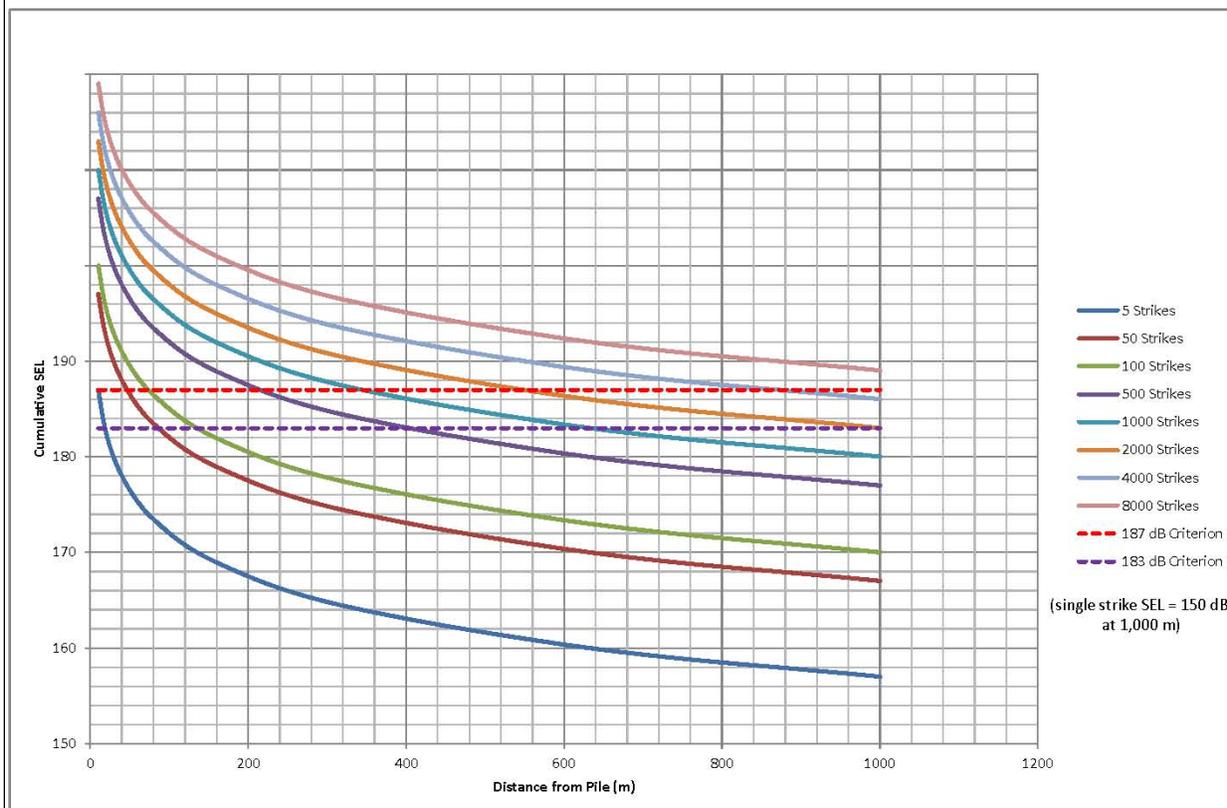
1 driving can result in non-injurious adverse effects on fish (modification of behavior). These fish may
2 respond by avoiding the area during active vibratory driving, which could result in temporary
3 delays in migration or place the fish at greater risk of predation by forcing them into areas with
4 greater densities of predators or conditions that increase predator efficiency.

5 Should impact driving of piles be required (it is assumed, based on construction of Freeport intakes,
6 that approximately 30% of the piles will be impact driven), fish in the vicinity of the intake and
7 barge landing sites on days during which impact driving occurs could be exposed to underwater
8 noise levels exceeding the SEL_{cumulative} interim criteria (data show that the peak criteria will not be
9 exceeded based on the pile size/type assumed for this project). Figure H.6-4 shows the locations of
10 the intakes and barge landings in the Delta subregions. Table H.6-1 illustrates the potential for
11 presence of covered species (by life history stage) in the areas of the Delta where the intakes (North
12 Delta subregion) and the barge landing sites (East and South Delta subregions) are located. Table
13 H.6-2 indicates the approximate area of water bodies exposed to underwater sound levels exceeding
14 183 dB SEL_{cumulative}.

Administrative Draft

Pile	24-inch AZ steel sheet pile							
Peak (dB) at 10 m	205							
Single Strike SEL (dB) at 10 m	180							
Distance (m) to 150 dB SEL	1000							
Attenuation Factor	15							
Number of Strikes Per Day	5	50	100	500	1000	2000	4000	8000
Distance from Pile (m)								
10	187	197	200	207	210	213	216	219
20	182	192	195	202	205	208	212	215
40	178	188	191	198	201	204	207	210
80	173	183	186	193	196	199	202	205
160	169	179	182	189	192	195	198	201
320	164	174	177	184	187	190	193	196
640	160	170	173	180	183	186	189	192
1000	157	167	170	177	180	183	186	189

Source Data: Table I.2-1 (Caltrans 2009). Attenuation of 4.5 dB per doubling of distance assumed.

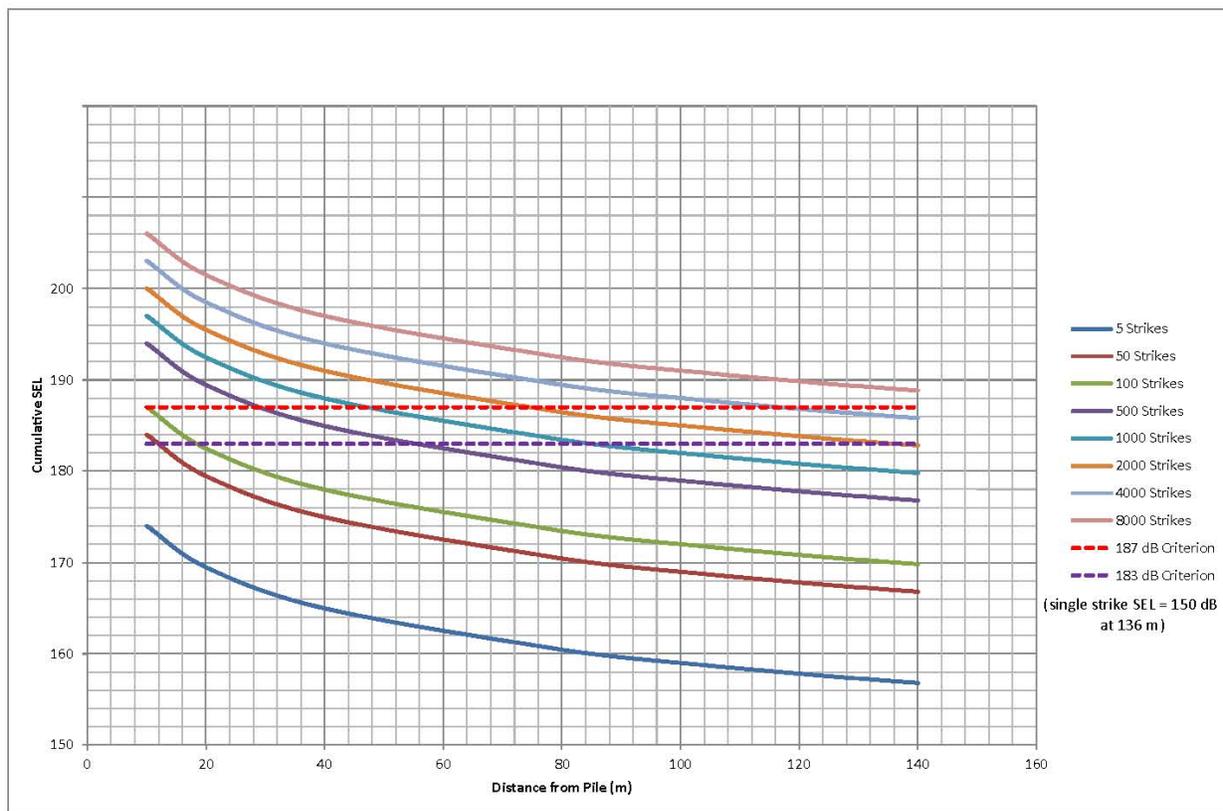


1
2

Figure H.6-1. Sheet Pile Impact Driving (Single Strike SEL = 180 dB at 10 m)

Pile	24-inch steel pipe pile in dewatered cofferdam	(assumes 10 dB attenuation from dewatered cofferdam)							
Peak (dB) at 10 m	193								
Single Strike SEL	167								
Distance (m) to 150 dB SEL	136								
Attenuation Factor	15								
Number of Strikes Per Day	5	50	100	500	1000	2000	4000	8000	
Distance from Pile (m)									
10	174	184	187	194	197	200	203	206	
20	169	179	182	189	192	195	199	202	
40	165	175	178	185	188	191	194	197	
80	160	170	173	180	183	186	189	192	
100	159	169	172	179	182	185	188	191	
120	158	168	171	178	181	184	187	190	
130	157	167	170	177	180	183	186	189	
140	157	167	170	177	180	183	186	189	

Source Data: Table I.2-1 (Caltrans 2009). Attenuation of 4.5 dB per doubling of distance assumed.

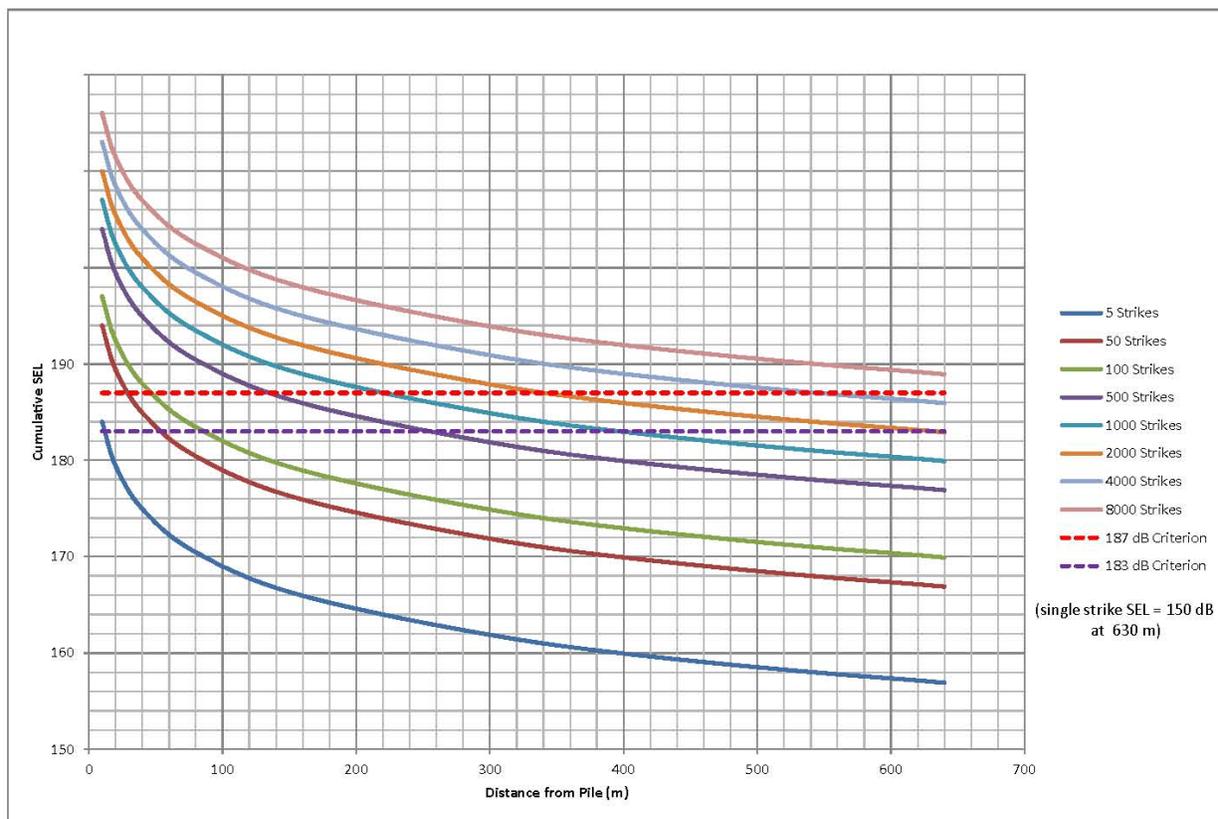


1
2
3

Figure H.6-2. 24-Inch Steel Pipe Pile in Dewatered Cofferdam Impact Driving (Single Strike SEL = 167 dB at 10 m)

Pile	24-inch steel pipe pile								
Peak (dB) at 10 m	203								
Single Strike SEL	177								
Distance (m) to 150 dB SEL	631								
Attenuation Factor	15								
Number of Strikes Per Day	5	50	100	500	1000	2000	4000	8000	
Distance from Pile (m)									
10	184	194	197	204	207	210	213	216	
20	179	189	192	199	202	205	209	212	
40	175	185	188	195	198	201	204	207	
80	170	180	183	190	193	196	199	202	
160	166	176	179	186	189	192	195	198	
320	161	171	174	181	184	187	190	193	
480	159	169	172	179	182	185	188	191	
640	157	167	170	177	180	183	186	189	

Source Data: Table I.2-1 (Caltrans 2009). Attenuation of 4.5 dB per doubling of distance assumed.



1
2
3

Figure H.6-3. 24-Inch Steel Pipe Pile Impact Driving (Single Strike SEL = 177 dB at 10 m)

1
2

[Figure in Preparation]

Figure H.6-4. Tunnel Option Intake and Barge Landing Locations

Administrative Draft

1
2
3

Table H.6-1. Life Stages of Covered Species Present in the North, East and South Delta Subregions during the in-Water Construction Window (June 1–October 31)

Fish Species	North Delta			East Delta			South Delta		
	Life Stage	Timing	Size	Life Stage	Timing	Size	Life Stage	Timing	Size
Delta smelt ¹	Adult	Jun	>2g	Adult	Jun	>2g	Adult	Jun	>2g
	Larva	Jun–Jul	<2g	Larva	Jun–Jul	<2g	Larva	Jun–Jul	<2g
Longfin smelt ²	Adult	Jun	>2g	Adult	Jun	>2g	Adult	Jun	>2g
	Larva	Jun–Jul	<2g	Larva	Jun–Jul	<2g	Larva	Jun–Jul	<2g
Central Valley steelhead ³	Adult	Jun –Oct	>2g	Adult	Jul –Oct	>2g	Adult	Jul –Oct	>2g
	Juvenile	Oct	>2g	Juvenile	Jun–Jul	>2g			
Winter-run Chinook salmon ⁴	Adult	Jun	>2g						
	Juvenile	Sep–Oct	<2g, >2g	Juvenile	Sep–Oct	<2, >2	Juvenile	Sep–Oct	<2, >2
Spring-run Chinook salmon ⁵	Adult	Jun –Jul	>2g						
Late fall–run Chinook salmon ⁶	Juvenile	Jun–Oct	>2g	Juvenile	Jun–Oct	>2g	Juvenile	Jun–Oct	>2g
Fall-run Chinook salmon ⁷	Adult	Sep –Oct	>2g	Adult	Sep –Oct	>2g	Adult	Sep –Oct	>2g
	Juvenile	Jun –Oct	<2g, >2g	juvenile	Jun –Sept	<2g, >2g	juvenile	Jun –Sept	<2g, >2g
Splittail ⁸	Larva	Jun	<2g	Larva	Jun		Larva	Jun	<2g
	Juvenile	Jun–Jul	<2g	Juvenile	Jun–Jul		Juvenile	Jun–Jul	<2g
Green sturgeon ⁹	Adult	Jun	>2g						
	Juvenile	Jun–Oct	>2g	Juvenile	Jun–Oct	>2g	Juvenile	Jun–Oct	>2g
White sturgeon ¹⁰	Adult	Jun	>2g				Adult	Jun	>2g
	Larva	Jun–Jul	<2g	Larva	Jun–Jul	<2g	Larva	Jun–Jul	<2g
	Juvenile	Jun–Oct	>2g	Juvenile	Jun–Oct	>2g	juvenile	Jun–Oct	>2g
Pacific lamprey ¹¹	Adult	Jun–Jul	>2g	Adult	Jun–Jul	>2g	Adult	Jun–Jul	>2g
	Ammocoetes	Jun–Oct	>2g	Ammocoetes	Jun–Oct	>2g	Ammocoetes	Jun–Oct	>2g
River lamprey ¹²	Adult	Sep–Oct	>2g	Adult	Sep–Oct	>2g	Adult	Sep–Oct	>2g
	Ammocoetes	Jan–Dec	>2g	Ammocoetes	Jan–Dec	>2g	Ammocoetes	Jan–Dec	>2g
	Macrophthalmia	Jun–Jul	>2g	Macrophthalmia	Jun–Jul	>2g	Macrophthalmia	Jun–Jul	>2g
Green=abundant ¹³ Orange=semi-abundant Yellow=low abundance White=unsure if present									

Fish Species	North Delta			East Delta			South Delta		
	Life Stage	Timing	Size	Life Stage	Timing	Size	Life Stage	Timing	Size
Sources:									
¹ Bennett 2005; Baxter et al. 2008; California Department of Fish and Game 2007; Moyle et al. 1992; Nobriga and Herbold 2009; Sommer et al. 2011.									
² Rosenfield 2010; Hieb and Baxter 1993; Baxter 1999a; Dege and Brown 2004; Bennett et al. 2002; Moyle 2002; Hobbs et al. 2006; Rosenfield and Baxter 2007; Feyrer et al. 2003.									
³ Hallock et al. 1961; McEwan 2001; U.S. Fish and Wildlife Service unpublished data; California Department of Fish and Game 1995; Hallock et al. 1957 based on limited unpublished data from DFG Steelhead Report Card; California Department of Fish and Game unpublished data; ⁸ Snider and Titus 2000; ⁹ Nobriga and Cadrett 2003; Jones & Stokes Associates 2002; S.P. Cramer and Associates, Inc. 2000, 2001; Schaffter 1980.									
⁴ Yoshiyama et al. 1998; Moyle 2002; Myers et al. 1998; Martin et al. 2001; Snider and Titus 2000; U.S. Fish and Wildlife Service 2006.									
⁵ Yoshiyama et al. 1998; Moyle 2002; Myers et al. 1998; Lindley et al. 2006; California Department of Fish and Game 1998; McReynolds et al. 2005; Ward et al. 2002, 2003; Snider and Titus 2000; U.S. Fish and Wildlife Service 2001.									
⁶ State Water Project and Federal Water Project fish salvage data 1981–1988. Yoshiyama et al. 1998; Moyle 2002; Martin et al. 2001; U.S. Fish and Wildlife Service 2001.									
⁷ State Water Project and Federal Water Project fish salvage data 1981–1988. Yoshiyama et al. 1998; Moyle 2002; Martin et al. 2001; U.S. Fish and Wildlife Service 2001.									
⁸ Baerwald 2007; Moyle et al. 2004; Feyrer et al. 2005; Crain et al. 2004; R. Tilstra pers. comm.; T. Ford pers. comm.; T. Heyne pers. comm.; M. Horvath pers. comm.; Baxter 1999b; M. Stevenson pers. comm.; Sommer et al. 1997; Caywood 1974; Meng and Matern 2001; Daniels and Moyle 1983; Sommer et al. 2001a; Feyrer and Baxter 1998; Kratville 2008.									
⁹ U.S. Fish and Wildlife Service 2002; Moyle et al. 1992; Adams et al. 2002; National Marine Fisheries Service 2005; Kelly et al. 2007; California Department of Fish and Game 2002; BDAT fall midwater trawl green sturgeon captures from 1969 to 2003; Nakamoto et al. 1995; Heublein et al. 2006.									
¹⁰ Moyle 2002; Surface Water Resources 2004; Welch et al. 2006; PSMFC 1996; Kolhorst 1976; Wang 1986; Israel and Klimley 2009.									
¹¹ Morrow 1980; Moyle 2002; Brown and Moyle 1993; Streif 2008; Ruiz-Campos and Gonzalez-Guzman 1996; Renaud 2008; Swift et al. 1993; Roffe and Mate 1984.									
¹² Moyle 2002; Vladykov and Follett 1958; Moyle et al. 1995; Beamish and Youson 1987; Beamish and Neville 1995; Streif 2008.									

1

1 **Table H.6-2. Length, Width, and Area of Water Bodies Potentially Exposed to Impact Pile Driving Noise above 183 dB SELcumulative If It Is**
 2 **Required**

Intake or Barge Landing	Length of Water Body Experiencing Underwater Sound Levels above 183 dB SEL_{cumulative} (feet)	Width of Water Body Experiencing Underwater Sound Levels above 183 dB SEL_{cumulative} (feet)	Area of Water Body Experiencing Underwater Sound Levels above 183 dB SEL_{cumulative} (square feet [acres])	Potential Timeframe of Exposure³
Intake 1	6,560 ¹	425	2,788,000 [64 acres]	It is assumed vibratory pile driving will occur approximately 70% of the time, and there will be no area affected by underwater sound above thresholds.
Intake 2	6,560 ¹	645	4,231,200 [97 acres]	
Intake 3	6,560 ¹	560	3,673,600 [84 acres]	
Intake 4	6,560 ¹	615	4,034,400 [93 acres]	
Intake 5	6,560 ¹	535	3,509,600 [91 acres]	
Walnut Grove Landing	906 ²	300	271,800 [6.2 acres]	It is assumed impact pile driving will occur 30% of the time, and the areas will be affected between 6 and 30 days.
Tyler Island Landing	906 ²	400	362,400 [8.3 acres]	
Venice Island Landing	906 ²	150	135,900 [3.1 acres]	
Bacon Island Landing	906 ²	350	317,100 [7.3 acres]	
Woodward Island Landing	906 ²	380	344,280 [7.9 acres]	
Victoria Island Landing	906 ²	380	344,280 [7.9 acres]	
Notes: ¹ based on NMFS model—the single-strike SEL for impact cofferdam pile driving will attenuate to 150 dB (which is not considered to harmfully accumulate) at 1,000 meters (3,280 feet); thus the maximum distance (upstream plus downstream combined) that will be exposed to 183 dB SEL _{cumulative} will be 6,560 feet. ² based on NMFS model—for 24-inch-diameter impact pile driving with bubble curtain, the single-strike SEL will attenuate to 150 dB (which is not considered to harmfully accumulate) at 138 meters (453 feet); thus the maximum distance (upstream plus downstream combined) that will be exposed to 183 dB SEL _{cumulative} will be 906 feet. ³ Site-specific conditions will dictate the actual need and timeframe for vibratory and/or impact pile driving.				

3

1 Depending on the number of strikes in a day, impact pile driving could result in injury to fish near
 2 the pile driving. For all species, pile driving at the intakes will occur during the first year of
 3 construction only (only one cohort affected); however, it will be staggered from June through
 4 October. Table H.6-3 summarizes the duration of potential exposure to underwater sound during
 5 impact pile driving for the species that are present between June and October. Below the table is a
 6 discussion of potential exposure to underwater sound by species and life stage.

7 **Table H.6-3. Species and Duration of Exposure to Impact Pile Driving during Cofferdam Installation**

Species	Life Stage and Month(s) Present in Areas Affected by Underwater Sound during Cofferdam Installation ¹	Duration of Potential Exposure (Days per Month(s) Present) ²
Delta smelt	Adult—June	6
	Larval—June and July	12
Longfin smelt	Adult—June	6
	Larval—June and July	12
Chinook salmon (fall-run)	Adults—Sept and Oct	12
	Juveniles—June through Oct	30
Chinook salmon (late fall-run)	Juveniles—June through October	30
Chinook salmon (winter-run)	Adult—June	6
	Juveniles—September and October	12
Chinook salmon (spring-run)	Adult—June and July	12
Steelhead	Adult—June and July	12
	Adult—October	6
	Juvenile—June and July	12
Splittail	Larvae—June	6
	Juveniles—June and July	12
Green sturgeon	Adult—June	6
	Juveniles—June through October	30
White sturgeon	Adults—June	6
	Juveniles—June–October	30
	Larvae—June and July	12
Pacific lamprey	Adults—June and July	12
	Ammocoetes—June through October	30
River lamprey	Adults—September and October	12
	Ammocoetes—June through October	30
	Macrophthalmia—June and July	12

Notes:

¹ For the barge landings, if it is assumed that it takes 10 days to install the piles at each site, each site might experience 3 days of impact driving (and potential effects). All species except winter- and late-fall run could be present at barge sites.

² Assumes 30% of pile driving is impact driving during period of presence and assumes 5 days per week of work for a total of 20 work days per month.

8

1 **H.6.1.2.1 Delta Smelt**

2 Delta smelt eggs will not experience underwater sound because the locations of the intakes and
3 barge landings are not considered suitable habitat for this life stage of this species; therefore, effects
4 will not occur.

5 There is a very small potential for adult or larval delta smelt to be in the vicinity of the intakes and
6 the barge landing sites during in-water construction (in June and between June and July,
7 respectively). Delta smelt tend to occupy the western Delta subregion and will be in very low
8 abundance in the North, East and South Delta subregions during this time; therefore, fish densities
9 in areas affected by pile driving will be exceedingly low. Adult delta smelt complete their spawning
10 cycle and die by mid- to late June. Larval delta smelt, which move with the currents, potentially
11 could drift through the underwater sound-affected area(s); however, their distribution during this
12 time is predominantly in the western Delta, rather than the northern and southern Delta where the
13 intake pile driving could occur. If an individual larval delta smelt were present in the areas affected
14 by underwater sound from pile driving above 183 dB SEL_{cumulative}, it could experience an adverse
15 effect, such as injury or mortality. Because the density of larval delta smelt is expected to be
16 exceptionally low in all pile driving locations, the potential for delta smelt to experience an adverse
17 effect (e.g., injury or mortality) is very low.

18 **H.6.1.2.2 Longfin Smelt**

19 Longfin smelt eggs will not experience underwater sound because the locations of the intakes and
20 barge landings are not considered suitable habitat for the life stage of this species; therefore, effects
21 will not occur.

22 Similar to delta smelt, there is a very small potential for adult or larval longfin smelt to be in the
23 vicinity of the intakes and all barge landing sites during in-water construction (in June and between
24 June and July respectively). Longfin smelt will be in very low abundance in the North, East and South
25 Delta subregions during the construction periods, and densities in areas potentially affected by pile
26 driving will be very low. Larval longfin smelt, which move with the currents, potentially could drift
27 through the underwater sound-affected areas; however, their distribution is predominantly located
28 in the western Delta, rather than the northern and southern Delta where the intake pile driving will
29 occur. If an individual larval longfin smelt were present in the areas ensounded by pile driving above
30 183 dB SEL_{cumulative}, it could experience an adverse effect, such as injury or mortality. Because the
31 overall densities of larval longfin smelt are expected to be exceptionally low in all pile driving
32 locations, the potential for longfin smelt to experience an adverse effect (e.g., injury or mortality)
33 will be very low.

34 **H.6.1.2.3 Central Valley Steelhead**

35 Central Valley steelhead eggs and fry will not experience underwater sound from pile driving
36 because the locations of the intakes and barge landings are not considered suitable habitat for these
37 two life stages of this species; therefore, effects will not occur.

38 Adult Central Valley steelhead could be present near the construction areas of the intakes and barge
39 landings during June and July. Adults use the Sacramento and San Joaquin Rivers on their migration
40 to upriver spawning areas during the spring and summer. However, densities of the adults will be
41 very low, as June and July do not overlap peak migration periods. Steelhead will have a moderate
42 abundance near the construction areas for intakes in October. Adult steelhead are large and are able

1 to avoid injurious exposure to underwater noise from pile driving. They may experience short
2 delays in migration past the intakes when pile driving is occurring; however, pile driving will occur
3 only intermittently through 8 hours per day, and minor migration delays will not affect their ability
4 to successfully reach spawning grounds. Therefore, the potential for adult Central Valley steelhead
5 to experience an adverse effect (e.g., injury or mortality, migratory disturbance) is low because of
6 their size, ability to move away from the underwater sound, and potentially low to moderate
7 temporal and spatial migration distribution around the construction areas.

8 Juvenile steelhead that have migrated downriver could be moderately abundant in the vicinity of the
9 intakes and barge landing sites during June and July. The habitat in these areas is considered poor
10 because of relatively steep riprap banks and deep channels with little refuge, which may limit their
11 overall abundance in these areas. Although it is not possible to predict the number of steelhead that
12 will be exposed to underwater sound at the construction locations, underwater noise could exceed
13 the criteria for approximately 8 hours a day for 12 days at each intake during the June and July
14 period and 6 days during October,⁶ and underwater sound could exceed the criteria for
15 approximately 5 days for barge landings. Therefore, individual juvenile steelhead present could be
16 injured or killed if the exposures are great enough⁷. There will be a moderate potential for juvenile
17 Central Valley steelhead to experience an adverse effect (e.g., injury or mortality, migratory
18 disturbance) because of their size, ability to move away from the underwater sound source,
19 moderate temporal and spatial distribution around the construction sites, and the intermittent
20 nature of potential exposure above the tolerance thresholds.

21 **H.6.1.2.4 Winter-Run Chinook Salmon**

22 Winter-run Chinook salmon eggs and alevins will not experience underwater sound because the
23 locations of the intakes and barge landings are not considered suitable habitat for these two life
24 stages of this species, and they will not be present during the in-water construction period (June
25 through October); therefore, effects will not occur. Winter-run Chinook salmon fry, although not
26 numerous, do occur in late October, with the majority of the fry migrating in late November and
27 December (Snider and Titus 2000).

28 Adult winter-run Chinook salmon could be present near the construction areas of the intakes during
29 June and July toward the end of their upstream migration period. Adults are not present near the
30 barge landings in the eastern and southern subregions, as spawning habitats for this run occur in
31 the Sacramento River. Adult winter-run Chinook salmon are large and have the mobility to avoid
32 injurious exposure to underwater noise from pile driving. They may experience short delays in
33 migration past the intakes when pile driving is occurring; however, pile driving will occur only
34 intermittently through 8 hours per day, and minor migration delays will not affect their ability to
35 successfully reach spawning grounds. Therefore, underwater sound has a low potential of affecting
36 adult winter-run Chinook salmon because of their size, ability to move away from exposure, and
37 potentially low temporal and spatial distribution during construction periods.

38 Juvenile winter-run Chinook salmon will be in low abundance during in-water construction periods
39 in the North Delta subregion in September and October. The density of juvenile winter-run Chinook
40 salmon near the specific intake and barge landing sites during June and July unknown; however, the

⁶ Assuming 30% of the cofferdam sheetpiles are impact driven as described under *Methods*.

⁷ As identified under *Methods*, NMFS model assumes that a fish is stationary within the impact area throughout the entire exposure (a day of pile driving).

1 habitat in these areas is considered poor because of relatively steep riprap banks and deep channels
2 with little refuge, which may limit their overall abundance in these areas. Although juveniles will
3 have a moderate abundance around the intakes during June and July construction, there will be a
4 low potential for juvenile winter-run Chinook salmon to experience an adverse effect (e.g., injury or
5 mortality, migratory disturbance) because of their size, ability to move away from the underwater
6 sound, and the intermittent nature of potential exposure above the tolerance thresholds.

7 **H.6.1.2.5 Spring-Run Chinook Salmon**

8 Spring-run Chinook salmon eggs and fry will not experience underwater sound because the
9 locations of the intakes and barge landings are not considered suitable habitat for these two life
10 stages of this species, and they will not be present during the in-water construction period (June
11 through October); therefore, effects will not occur. Likewise, juvenile spring-run Chinook salmon
12 will not occur near the intakes or barge landings during the in-water construction period (June
13 through October). Therefore, no effects will occur on juvenile spring-run Chinook salmon as a result
14 of underwater sound.

15 Adult spring-run Chinook salmon will have a moderate potential to be in the North Delta subregion
16 in June and a low potential to be in the North Delta subregion in July during intake construction
17 activities. Adults use the Sacramento River to migrate to upriver spawning areas. Adults will not
18 occur near the barge landings in the eastern and southern subregions. Adult spring-run Chinook
19 salmon are large and have the mobility to avoid injurious exposure to underwater noise from pile
20 driving. They may experience short delays in migration past the intakes when pile driving is
21 occurring; however, pile driving will occur only intermittently through 8 hours per day, and minor
22 migration delays will not affect their ability to successfully reach spawning grounds. Therefore, the
23 potential for adult spring-run Chinook salmon to experience an adverse effect (e.g., injury or
24 mortality, migratory disturbance) is low because of their size, ability to move away from the
25 underwater sound, and potentially low to moderate temporal and spatial migration distribution
26 around the intake construction areas.

27 **H.6.1.2.6 Late Fall–Run Chinook Salmon**

28 Late fall–run Chinook salmon eggs and fry will not experience underwater sound because the
29 locations of the intakes and barge landings are not considered suitable habitat for these two life
30 stages of this species, and they will not be present during construction timeframes (June through
31 October); therefore, effects will not occur.

32 Adult late fall–run Chinook salmon will not occur near the intakes or barge landing sites during the
33 in-water construction period (June through October). Therefore, no effects will occur on adult late
34 fall–run Chinook salmon as a result of underwater sound.

35 Juvenile late fall–run Chinook salmon greater than 2 grams have a very low potential to occur near
36 the intakes and barge landing sites throughout the June through October period. Additionally, the
37 habitat in these areas is considered poor because of relatively steep riprap banks and deep channels
38 with little refuge, which may further limit their overall abundance. Therefore, the potential for
39 juvenile late fall–run Chinook salmon to experience an adverse effect (e.g., injury or mortality,
40 migratory disturbance) is low because of their size, ability to move away from the underwater
41 sound, very low temporal and spatial migration distribution around the intake and barge landing
42 construction areas, and the intermittent nature of potential exposure above the tolerance
43 thresholds.

1 **H.6.1.2.7 Fall-Run Chinook Salmon**

2 Fall-run Chinook salmon eggs and fry will not experience underwater sound because the locations of
3 the intakes and barge landings are not considered suitable habitat for these two life stages of this
4 species, and they will not be present during construction timeframes (June through October);
5 therefore, effects will not occur.

6 Adult fall-run Chinook salmon are expected to be semi-abundant to abundant near the construction
7 areas of the intakes and barge landing sites in September and October. Adults use the Sacramento
8 River and pass by the construction areas on their migration to upriver spawning areas. Adult fall-
9 run Chinook salmon are large and have the mobility to avoid injurious exposure to underwater noise
10 from pile driving. They may experience short delays in migration past the intakes and barge
11 landings when pile driving is occurring; however, pile driving will occur only intermittently through
12 8 hours per day, and minor migration delays will not affect their ability to successfully reach
13 spawning grounds. Therefore, the potential for adult fall-run Chinook salmon to experience an
14 adverse effect (e.g., injury or mortality, migratory disturbance) is low because of their size, ability to
15 move away from the underwater sound, and potentially low temporal and spatial migration
16 distribution around the construction areas.

17 Juvenile fall-run Chinook salmon have a low to moderate potential to occur near the intakes during
18 pile driving in June through October, and near the barge landing sites during June to September. The
19 density of juvenile fall-run Chinook salmon near the specific intake and barge landing sites during
20 the in-water construction period is unknown. However, the habitat in these areas is considered poor
21 because of relatively steep riprap banks and deep channels with little refuge, which may further
22 limit their overall low to moderate abundance. Given their low numbers in the eastern and southern
23 subregions, the relatively small areas affected by underwater noise, and the intermittent nature of
24 the impact pile driving, there is only a small chance fall-run Chinook salmon will be exposed at the
25 barge landings. Therefore, the potential for juvenile fall-run Chinook salmon to experience an
26 adverse effect (e.g., injury or mortality, migratory disturbance) is low because of their size, ability to
27 move away from the underwater sound, potentially low to moderate temporal and spatial
28 distribution during construction. Furthermore, potential exposure above the tolerance thresholds
29 will be intermittent and limited.

30 **H.6.1.2.8 Splittail**

31 Larval splittail could occur in the vicinity of the intakes in June, and juvenile splittail could be in the
32 vicinity of these sites in June and July during the in-water construction. The numbers of larval and
33 juvenile splittail are not known, but abundance is expected to be very low during these months
34 because they are typically not present in the north, east, or south Delta. Larval and juvenile splittail
35 near the construction areas will be expected to be less than 2 grams and will move with the currents.
36 The potential for splittail to be exposed to pile driving noise will be relatively small, given the
37 location of the intakes in the Sacramento River, the relatively small areas affected by underwater
38 noise in the East and South Delta subregions. Therefore, the potential for larval and juvenile splittail
39 to experience an adverse effect (e.g., injury or mortality) is low because of their very low temporal
40 and spatial distribution during construction and intermittent and limited potential exposure above
41 the tolerance thresholds.

1 **H.6.1.2.9 Green Sturgeon**

2 Green sturgeon eggs and larvae will not experience underwater sound because the locations of the
3 intakes and barge landings are not considered suitable habitat for these two life stages of this
4 species and they will not be present during construction timeframes (June through October);
5 therefore, effects will not occur.

6 Adult green sturgeon could occur near the intakes during pile driving in June as they migrate
7 upriver to spawn. However, they will not be present near the barge landing sites as they are
8 typically not present in the East and South Delta subregions. Adult green sturgeon are large and are
9 able to avoid injurious exposure to underwater noise from pile driving. They may experience short
10 delays in migration past the intakes when pile driving occurs; however, pile driving will occur only
11 intermittently through 8 hours per day, and minor migration delays will not affect their ability to
12 successfully reach spawning grounds. Therefore, the potential for adult green sturgeon to
13 experience an adverse effect (e.g., injury or mortality, migratory disturbance) is low because of their
14 size, ability to move away from the underwater sound, and potentially low temporal and spatial
15 distribution during construction. Furthermore, potential exposure of green sturgeon to underwater
16 sound above the tolerance thresholds will be intermittent and limited.

17 Juvenile green sturgeon will be in very low abundance near the intakes and barge landing sites
18 throughout the June through October pile driving period. Given their low numbers in the North, East
19 and South Delta subregions, the relatively small areas affected by underwater noise in the East and
20 South Delta, and the intermittent nature of potential exposure to underwater sound above the
21 tolerance thresholds, there is only a small chance that juvenile green sturgeon will be exposed at
22 the barge landings. Therefore, the potential for juvenile green sturgeon to experience an adverse
23 effect (e.g., injury or mortality) is low.

24 **H.6.1.2.10 White Sturgeon**

25 Adult white sturgeon could occur near the intakes during pile driving in June as they migrate
26 upriver to spawn. Adults also could occur near the barge landing in the South Delta subregion in
27 June. Adult white sturgeon are large and are able to avoid injurious exposure to underwater noise
28 from pile driving. They may experience short delays in migration past the intakes when pile driving
29 is occurring; however, pile driving will occur only intermittently through 8 hours per day, and minor
30 migration delays will not affect their ability to successfully reach spawning grounds. Therefore, the
31 potential for adverse effects on adult white sturgeon as a result of underwater sound is low.

32 Larvae and juvenile white sturgeon are expected to be in low abundance near the intakes and the
33 barge landing sites during pile driving during June–July and June–October, respectively. Given their
34 likely low numbers in the East and South Delta subregions, the relatively small areas affected by
35 underwater noise in those areas, and the intermittent nature of potential exposure above the
36 tolerance thresholds, there is only a small chance that white sturgeon will be exposed to injurious
37 underwater sounds from pile driving at the barge landings. Therefore, the potential for adverse
38 effects on larvae and juvenile white sturgeon as a result of underwater sound is low.

39 **H.6.1.2.11 Pacific Lamprey**

40 Adult lamprey and their ammocoetes could be present in the vicinity of the intakes and barge
41 landings during pile driving during June–July and June–October, respectively. However, ammocoetes
42 are in low abundance at all in-water pile driving sites. Adults are considered moderately abundant

1 in June and July near the intakes, but are in low abundance in the East and South Delta subregions
2 where barge landings are located. Adult lamprey are large and are able to avoid injurious exposure
3 to underwater noise from pile driving. Given their likely low numbers in the East and South Delta
4 subregions, the relatively small areas affected by underwater noise in the those areas, and the
5 intermittent nature of potential exposure above the tolerance thresholds, there is only a small
6 chance that adult lamprey or their ammocoetes will be exposed to injurious underwater sounds
7 from pile driving at the barge landings. Although adults will be moderately abundant in June and
8 July near the intakes, their size and ability to move away from the underwater sound in the northern
9 Delta will result in a low potential for adverse effects as a result of underwater sound.

10 **H.6.1.2.12 River Lamprey**

11 Adult lamprey and their ammocoetes and macrophthalmia stages could be present in the vicinity of
12 the intakes and barge landings during pile driving during September–October and June–July,
13 respectively. The density of adult lamprey, ammocoetes and macrophthalmia near the specific intake
14 and barge landing sites during the in-water construction period is unknown, but densities are
15 expected to be low in all areas where in-water work will occur. Given their likely low numbers in the
16 North, East and South Delta subregions, the relatively small areas affected by underwater noise
17 compared to available habitat, and the intermittent nature of potential exposure above the tolerance
18 thresholds, there is only a small chance that this species will be exposed to injurious underwater
19 sounds from pile driving. Therefore, there is low potential for adverse effects to occur on river
20 lamprey as a result of underwater sound.

21 **H.6.1.3 Water Quality**

22 The majority of the intake construction will occur within the channel and channel banks behind a
23 cofferdam. Therefore, any water quality effects of CM1 will be minimal during construction.
24 Constructing the conveyance facilities will intersect a large number of agricultural ditches and
25 drains and may require in-water construction at certain slough crossings, but this is also likely to
26 have minimal effects on water quality because permit requirements will regulate activities with
27 inclusion of BMPs to result in minimal water quality effects. The potential effects of turbidity and
28 suspension of potentially toxic sediments and accidental spills associated with these activities are
29 described below.

30 **H.6.1.3.1 Turbidity**

31 As indicated in Table H.2-1 and Table H.2-2, cofferdam installation at the intakes and pile driving at
32 the barge landings will disturb bottom sediments and could result in turbidity levels that could
33 affect covered fish species. In-water construction activities that could generate increased turbidity
34 are not continuous. Sheet pile driving for the cofferdams will occur during an approximately 8-hour
35 period each day for up to 5 months (during the in-water work window). In-water work associated
36 with constructing the barge landings could take several weeks but will be confined to 8-hour
37 periods each work day.

38 DWR and its contractors will obtain all required local permits, clearances, and National Pollutant
39 Discharge Elimination System (NPDES) permits or other waste discharge requirements (WDRs)
40 from the Regional Water Quality Control Board (Regional Water Board) and implement appropriate
41 BMPs to protect water resources from contamination. Following is a list of turbidity limits that will
42 be upheld throughout all construction activities.

- 1 • Where natural turbidity is between 0 and 5 NTUs, increases will not exceed 1 NTU.
- 2 • Where natural turbidity is between 5 and 50 NTUs, increases will not exceed 20%.
- 3 • Where natural turbidity is between 50 and 100 NTUs, increases will not exceed 10 NTUs.
- 4 • Where natural turbidity is greater than 100 NTUs, increases will not exceed 10%.

5 In general, in the Delta the turbidity is often 20–40 NTUs and decreases to less than 10 NTUs during
6 low-flow conditions. Turbidity increases in the rivers during high flows (to 250–500 NTUs) and is
7 generally high in Suisun Bay (measurements of 50–100 NTUs common) from tidal resuspension. For
8 reference in terms of the effects of turbidity on covered fish species, elevated turbidity levels can
9 have a negative effect on fish, but moderate levels of turbidity (e.g., 35–150 NTUs) can increase
10 foraging rates, presumably in response to reduced vulnerability to sight-feeding predators (Gregory
11 and Northcote 1993).

12 In-water activities will be monitored per the terms of the applicable permits to ensure that the
13 turbidity limits are not exceeded. Generally, if in-water activities resulted in turbidity levels that
14 approached these limits, the activity will be slowed so that turbidity can be maintained at levels in
15 compliance with these limitations.

16 In-water construction activities will have minimal effects on covered fish species. The expected
17 increases in turbidity and suspended sediment will be of short duration, limited in extent, and
18 monitored for compliance with regulatory standards. In addition, any localized increases in
19 suspended sediment and turbidity likely will be diluted quickly as a result of the mixing potential
20 associated with channel currents. Potential effects on covered fish species likely will be limited to
21 indirect effects resulting from the behavioral response of fish to turbid water and suspended
22 sediment in the affected portion of aquatic habitats. Such responses include avoidance of high
23 turbidity, changes in foraging ability, increased predation risk, and reduced territoriality (Meehan
24 and Bjornn 1991; Bash et al. 2001). However, most increases in turbidity and suspended sediment
25 will occur during approved work windows in the summer period when fewer individuals of
26 migratory species (e.g., Chinook salmon, steelhead, splittail, sturgeon) are likely to be present in the
27 south Delta (River Islands).

28 **H.6.1.3.2 Toxins**

29 As discussed in Section H.5.1.2, *Water Quality*, toxic substances are present in both water and
30 sediment in the Delta aquatic environment. In-water construction activities will result in suspension
31 of sediments that may contain toxic contaminants.

32 A discussion of the available sediment chemical data and the factors that will determine the
33 potential for impacts from toxins in sediments during CM1 construction and maintenance activities
34 is presented below. This discussion includes the fate and transport characteristics and conceptual
35 models for each of the chemicals, as presented in Appendix D.

36 The five water intakes will be located in the Sacramento River, downstream of the main urban area
37 of the city of Sacramento. Sediments at these locations could be affected by historical and current
38 urban discharges from the city of Sacramento. Of the urban-related toxic constituents identified in
39 Appendix D, metals (lead and copper), hydrocarbons, organochlorine pesticides, and PCBs are
40 common urban contaminants with the greatest affinity for sediments and potentially could be
41 present in sediments that will be disturbed during installation of the cofferdams. In addition,
42 mercury is present in the Sacramento River system and could be sequestered in bottom sediments.

1 The barge landings will be constructed on smaller waterways and are more likely to have
2 agriculture-related toxins, including copper and organochlorine pesticides.

3 Turbidity, and in turn suspension of sediments, will be minimized by requirements of the U.S. Army
4 Corps of Engineers (USACE) Section 404 permit and the Section 10 Water Quality Permit, along with
5 water quality control plan (Basin Plan) requirements to maintain low turbidity during construction.
6 Additionally, exposure of covered fish species to any disturbed contaminated sediments will be
7 minimized by restrictions on in-water work that will be limited to between June 1 and October 31,
8 when the potential for many of the covered species to be present in the vicinity of construction will
9 be at a minimum. Although sturgeon are assumed to be potentially present year-round and
10 therefore could be affected by water quality, they are bottom feeders so will eat the toxins with or
11 without construction occurring; therefore, effects are considered low.

12 Regulatory requirements identified in Table H.4-1 and the avoidance and minimization measures
13 included in CM22 will minimize suspension of bottom sediments and restrict the construction
14 schedule so that construction activities do not coincide with the presence of sensitive or abundant
15 species/life stages; there is a low probability of negative effects on covered fish species from
16 disturbance of toxic contaminants in bottom sediments during construction.

17 **H.6.1.3.3 Spills**

18 Because the in-water construction periods for CM1 will be short-term (approximately 5 months) for
19 both the cofferdams at the intakes and the piles at the barge landings, and the in-water construction
20 equipment will be limited to barges and pile driving equipment, the potential for direct accidental
21 spills to the aquatic environment is short-term and will be for spills of very limited quantities. The
22 most likely types of accidental spills will be fuel, oil, and hydraulic fluids. These types of spills are
23 readily contained by booms, and all personnel will be trained to identify and rapidly respond to such
24 accidents, as further described in the following paragraph. There will be potential for spills in
25 upland areas or behind the cofferdam to flow into the aquatic system, but the probability of these
26 types of impacts is also low, given the spill prevention and response programs described below.

27 Implementation of the CM22 will reduce the potential for introduction of contaminants to surface
28 waters and provide for effective containment and cleanup should accidental spills occur. BMPs and
29 regulatory requirements, generally identified in CM22, that minimize potential effects of accidental
30 spills on covered species include those below.

- 31 ● Preparation and implementation of a stormwater pollution prevention plan (SWPPP) as
32 required by the conditions of an NPDES permit.
- 33 ● Preparation and implementation of a hazardous materials management plan before beginning
34 construction.
- 35 ● Preparation and implementation of a spill prevention and control plan.
- 36 ● Training to inform all field management and construction personnel of the need to protect
37 resources.

38 **H.6.1.4 Habitat Modification**

39 In-water construction will disturb on-bank channel habitat and in-river benthic and pelagic habitat
40 in the vicinity of the construction activities. These activities will include construction of cofferdams,

1 channel dredging, levee removal, bank protection removal and installation, and overwater
2 structures (barge landings).

3 The affected habitat associated with the intake facilities is currently armored levee bank with
4 limited riparian vegetation and of low value for species rearing. Cofferdams will be used to isolate
5 the entire work area from the wetted channel of the Sacramento River during construction of each
6 of the five intake facilities. At each of the intakes, between 2.9 and 5.1 acres of river area will be
7 temporarily isolated by the cofferdams during the entire construction period, for a total area of
8 about 22.6 acres (Table H.3-1).

9 The temporary channel habitat modification during construction will occur along the entire length
10 of the intakes on the bank of the Sacramento River to depths of approximately 10 feet. The
11 temporary habitat modifications are identified in Table H.6-4.

12 **Table H.6-4. Temporary Channel Habitat Modification (Miles)**

Intake	5–10 Feet Deep at a Distance of 12 Feet*	2.5 Feet Deep at a Distance of 5 Feet	<2.5 Feet Deep at a Distance of 5 Feet	Emergent Vegetation	Overhead Cover				Total Bank Line Affected*
					0%	1–5%	6–25%	26–75%	
Intake 1	0.3	0.2	0.2	0	0	0.2	0.2	0	0.3
Intake 2	0.4	0	0.4	0	0.2	0.2	0	0	0.4
Intake 3	0.3	0.3	0	0	0	0	0.4	0	0.3
Intake 4	0.2	0.2	0	0	0	0	0.2	0	0.2
Intake 5	0.2	0.2	0	0	0	0	0.2	0.05	0.2
Total	1.4	0.9	0.6	0	0.2	0.4	1.0	0.05	1.4

Units are miles.
Numbers may not add in the table because of rounding.
* The depth 12 feet from shore is always 5–10 feet; therefore, the total in the first column is the same as the final column.

13
14 Most of the bank habitat that will be permanently lost will be the armored levee bank, which will be
15 replaced by the inlet screen structures. Some riparian trees and shrubs that grow on the levee banks
16 will be lost, slightly reducing instream cover and shade and the contribution of leaves, small debris,
17 and insects falling into the river from overhanging vegetation. However, bank armoring and lack of
18 physical structure currently limit the quality of this kind of habitat. A total of about 3.9 miles of
19 riverbank will be permanently affected, and this permanent habitat modification is summarized in
20 Table H.6-5.

1 **Table H.6-5. Permanent Channel Habitat Modifications (Miles)**

Intakes	5–10 Feet Deep at a Distance of 12 Feet	2.5 Feet Deep at a Distance of 5 Feet	<2.5 Feet Deep at a Distance of 5 feet	Emergent Vegetation	Overhead Cover				Total Bank Line Affected
					0%	1–5%	6–25%	26–75%	
Intake 1	0.7	0.6	0.1	0	0	0.6	0.1	0	0.7
Intake 2	0.7	0	0.7	0	0.2	0	0.5	0	0.7
Intake 3	1.0	1.0	0	0	0	0	1.0	0	1.0
Intake 4	0.7	0.7	0	0	0	0	0.7	0	0.7
Intake 5	0.8	0.8	0	0	0	0	0.5	0.3	0.8
Total	3.9	3.1	0.8	0	0.2	0.6	2.8	0.3	3.9

Units are miles.
 Numbers may not add in the table due to rounding.
 * The depth 12 feet from shore is always 5-10 feet; therefore the total in the first column is the same as the final column.

2

3 **H.6.1.4.1 Potential Habitat Modification Effects on Covered Fish Species**

4 Habitat modification will result from direct impacts associated with the intake and barge landing in-
 5 water and on-bank construction. The loss or modification of habitat could result in species
 6 displacement. Loss or modification of spawning, rearing, and migrating habitat, as well as the loss or
 7 change of the benthic communities that covered species use as food sources are discussed below.

8 **Spawning Habitat**

9 Permanent loss of delta smelt and Sacramento splittail spawning habitat could occur as a result of
 10 the construction activities at the five intakes. There is no suitable spawning habitat in the vicinity of
 11 the proposed in-water work for any other covered species; therefore, no spawning habitat of other
 12 covered fish species is expected to be affected.

13 **Rearing Habitat**

14 Permanent loss of low-quality rearing habitat will occur where the existing riverbanks and
 15 streambeds will be replaced with permanent in-water structures. Construction and channel dredging
 16 will result in a permanent loss of approximately 3.9 miles of channel margin. All the juvenile covered
 17 fish will use this channel margin habitat. Constructing intake facilities will alter armored bank
 18 habitat and could affect white sturgeon rearing habitat.

19 **Migration Habitat**

20 Cofferdams will isolate the work areas, temporarily reducing the width of the Sacramento River
 21 available to fish for migration, although not enough to affect fish passage for any of the covered fish
 22 species. Additionally, construction of the intakes will result in a permanent loss of approximately
 23 3.9 miles of channel margin of salmon rearing and migration habitat⁸. Implementation of CM6 will
 24 enhance 20 miles of the Sacramento River, including the vicinity of the intake structures, and the
 25 CM6 will be designed to provide an overall improvement in channel margin habitat function.

⁸ Federally designated as critical habitat.

1 **Benthic Habitat**

2 Construction and channel dredging will temporarily disturb benthic habitat. Benthic organism
3 removal from dredging, and burying deposit feeders, suspension/deposit feeders, and suspension
4 feeders, will occur in portions of the dredged area. Removing these organisms through dredging or
5 disposal may cause short-term effects on fish species residing in the dredge area by limiting food
6 resources. In addition, barge operations have the potential to affect bottom sediments and benthic
7 habitat through propeller wash effects. This is most relevant in the vicinity of the barge landings and
8 in narrow channels where tugboats will be close to the channel bottom and have the potential to stir
9 up bottom sediments and submerged aquatic vegetation, potentially resulting in a temporary
10 disturbance of rearing habitat. Tugboat and barge speed in the narrow channels will be low enough
11 that vessel wakes are not expected to affect shoreline habitat.

12 Benthic substrate that is excavated contains macroinvertebrates that provide prey for covered fish
13 species. Covered fish species that consume benthic macroinvertebrates include white and green
14 sturgeon and Sacramento splittail. This could result in reduced growth of sturgeon and splittail. As
15 discussed above, only a very small area of total habitat will be affected initially and the work will be
16 conducted in stages. During the in-water construction period, between approximately 17 and
17 20 acres of in-water habitat will be affected because four intakes will be under construction at any
18 given time (Table H.3-1).

19 Because sturgeon and splittail are expected to be in low abundance in the construction areas and
20 there is other habitat in the immediate vicinity available for foraging, effects will be minor and
21 temporary. After dredging, there is potential for nonnative invertebrates to colonize the area before
22 native invertebrates. Invertebrates are dependent on site conditions (depth, substrate, salinity,
23 velocity) and if they are not changed drastically, there should be no change in invertebrate
24 populations. Invertebrates are expected to recolonize dredge locations within months; therefore,
25 potential long-term impacts on fish associated with these activities are expected to be small.
26 Moreover, the areas of dredging and deposition at any one time are small fractions of the total area
27 of the Sacramento River. Thus, the influx of organisms from the surrounding undisturbed areas can
28 be rapid.

29 **Cover Habitat**

30 In-water pilings and docks installed at barge landings will increase cover habitat that may be used
31 by predacious fish and contribute to additional predation on covered fish species, including juvenile
32 Chinook salmon. Implementation of CM15 will include removing specific predator hot spots,
33 targeted predator removal, and other focused methods to reduce predation on covered fish species.
34 Furthermore, once the construction of the intakes and tunnel is complete, the barge landings will be
35 removed and no longer provide cover habitat.

36 **H.6.1.5 Physical Injury or Loss**

37 **H.6.1.5.1 Entrapment and Handling Stress**

38 In-water work associated with facility construction may include the use of temporary barriers to
39 buffer pile driving sound and limit the extent of turbidity. Using these temporary barriers has the
40 potential to entrap fish. Where water depth is shallow, entrapped fish can be netted and removed
41 from within the enclosed in-water work areas. Fish removal could result in handling stress and
42 possibly in physical injuries incurred during capture and removal from the area. The risk of fish

1 entrapment and subsequent handling stress during removal will be minimized by limiting in-water
2 work to an approved time period (June 1 through October 31). However, because some use of the
3 affected portion of the Sacramento River and Delta sloughs by covered species continues all year,
4 there is the potential that some covered species could become trapped within temporary barriers.

5 Cofferdams will isolate the entire work area from the wetted channel during construction of the
6 inlet facilities. Although fish likely will avoid the noise and activity of sheet pile installation,
7 cofferdams and temporary silt curtains have the potential to entrap fish. The number of fish
8 potentially affected is unknown but could include a few hundred fish (total of all species) (Wones
9 2008a; Wones 2008b; Kelly et al. 2010), including some smaller numbers of juvenile Chinook
10 salmon. The risk of fish entrapment and subsequent handling stress during removal will be
11 minimized by limiting cofferdam construction and other in-water work to approved in-water work
12 windows (June 1 through October 31) when Chinook salmon presence in the construction area will
13 be at a minimum. However, because small numbers of juvenile Chinook salmon use the affected
14 portion of the Sacramento River year-round, there is potential that some juvenile Chinook salmon
15 could become trapped within temporary cofferdams when they are first installed.

16 Construction of conveyance facilities will intersect a large number of agricultural ditches and drains
17 and may require in-water construction at certain slough crossings. In addition, construction of barge
18 landings may require fish exclusion and removal from those areas to prevent injury from pile
19 driving or other in-water construction activity associated with these structures. While the exact
20 locations and methods of fish exclusions and removals are unknown, the in-water work associated
21 with conveyance structures has the potential to affect fish in the waterways that are accessible to
22 anadromous species. All of these effects could be minimized through development and
23 implementation of a fish exclusion and relocation plan in coordination with the California
24 Department of Fish and Game, the U.S. Fish and Wildlife Service, and NMFS.

25 **H.6.2 Conservation Measures 2, 4, 5, 6, and 7:** 26 **Restoration Measures**

27 **H.6.2.1 Presence of Fish Species during Construction**

28 The exact locations and timing of restoration construction activities are not known at this time, and
29 therefore potential effects on particular fish species and habitats cannot be determined. However,
30 Table H.3-1 describes the potential for species to occur in each ROA. Restoration will be designed to
31 avoid covered fish species and their habitat to the extent possible, including limiting construction
32 activities in specific areas to times when covered fish are not present.

33 **H.6.2.2 Water Quality**

34 **H.6.2.2.1 Erosion and Sedimentation**

35 Restoration construction activities, such as levee construction, levee breaching, placement of riprap,
36 dredging, and construction of dikes to maintain adjacent land uses could release sediments into
37 restored areas. Increased levee erosion can occur along channel banks downstream of tidal
38 breaches. Erosion also may result from the creation of new channels and altered drainage patterns.
39 An increased tidal prism could contribute to erosion in sloughs, point bar formation in creeks, and
40 sedimentation in channels. Increased erosion/sedimentation could disturb fish habitat temporarily

1 and potentially injure bottom-oriented fish such as sturgeon and splittail. However, given the permit
2 requirements to control erosion and sedimentation and the temporary nature of restoration
3 construction and maintenance activities, only minor and temporary increases in erosion and
4 sedimentation are anticipated, thus making it unlikely that such effects will occur.

5 **H.6.2.2.2 Turbidity**

6 High turbidity can affect fish by decreasing foraging success, increasing predation risk, causing
7 physical injury (e.g., clogging of gills), and reducing uptake of DO. Given the permit requirements to
8 control turbidity and the temporary nature of restoration construction and maintenance activities,
9 only minor and temporary increases in turbidity are anticipated, making it unlikely that such effects
10 will occur.

11 **H.6.2.2.3 Toxins**

12 Resuspension of toxins attached to sediments that are mobilized during dredging or levee repair
13 potentially could impair fish behavior, development, growth, survival, and/or reproduction.
14 Suspension of toxins into the water column is directly related to increased turbidity, which as
15 discussed above under CM1, is expected to be controlled.

16 **H.6.2.2.4 Accidental Spills**

17 Effects from accidental spills will be similar to those described for CM1. Given the types of
18 equipment used, any spills will be small, and any effects on fish species will be minor and temporary.

19 **H.6.2.3 Habitat Modification**

20 The realignment of Putah Creek under CM2 will permanently remove existing grassland, managed
21 wetlands, and cultivated lands. Although this habitat modification will be permanent, it is designed
22 to provide better habitat for covered fish species, including herbaceous riparian vegetation in the
23 upstream half of the realignment and freshwater tidal marsh in the downstream half of the
24 realignment. Therefore, the effects on covered fish species of construction activities related to the
25 realignment are expected to be minor and temporary.

26 Under CM4, construction of levees and breaching of levees to restore tidal flows could alter water
27 salinity. Levee breaching also could result in temporary changes in channel hydraulics and flow
28 velocities, depending on the size and location of the breach. Changes in salinity could temporarily
29 disrupt fish passage or displace fish.

30 Construction activities such as installation or removal of riprap may modify fish habitat temporarily
31 by increasing sediment deposition and disturbing or removing cover.

32 Dredging may have a number of temporary effects on habitat. Dredging may increase channel depth
33 and alter local hydraulics, temporarily impairing fish passage. Dredging may injure or kill benthic
34 invertebrates, temporarily reducing forage for benthic feeding fish (sturgeon, splittail) and the
35 quality of rearing habitat.

36 **H.6.2.4 Physical Injury or Loss of Individuals**

37 Dredging may disturb or remove bottom sediments, leading to physical injury or mortality of
38 individual fish. Dredging also may injure or kill lamprey ammocoetes in bottom sediments. Activities

1 such as placement and removal of riprap may increase sediment inputs and sediment deposition,
2 resulting in fish injury or mortality. Injury and mortality can be minimized, however, by timing
3 dredging and shoreline construction activities so that fish are uncommon or absent at the dredging
4 site.

5 CM4 will include some conversion of nonvegetated areas to vegetated areas, potentially increasing
6 the rate of mercury methylation, which can bioaccumulate through the foodweb to fish and humans.
7 The effects of methylmercury are uncertain but potentially significant.

8 **H.6.3 Other Conservation Measures**

9 Many of the construction stressors and effects associated with other conservation measures will be
10 similar to those described above for CM1 and restoration conservation measures (2, 4, 5, 6, and 7).
11 The following subsections present results by conservation measure.

12 **H.6.3.1 Conservation Measure 14**

13 CM14 construction activities include the construction of aeration facilities. Aeration facilities will be
14 built above the ordinary high water mark on upland habitat. Installation of aeration devices in the
15 channel may involve use of in-water equipment but is unlikely to involve impact or vibratory pile
16 driving. CM14 will require development of site-specific plans in consultation with the fish and
17 wildlife agencies. Aeration facility construction activities could occur at locations in the south Delta
18 that have yet to be determined. At this time, specific locations have not been identified for aeration
19 facilities. However, because the objective is to improve DO in the Stockton Deep Water Ship Channel,
20 sites will be in locations that are not frequently used as habitat because of low DO levels by the
21 covered species, particularly smelts, salmonids (Chinook salmon and steelhead), Sacramento
22 splittail, white and green sturgeon, and lampreys.

23 **H.6.3.1.1 Decrease in Water Quality**

24 Turbidity and suspension of potentially toxic sediments associated with in-water structure
25 construction will be similar to that described above for the north Delta intakes and restoration
26 conservation measures. Local temporary increases in turbidity likely will cause juvenile salmonids
27 to avoid the area during removal of structures and vessels and channel reconfiguration work.
28 Juvenile salmonids have been shown to avoid areas of high turbidity, possibly because of reduced
29 foraging ability.

30 **H.6.3.1.2 Habitat Modification**

31 Aeration facilities could modify nearshore bank habitat for covered species. Vegetation and tree
32 cover could be removed to construct aeration facilities. In-channel aeration structures could modify
33 habitat for covered species. However, the potential for adverse modifications to habitat used by
34 covered species will be evaluated in the planning and consultation process for each individual
35 location considered for habitat alterations in order to ensure that benefits of increased DO to
36 covered species outweigh potential habitat losses. Installation of aeration structures ultimately will
37 increase DO, which will benefit covered species that otherwise would be blocked from using habitat
38 with low water quality.

1 **H.6.3.2 Conservation Measure 15**

2 CM15 construction activities include removal of in-water structures and vessels and targeted fish
3 removal activities (targeted predator removal effects are described in Appendix F). Removal of in-
4 water structures likely will be achieved with barge-mounted cranes and equipment. Pilings and
5 docks may be floated off or placed on barges and moved by tugboats. CM15 will require
6 development of site-specific plans in consultation with the fish and wildlife agencies. This measure
7 will be used only in locations that have been identified as hot spots for predators. At this time,
8 specific locations have not been identified for structure removal, vessel removal, or modification of
9 channel geometry. However, because the objective is to reduce opportunities for predation, sites
10 will be in locations that are used frequently by the covered fish species, particularly smelts, juvenile
11 salmonids, and Sacramento splittail, as identified in Table H.2-2.

12 **H.6.3.2.1 Underwater Sound**

13 Removal of pilings or other underwater structures could involve use of vibratory methods. This
14 could generate sounds that could cause avoidance behavior among any fish present. However, as
15 discussed in Section H.5.1.1, *Underwater Noise and Vibration*, the sound levels will not approach the
16 peak or cumulative sound criteria or injure covered fish species. In addition, sound and vibration are
17 expected to be short-term and temporary; generally sound will be elevated for only a portion of a
18 day for a few days at any given site.

19 Although noise and activity will not cause acoustic injury, it does have the potential to result in
20 avoidance behavior among all fish species in the vicinity, including covered species.

21 Because of the low level of noise and activity, little to no direct injury to covered fish species is
22 anticipated. Local temporary increases in turbidity likely will cause fish to avoid the area during
23 removal of structures and vessels and channel reconfiguration work.

24 **H.6.3.2.2 Decrease in Water Quality**

25 Turbidity and suspension of potentially toxic sediments associated with pile removal and vessel
26 removal will be similar to that described above for the north Delta intakes and restoration
27 conservation measures and are summarized in Table H.4-1. Local temporary increases in turbidity
28 likely will cause juvenile salmonids, Sacramento splittail, adult smelt, adult and juvenile sturgeon,
29 and adult and juvenile lamprey to avoid the area during removal of structures and vessels and
30 channel reconfiguration work.

31 **H.6.3.2.3 Habitat Modification**

32 Habitat modifications to eliminate predator hiding locations will affect habitat for covered species.
33 However, the potential for adverse modifications to habitat used by covered species will be
34 evaluated in the planning and consultation process for each individual location considered for
35 habitat alterations in order to ensure that benefits of reduced predation to covered species outweigh
36 potential habitat losses. Placement of rock and other fill material potentially could bury benthic
37 fishes. However, virtually all fish will be able to avoid the disturbance area and avoid injury.

38 Removal of structures and derelict vessels ultimately will reduce habitat for predatory fish species,
39 which will benefit salmonids and smelt that otherwise are at risk of predation as they pass these

1 structures during migration and rearing. However, removal will have little effect on sturgeon or
2 lamprey, other than indirect benefits for sturgeon and lamprey that feed on salmonids.

3 Removal of structures and derelict vessels will reduce habitat for predatory fish species, which will
4 be expected to have little effect on sturgeon. Reduced predation on small fish in the Delta could
5 indirectly benefit adult sturgeon that may feed on these species.

6 Removal of structures and derelict vessels will reduce habitat for predatory fish species, which will
7 probably have little to no effect on lampreys. Reduced predation on salmonids in the Delta could
8 indirectly benefit adult lamprey that feed on these species.

9 **H.6.3.3 Conservation Measure 16**

10 CM16 proposes to install nonphysical barriers at important channel junctions between October and
11 June (or at times deemed appropriate by fishery agencies) to deter juvenile salmonids from
12 migrating down waterways that have the potential for relatively low survival. The main locations
13 that may be considered include the divergences of (1) Sacramento River and Georgiana Slough in
14 the North Delta subregion, and (2) San Joaquin River and Old River in the South Delta subregion (the
15 head of Old River). Additional locations in the South Delta subregion that may be considered for
16 nonphysical barriers include the divergences of San Joaquin River with Turner and Columbia Cuts,
17 and the entrances to the south Delta export facilities (Clifton Court Forebay and the Delta-Mendota
18 Canal intake). Should nonphysical barriers be installed to deter delta smelt and longfin smelt from
19 movement into the south Delta subregion, nonphysical barriers could be installed in the West Delta
20 subregion at Threemile Slough and the mouths of Old and Middle Rivers.

21 Section H.2 and Table H.2-2 describe species presence in the project area that may be affected by the
22 installation of nonphysical barriers.

23 **H.6.3.3.1 Underwater Sound**

24 The nonphysical barriers that have been tested in the Plan Area are temporary structures that are
25 installed for a limited period of time and then removed and stored off site. The deterrent
26 components of the barrier are mounted on frame segments that are attached to piles driven into the
27 riverbed. The barrier components, including piles, will be removed at the end of the Chinook salmon
28 migration season. Installation of nonphysical barriers under the Plan may be similar to this 'total-
29 removal' scenario or could include construction of permanent features (e.g., mounting structures on
30 the riverbed that allow the nonphysical barrier to be installed on an annual basis without the need
31 for annual pile driving). The discussion here generally assumes an installation and removal protocol
32 similar to that used at Georgiana Slough and the head of Old River (full removal).

33 The underwater sound resulting from installing and removing the nonphysical barriers could cause
34 additional avoidance behavior among any fish present. However, as discussed in Section H.5.1.1,
35 *Underwater Noise and Vibration*, the sound levels will not approach the peak or cumulative sound
36 criteria or injure covered fish species. In addition, sound and vibration are expected to be short-
37 term and temporary; generally, sound will be elevated for only a portion of a day for a few days at
38 any given site.

1 **H.6.3.3.2 Decrease in Water Quality**

2 Local temporary increases in turbidity likely will cause covered fish species to avoid the area during
3 removal of structures; however, this is expected to be short-term. CM22 will include minimization
4 measures to ensure the increased turbidity is controlled and does not result in adverse effects on
5 fish.

6 **H.6.3.3.3 Habitat Modification**

7 Impacts on the channel from installing or removing the nonphysical barriers will be minor;
8 therefore, habitat modification is not expected.

9 **H.6.3.4 Conservation Measure 21**

10 CM21 construction activities include removing or screening nonproject unscreened diversions. The
11 project area includes approximately 2,589 nonproject diversions, many of which redirect water to
12 nearby agricultural fields between April and August. The construction activities will involve
13 equipment and activities similar to those described for other conservation measures in previous
14 sections, such as the use of on-bank equipment to clear on-bank vegetation, dredging equipment to
15 remove sediment around existing diversion pipes, and in-water work to place screens over existing
16 diversion pipes. If existing smaller diversions are consolidated or a new diversion is constructed to
17 replace multiple smaller ones, a cofferdam may be required as described in Section H.4.1.3; this will
18 require vibratory pile driving and dewatering as described in CM1 and Section H.4.1.3. A dewatering
19 plan for the cofferdam area will be developed and will address where to pump the water entrapped
20 in the cofferdam. The dewatering plan will comply with federal Clean Water Act Section 401 and
21 other applicable permit conditions. Fish salvage could occur during the cofferdam dewatering.

22 The nonproject diversions are concentrated in the Cache Slough area. The distribution, status, and
23 biology of each covered species found in the area potentially can be affected by the remediation of
24 nonproject-related diversions. All of the covered species associated with the BDCP occur in the
25 Cache Slough area as identified by Table H.2-2.

26 **H.6.3.4.1 Underwater Sound**

27 The underwater sound generated by consolidation of smaller unscreened diversions will be similar
28 to that described above for CM16. Specifically, vibratory methods will be used to drive sheet piles
29 into place to support a cofferdam. The construction likely will take place over several days to weeks
30 in 8-hour work periods. The sound levels will not approach the peak or cumulative sound criteria or
31 injure covered fish species. In addition, sound and vibration are expected to be short-term and
32 temporary; generally sound will be elevated for only a portion of a day for a few days at a given site.

33 **H.6.3.4.2 Water Quality**

34 Construction-related activities will result in water quality impacts similar to those discussed for
35 other conservation measures, including temporary, localized increases in turbidity and the potential
36 for accidental spills. As previously discussed, permit requirements will result in a low probability of
37 effects on water quality.

1 **H.6.3.4.3 Habitat Modification**

2 Construction-related activities have the potential to temporarily or permanently alter habitat
3 conditions in the vicinity of nonproject diversions. During construction of the intake structures,
4 dredging will occur that could modify existing benthic habitat used by aquatic covered species as
5 food sources. However, the diversions are associated with habitat used by all covered fish species, so
6 habitat benefits potentially accrue to all species once construction is complete. The relative benefits
7 are likely to vary with respect to local abundance of each covered fish population, with larger
8 benefits to larval and juvenile life stages that have low swimming velocity and/or a propensity to
9 move with the flow vector.

10 **H.7 Maintenance-Related Effects**

11 Maintenance of the water conveyance facilities and restoration areas will result in potential
12 stressors to aquatic species, similar to those described above for construction, but the magnitude of
13 the effects will be less for maintenance activities. Therefore, these stressors are summarized below
14 (underwater sound, water quality, changes in habitat, and direct loss of individuals). Differences are
15 noted where they occur, and environmental commitments are included they relate to each stressor.

16 **H.7.1 Underwater Sound**

17 Maintenance of intake pumps may require the use of underwater divers, equipment, vessels, and
18 barges to assess or fix problems with the intake pumps. This equipment may cause underwater
19 sound. Maintenance of the restoration areas may include dredging equipment that could cause
20 underwater sound. Because the powertrains for the dredges will be out of the water, underwater
21 noise levels associated with these activities likely will be at 150 dB (RMS) or lower.

22 Noise levels produced by operations and maintenance activities are not expected to reach a level
23 that will harm juvenile or adult fishes. Because most maintenance activities are anticipated to occur
24 above water, the noise levels underwater will be much lower than those created in the air.

25 **H.7.2 Water Quality**

26 Increased turbidity could result from maintenance dredging, embankment maintenance, or other
27 maintenance activities like cleaning fish screens that require instream work.

28 Although dredging could be needed to maintain channels in restoration areas or to remove sediment
29 accumulation at the intakes, frequent maintenance dredging is not anticipated. Dredging operations
30 disturb bottom sediments, resulting in increased turbidity and potential suspension of toxin-
31 contaminated sediments in the water column where they can become more bioavailable to pelagic
32 species. The following maintenance may be needed during the operational phase of the project.

- 33 ● Suction dredging around intake structure using raft- or barge-mounted equipment and pumping
34 sediment to a landside spoil area.
- 35 ● Mechanical excavation around intake structures using track-mounted equipment and clamshell
36 dragline from the top deck after installing a floating turbidity control curtain.
- 37 ● Dewatering of intake/sedimentation basin/pumping plant bays to remove sediment buildup in
38 conduits and channels using small front end-loading equipment and manual labor.

1 The same requirements that are discussed in previous sections for other in-water construction work
2 apply to dredging (and other in-water maintenance activities). Requirements of the USACE
3 Section 404 permit and the Section 10 Water Quality Permit, along with Basin Plan requirements,
4 will maintain water quality during construction. Work will be limited to periods when species
5 abundance is low. Thus, effects on covered fish species will be minimal.

6 Effects of maintenance dredging will be similar to construction effects. Because turbidity and
7 suspension of toxins into the water column are directly related, the restrictions on increased
8 turbidity described above apply to toxins, and little increased exposure to covered fish species is
9 expected.

10 Contaminant spills can occur during maintenance activities of intake pumps or maintenance
11 dredging. Use of oil, gasoline, lubricants, or other fluids used for maintenance of intake pumps, fish
12 screens, or equipment such as boats and barges can enter the water directly or by seepage.
13 Protective spill prevention measures discussed in the construction section also apply to
14 maintenance activities and result in a very low risk of effects on covered fish species.

15 The potential effects of decrease in water quality will be similar to those described above for effects
16 associated with construction of facilities. However, they will be much shorter in duration and highly
17 isolated to the actual facility or specific restoration area being maintained.

18 **H.7.3 Habitat Modification**

19 Two maintenance activities, dredging and riprap placement, will change and possibly reduce habitat
20 values in the area around the intakes and levees. Although the areas around the intakes will already
21 be modified permanently from construction of the intakes, further modifications related to
22 maintenance, such as placement of additional riprap, could further deteriorate the quality of this
23 area for rearing and migration. Removal of sediment during dredging will decrease the number of
24 macroinvertebrates around the intakes. Similarly, placement of riprap at levee breach locations or
25 other areas where restoration or other conservation measures are constructed will result in adverse
26 effects on habitat. The magnitude of this effect will depend on the specific condition prior to
27 placement of riprap. Likewise, temporary effects of dredging, including reduction in benthic habitats
28 and organisms, will occur at the intakes or restoration areas.

29 **H.7.4 Physical Injury or Loss of Individuals**

30 Injury and loss of individual fish could occur during maintenance activities that use in-water
31 equipment such as boats, barges and dredging equipment.

32 Injury or loss of fish is most likely to occur during dredging activities around the new intakes.
33 Suction dredging, mechanical excavation, and possible front end-loading equipment can capture or
34 crush fish, causing injury or mortality. Some special-status fish species, such as green sturgeon, are
35 more likely to become entrained in the dredging equipment because they are benthic species.
36 Salmonids and other fish that use main channel areas and the upper water column are therefore less
37 likely to become injured or killed by dredging equipment.

1 **H.8 Conclusions**

2 Table H.8-1 summarizes conclusions about the potential effects on fish species and fish habitat of
3 construction and maintenance activities associated with CM1, CM2, CM4, CM5, CM6, CM7, CM14,
4 CM15, CM16, CM18, CM19, and CM21. These conclusions are preliminary because the exact
5 locations and timing of activities for most of these conservation measures have not yet been
6 determined. The significance of potential effects on fish species will depend primarily on the
7 presence of sensitive species and life stages relative to the timing of construction and maintenance
8 activities.

9

Administrative Draft

1
2
3

Table H.8-1. Construction and Maintenance Activities Associated with Conservation Measures and Potential Stressors and Effects on Fish and Fish Habitat

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat	Effect Summary (accounts for species presence)
Impact pile driving	1	Underwater noise	Disturbance of fish passage, fish displacement, and/or fish injury or loss	Low to moderate adverse effect
		Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
Toxins from sediments	Impairment of behavior, development, growth, and/or reproduction	No effect to low adverse effect		
Vibratory sheet pile driving or vibratory pile driving	1, 16	Underwater noise	Disturbance of fish passage and/or fish displacement	Low adverse effect
		Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
		Toxins from sediments	Impairment of behavior, development, growth, and/or reproduction	No effect to low adverse effect
		Increased erosion/sedimentation	Disturbance of rearing habitat	No effect to low adverse effect
Disturbance of benthic habitat	Decreased foraging success	No effect to low adverse effect		
Grading	2, 4, 5, 6, 7	Increased erosion/sedimentation	Impairment of spawning and/or rearing	No effect to low adverse effect
		Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
Channel dredging/excavation	4, 5, 15	Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
		Resuspension of toxins attached to sediments	Impairment of behavior, development, growth, and/or reproduction	No effect to low adverse effect
		Disturbance/removal of channel sediments	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
	Disturbance, injury, and/or mortality of fish			

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat	Effect Summary (accounts for species presence)
		Injury or loss of benthic invertebrates	Decreased forage for benthic feeding fish.	No effect to low adverse effect
Refueling, operating, and storing construction equipment and materials	1, 2, 4, 5, 6, 7, 14, 15, 16, 18, 19, 21	Accidental spills or runoff of toxins	Impairment of behavior, development, growth, and/or reproduction	No effect to low adverse effect
		Increased erosion/sedimentation	Impairment of spawning, rearing, and/or migration habitat	No effect to low adverse effect
		Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
Reduced DO				
Placement/removal of rip-rap or other bank protection		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
Levee breaching	4, 5	Changes in channel morphology and hydraulics	Disturbance of fish passage and/or fish displacement	No effect to low adverse effect
			Impairment of spawning, rearing, and/or migration habitat	
		Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Changes in flow velocities	Impairment of fish passage and/or fish displacement	No effect to low adverse effect
			Reduction in rearing habitat	
Construction of levees/embankments	4, 5	Removal/destruction of cover	Reduction in habitat quantity and/or quality	No effect to low adverse effect
		Changes in salinity	Disturbance of fish passage and/or fish displacement	No effect to low adverse effect
			Impairment of spawning, rearing, and/or migration habitat	

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat	Effect Summary (accounts for species presence)
Use of equipment in riparian areas	1, 2, 4, 6, 7	Changes in noise, light, from physical movements of people and equipment	Disturbance of fish passage and/or fish displacement	No effect to low adverse effect
Clearing, grubbing and/or demolition on riverbanks	1, 2, 4, 5, 6, 7, 14, 18, 19, 21	Increased turbidity	Decreased foraging success Increased predation risk Reduced DO	No effect to low adverse effect
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing areas	No effect to low adverse effect
		Reduced cover/shade		
		Reduced input to river of leaves, insects	Reduced rearing habitat quality	No effect to low adverse effect
Detour and levee reinforcement and setback levees	1	Bank disturbance	Reduced rearing habitat quality	No effect to low adverse effect
Installation of aeration facilities	21	Changes in channel morphology and hydraulics	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
Removal of in-water docks, vessels, or barriers	1, 15, 16	Channel disturbance	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Disturbance of benthic habitat	Decreased foraging success	No effect to low adverse effect
Construction of dikes to maintain adjacent land uses	2, 4, 5	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Increased turbidity	Decreased foraging success Increased predation risk	No effect to low adverse effect
			Reduced DO	
Installation of irrigation infrastructure and levees to control irrigation during vegetation establishment	2, 4	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Increased turbidity	Decreased foraging success Increased predation risk	No effect to low adverse effect
			Reduced DO	

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat	Effect Summary (accounts for species presence)
Maintenance				
Use of in-water equipment; water control structure maintenance or replacement; infrastructure maintenance	1, 14, 16, 18, 19, 21	Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
		Toxins (from sediments and spills)	Impairment of behavior, development, growth, survival, and/or reproduction	No effect to low adverse effect
		Channel disturbance	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect		
Dredging	1,4, 16	Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
		Contaminant resuspension	Impairment of growth, and/or reproduction	No effect to low adverse effect
		Disturbance and/or removal of channel sediments	Impairment of spawning and/or rearing habitat	No effect to low adverse effect
			Disturbance, injury, and/or mortality of fish	No effect to low adverse effect
Disturbance of benthic habitat	Decreased foraging success	No effect to low adverse effect		
Levee maintenance (e.g., grading, breach repair, and riprap replacement)	2, 4, 5, 6, and 7	Increased turbidity	Decreased foraging success	No effect to low adverse effect
			Increased predation risk	
			Reduced DO	
		Toxins (from sediments)	Impairment of growth and/or reproduction	No effect to low adverse effect
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
DO = dissolved oxygen.				

1

1 H.9 References

- 2 Adams, P. B., C. B. Grimes, J. E. Hightower, S. T. Lindley, and M. L. Moser. 2002. *Status Review for*
3 *North American Green Sturgeon (Acipenser medirostris)*. June. Santa Cruz, CA: National Marine
4 Fisheries Service, and Seattle, WA: National Marine Fisheries Service.
- 5 Adams, P. B., C. B. Grimes, J. E. Hightower, S. T. Lindley, M. L. Moser, and M. J. Parsley. 2007.
6 Population Status of North American Green Sturgeon (*Acipenser medirostris*). *Environmental*
7 *Biology of Fishes* 79:339–356.
- 8 Baerwald, M., V. Bien, F. Feyrer, and B. May. 2007. Microsatellite Analysis Reveals Two Genetically
9 Distinct Splittail (*Pogonichthys macrolepidotus*) Populations in the San Francisco Estuary.
10 *Conservation Genetics* 8:159–167.
- 11 Bash, J., C. Berman, and S. Bolton. 2001. *Effects of Turbidity and Suspended Solids on Salmonids*.
12 Seattle, WA. Available: <<http://www.wsdot.wa.gov/research/reports/fullreports/526.1.pdf>>.
13 Accessed: September 17, 2009.
- 14 Baxter, R. D. 1999a. Osmeridae. In J. Orsi (ed.), *Report on the 1980–1995 Fish, Shrimp and Crab*
15 *Sampling in the San Francisco Estuary, California*. Sacramento, CA: Interagency Ecological
16 Program for the Sacramento–San Joaquin Estuary.
- 17 Baxter, R. D. 1999b. Status of Splittail in California. *California Fish and Game* 85(1):28–30.
- 18 Baxter, R., R. Breuer, L. Brown, M. Chotkowski, F. Feyrer, M. Gingras, B. Herbold, A. Mueller-Solger,
19 M. Nobriga, T. Sommer, and K. Souza. 2008. *Pelagic Organism Decline Progress Report: 2007*
20 *Synthesis of Results*. January 15, 2008. Available:
21 <http://www.waterrights.ca.gov/baydelta/docs/pelagicorganism/pod_ieppodmt_2007synthesi
22 [s_011508.pdf](http://www.waterrights.ca.gov/baydelta/docs/pelagicorganism/pod_ieppodmt_2007synthesi_s_011508.pdf)>.
- 23 Beamish, R. J., and C. M. Neville. 1995. Pacific Salmon and Pacific Herring Mortalities in the Fraser
24 River Plume Caused by River Lamprey (*Lampetra ayresi*). *Canadian Journal of Fisheries and*
25 *Aquatic Sciences* 52(3):644–650.
- 26 Beamish, R. J., and J. H. Youson. 1987. Life History and Abundance of Young Adult Lampetra Ayresi in
27 the Fraser River and Their Possible Impact on Salmon and Herring Stocks in the Strait of
28 Georgia. *Canadian Journal of Fisheries and Aquatic Sciences* 44:525–537.
- 29 Bennett, W. A. 2005. Critical Assessment of the Delta Smelt Population in the San Francisco Estuary,
30 California. *San Francisco Estuary and Watershed Science* 3(2):1–71.
- 31 Bennett, W. A., W. J. Kimmerer, and J. R. Burau. 2002. Plasticity in Vertical Migration by Native and
32 Exotic Estuarine Fishes in a Dynamic Low–Salinity Zone. *Limnology and Oceanography* 47(5):
33 1496–1507.
- 34 Benoit, J., C. Gilmour, A. Heyes, R. P. Mason, and C. Miller. 2003. Geochemical and Biological Controls
35 over Methylmercury Production and Degradation in Aquatic Ecosystems, In Chai Y., and O. C.
36 Braids (eds.), *Biochemistry of Environmentally Important Trace Element*. Washington, DC:
37 American Chemical Society.

- 1 Beutel, M., and K. Abu-Saba. 2004. *Mercury Technical Memorandum – Final Draft*. Prepared for the
2 Members of the South Bay Salt Ponds Restoration Project Management Team. August. Available:
3 <http://www.southbayrestoration.org/pdf_files/Final%20BC%20Mercury%20Technical%20Memo%20Aug%204%202004.pdf>.
4
- 5 Bowen, M. D., and R. Bark. 2010. *2010 Effectiveness of a Non-Physical Fish Barrier at the Divergence of*
6 *the Old and San Joaquin Rivers (CA)*. Draft Technical Memorandum: 86-68290-10-07. September.
7 Prepared by U.S. Department of Interior, Bureau of Reclamation, Denver, CA.
- 8 Bowen, M. D., Hiebert, St., Hueth, C., and V. Maisonneuve. 2009 Effectiveness of a Non-Physical Fish
9 Barrier at the Divergence of the Old and San Joaquin Rivers (CA). Technical report 86-68290-09-
10 05. September 2009
- 11 Brown, L. R. 2003a. Will Tidal Wetland Restoration Enhance Populations of Native Fishes? *San*
12 *Francisco Estuary and Watershed Science* 1(1):1–43.
- 13 Brown, L. R. 2003b. A Summary of the San Francisco Tidal Wetlands Restoration Series. *San*
14 *Francisco Estuary and Watershed Science* 1(1):1–12.
- 15 Brown, L. R., and D. Michniuk. 2007. Littoral Fish Assemblages of the Alien-Dominated Sacramento–
16 San Joaquin Delta, California, 1980–1983 and 2001–2003. *Estuaries and Coasts* 30:186–200.
- 17 Brown, L. R., and P. B. Moyle. 1993. Distribution, Ecology, and Status of the Fishes of the San Joaquin
18 River Drainage, California. *California Fish and Game* 79(3):96–114.
- 19 California Department of Fish and Game 1995
- 20 California Department of Fish and Game unpublished data
- 21 California Department of Fish and Game. 1998. *A Status Review of the Spring-Run Chinook Salmon*
22 *(Oncorhynchus tshawytscha) in the Sacramento River Drainage*. Candidate Species Status Report
23 98-01. Prepared for Fish and Game Commission, Sacramento, CA.
- 24 California Department of Fish and Game. 2002. *California Department of Fish and Game Comments to*
25 *National Marine Fisheries Service Regarding Green Sturgeon Listing*. Prepared for National
26 Marine Fisheries Service, Washington, DC.
- 27 California Department of Fish and Game. 2007. *Results of Spring Kodiak Trawl Survey Sampling*.
28 Available: <<http://www.delta.dfg.ca.gov/data/skt/>>.
- 29 California Department of Fish and Game. 2009. *A Status Review of the Longfin Smelt (Spirinchus*
30 *thaleichthys) in California*. Prepared for California Fish and Game Commission, Sacramento, CA.
- 31 California Department of Transportation. 2009. *Technical Guidance for Assessment and Mitigation of*
32 *the Hydroacoustic Effects of Pile Driving on Fish*. Sacramento, CA. Available:
33 <http://www.dot.ca.gov/hq/env/bio/files/Guidance_Manual_2_09.pdf>.
- 34 California Department of Transportation. 2010. *Mad River Bridges Replacement Project Effects of Pile*
35 *Driving Sound on Juvenile Steelhead*. EA No. 296101. March. Prepared by ICF International,
36 Seattle, WA.
- 37 California Department of Water Resources. 2010. Release Site Predation Study. Technical Report.
38 Fishery Improvements Section Bay-Delta Office CA Department of Water Resources 1416 9th
39 Street Sacramento, CA 95814. May 2010

- 1 California Department of Water Resources 2011
- 2 Caywood, M. L. 1974. *Contributions to the Life History of the Splittail, (Pogonichthys macrolepidotus)*.
3 MS thesis. California State University, Sacramento, CA.
- 4 Choe, K. Y., G. A. Gill, R. D. Lehman, S. Han, W. A. Heim, and K. H. Coale. 2004. Sediment–water
5 Exchange of Total Mercury and Monomethyl Mercury in the San Francisco Bay Delta. *Limnology*
6 *and Oceanography* 49:1512–1527.
- 7 Clipperton, N., and D. Kratville. 2009. *Assessing the Potential Benefits of Tidal Marsh Restoration in*
8 *the Delta and Suisun*. Draft in Progress. November.
- 9 Conway, C. H., S. Squire, R. P. Mason, and A. R. Flegal. 2003. Mercury Speciation in the San Francisco
10 Bay Estuary. *Marine Chemistry* 80(2–3):199–225.
- 11 Crain, P. K., K. Whitener, and P. B. Moyle. 2004. Use of a Restored Central California Floodplain by
12 Larvae of Native and Alien Fishes. In F. Feyrer, L. R. Brown, R. L. Brown, and J. J. Orsi (eds.), *Early*
13 *Life History of Fishes in the San Francisco Estuary and Watershed*. Santa Cruz, CA: American
14 Fisheries Society.
- 15 Daniels, R. A., and P. B. Moyle. 1983. Life History of Splittail (*Cyprinidae: Pogonichthys*
16 *macrolepidotus*) in the Sacramento–San Joaquin Estuary. *Fishery Bulletin* 81(3):647–657.
- 17 Dege, M. and L.R. Brown. 2004. Effect of outflow on spring and summertime distribution and
18 abundance of larval and juvenile fishes in the upper San Francisco Estuary. *American Fisheries*
19 *Society Symposium* 39:49–66.
- 20 Feyrer and Baxter 1998
- 21 Feyrer, F., B. Herbold, S. A. Matern, and P.B. Moyle. 2003. Dietary Shifts in a Stressed Fish
22 Assemblage: Consequences of a Bivalve Invasion in the San Francisco Estuary. *Environmental*
23 *Biology of Fishes* 67(3):277–288.
- 24 Feyrer, F., T. Sommer, and R. D. Baxter. 2005. Spatial-Temporal Distribution and Habitat
25 Associations of Age-0 Splittail in the Lower San Francisco Watershed. *Copeia* 2005(1):159–168.
- 26 Feyrer, F., T. Sommer, and W. Harrell. 2006. Managing Floodplain Inundation for Native Fish:
27 Production Dynamics of Age-0 Splittail (*Pogonichthys macrolepidotus*) in California's Yolo
28 Bypass. *Hydrobiologia* 573:213–226.
- 29 Fisheries Hydroacoustic Working Group. 2008. *Agreement in Principle for Interim Criteria for Injury*
30 *to Fish from Pile Driving Activities*. Available:
31 <[http://www.wsdot.wa.gov/NR/rdonlyres/4019ED62-B403-489C-AF05-](http://www.wsdot.wa.gov/NR/rdonlyres/4019ED62-B403-489C-AF05-5F4713D663C9/0/BA_InterimCriteriaAgree.pdf)
32 [5F4713D663C9/0/BA_InterimCriteriaAgree.pdf](http://www.wsdot.wa.gov/NR/rdonlyres/4019ED62-B403-489C-AF05-5F4713D663C9/0/BA_InterimCriteriaAgree.pdf)>.
- 33 Gehrke, G. E., Blum, J. D., and M. Marvin-DiPasquale. 2011. Sources of Mercury to San Francisco Bay
34 Surface Sediment as Revealed by Mercury Stable Isotopes: *Geochimica et Cosmochimica Acta*
35 75(3):691–705.
- 36 Gregory, R. S., and T. G. Northcote. 1993. Surface, Planktonic, and Benthic Foraging by Juvenile
37 Chinook Salmon (*Oncorhynchus tshawytscha*) in Turbid Laboratory Conditions. *Canadian Journal*
38 *of Fisheries and Aquatic Sciences* 50:233–240.

- 1 Hallock, R. J. 1989. *Upper Sacramento River Steelhead (Oncorhynchus mykiss) 1952–1988*. Report.
2 September. Prepared for U.S. Fish and Wildlife Service, Washington, DC.
- 3 Hallock, R. J., W. F. Van Woert, and L. Shapovalov. 1961. An Evaluation of Stocking Hatchery Reared
4 Steelhead Rainbow (*Salmo gairdnerii gairdnerii*) in the Sacramento River System. *California*
5 *Department of Fish and Game Bulletin* No. 114.
- 6 HDR, Inc. 2011
- 7 Healey, M. C. 1983. Coastwide Distribution and Ocean Migration Patterns of Stream- and Ocean-Type
8 Chinook Salmon, (*Oncorhynchus tshawytscha*). *Canadian Field-Naturalist* 97:427–433.
- 9 Healey, M. C. 1991. Life History of Chinook Salmon (*Oncorhynchus tshawytscha*). In C. Groot, and L.
10 Margolis (eds.), *Pacific Salmon Life-Histories*. Vancouver, BC, Canada: UBC Press.
- 11 Heublein, J. C. 2006. *Migration of Green Sturgeon (Acipenser medirostris) in the Sacramento River*. MS
12 Thesis. San Francisco State University, San Francisco, CA.
- 13 Heublein, J. C., J. T. Kelly, and A. P. Klimley. 2006. *Spawning Migration and Habitat of Green Sturgeon*
14 (*Acipenser medirostris*) in the Sacramento River. October 23, 2006. Presentation at the CALFED
15 Science Conference, Sacramento, CA.
- 16 Hieb, K., and R. Baxter. 1993. Delta Outflow/San Francisco Bay. In P. L. Herrgesell (ed.), *1991 Annual*
17 *Report-Interagency Ecological Studies Program for the Sacramento–San Joaquin Estuary*.
18 Stockton, CA: California Department of Fish and Game.
- 19 Hobbs, J. A., W. A. Bennett, and J. E. Burton. 2006. Assessing Nursery Habitat Quality for Native
20 Smelts (*Osmeridae*) in the Low-Salinity Zone of the San Francisco Estuary. *Journal of Fish Biology*
21 69:907–922.
- 22 ICF International 2010
- 23 Israel and Klimley 2009
- 24 Jeffres, C. A., J. J. Opperman, and P. B. Moyle. 2008. Ephemeral Floodplain Habitats Provide Best
25 Growth Conditions for Juvenile Chinook Salmon in a California River. *Environmental Biology of*
26 *Fishes* 83:449–458.
- 27 Jones & Stokes Associates 2002
- 28 Kelly, J. T., A. P. Klimley, and C. E. Crocker. 2007. Movements of Green Sturgeon (*Acipenser*
29 *medirostris*) in the San Francisco Bay Estuary, California. *Environmental Biology of Fishes*
30 79:281–295.
- 31 Kelly, M., S. Stiff, and A. Wones. 2010. *Mad River Bridges Replacement Project, 2009 Biological*
32 *Monitoring Report (U.S. Highway 101, Humboldt County between Arcata and McKinleyville,*
33 *California, 01-HUM-101-PM 89.1/90.4, Township 6N, Range 1E, W ½ of Section 8)*. EA No. 296101.
34 February. Prepared for California Department of Transportation, Sacramento, CA.
- 35 Kimmerer, W. J. 2004. Open Water Processes of the San Francisco Estuary: From Physical Forcing to
36 Biological Responses. *San Francisco Estuary and Watershed Science* 2(1):1–142.
- 37 Kolhorst 1976

- 1 Kratville, D. 2008. *Sacramento–San Joaquin Delta Regional Ecosystem Restoration Implementation*
2 *Plan: Semi-Final Species Life History Conceptual Model, Sacramento Splittail (Pogonichthys*
3 *macrolepidotus)*. Peer review incomplete.
- 4 Lindley, S. T., R. Schick, A. Agrawal, M. Goslin, T. E. Pearson, E. Mora, J. J. Anderson, B. May, S. Greene,
5 C. Hanson, A. Low, D. McEwan, R. B. MacFarlane, C. Swanson, and J. G. Williams. 2006. Historical
6 Population Structure of Central Valley Steelhead and Its Alteration by Dams. *San Francisco*
7 *Estuary and Watershed Science* 4(2):1–19.
- 8 Martin, C. D., P. D. Gaines, and R. R. Johnson. 2001. *Estimating the Abundance of Sacramento River*
9 *Juvenile Winter Chinook Salmon with Comparisons to Adult Escapement*. Final Report, Report
10 Series: Volume 5. July. Prepared by U.S. Fish and Wildlife Service, Red Bluff, CA. Prepared for U.S.
11 Bureau of Reclamation, Red Bluff, CA.
- 12 Marvin-DiPasquale, M. C., J. L. Agee, R. M. Bouse, and B. E. Jaffe. 2003. Microbial Cycling of Mercury in
13 Contaminated Pelagic and Wetland Sediments of San Pablo Bay, California. *Environmental*
14 *Geology* 43(3):260–267.
- 15 Matern, S., P. B. Moyle, and L. C. Pierce. 2002. Native and Alien Fishes in a California Estuarine
16 Marsh: Twenty-One Years of Changing Assemblages. *Transactions of the American Fisheries*
17 *Society* 131: 797–816.
- 18 McEwan, D. R. 2001. Central Valley Steelhead. In R. Brown (ed.), *Contributions to the Biology of*
19 *Central Valley Salmonids*. Fish Bulletin No. 179. Sacramento, CA: California Department of Fish
20 and Game.
- 21 McEwan, D. R., and T. Jackson. 1996. *Steelhead Restoration and Management Plan for California*.
22 February. California Department of Fish and Game, Sacramento, CA.
- 23 McLain, J., and G. Castillo. 2010. Nearshore Areas Used by Fry Chinook Salmon (*Oncorhynchus*
24 *tshawytscha*) in the Northwestern Sacramento–San Joaquin Delta, California. *San Francisco*
25 *Estuary and Watershed Science* 7(2):1–12.
- 26 McReynolds, T. R., C. E. Garman, P. D. Ward., and M. C. Schommer. 2005. *Butte and Big Chico Creeks*
27 *Spring-Run Chinook Salmon, (Oncorhynchus tshawytscha) Life History Investigation, 2003–2004*.
28 Administrative Report No. 2005-1. California Department of Fish and Game, Inland Fisheries,
29 Sacramento, CA.
- 30 Meehan, W. R., and T. C. Bjornn. 1991. Salmonid Distribution and Life Histories. In W. R. Meehan
31 (ed.), *Influence of Forest and Rangeland Management on Salmonid Fishes and Their Habitats*.
32 Special Publication 19. Gethesda, MA: American Fisheries Society.
- 33 Meng, L., and S. A. Matern. 2001. Native and Introduced Larval Fishes of Suisun Marsh, California:
34 The Effects of Freshwater Flow. *Transactions of the American Fisheries Society* 130:750–765.
- 35 Morrow 1980
- 36 Moyle, P. B. 2002. *Inland Fishes of California, Revised and Expanded*. Berkeley, CA: University of
37 California.
- 38 Moyle, P. B., B. Herbold, D. E. Stevens, and L. W. Miller. 1992. Life History and Status of Delta Smelt in
39 the Sacramento–San Joaquin Estuary, California. *Transactions of the American Fisheries Society*
40 821:67–77.

- 1 Moyle, P. B., P. J. Foley, and R. M. Yoshiyama. 1992. *Status of Green Sturgeon (Acipenser medirostris)*
2 *in California*. Final Report. Prepared by UC Davis Department of Wildlife and Fisheries Biology,
3 Davis, CA. Prepared for National Marine Fisheries Service, Terminal Island, CA.
- 4 Moyle, P. B., R. D. Baxter, T. Sommer, T. C. Foin, and S. A. Matern. 2004. Biology and Population
5 Dynamics of the Sacramento Splittail (*Pogonichthys macrolepidotus*) in the San Francisco
6 Estuary: A Review. *San Francisco Estuary and Watershed Science* 2(2):1–47.
- 7 Moyle, P. B., R. M. Yoshiyama, J. E. Williams, and E. D. Wikramanayake. 1995. *Fish Species of Special*
8 *Concern in California*. Second Edition. Prepared for California Department of Fish and Game,
9 Rancho Cordova, CA.
- 10 Myers, J. M., R. G. Kope, G. J. Bryant, D. Teel, L. J. Lierheimer, T. C. Wainwright, W. S. Grant, F. W.
11 Waknitz, K. Neely, S. T. Lindley, and R. S. Waples. 1998. *Status Review of Chinook Salmon from*
12 *Washington, Idaho, Oregon, and California*. NOAA Technical Memorandum NMFS-NWFSC-35.
13 February. Prepared by National Marine Fisheries Service, Seattle, WA, Long Beach CA, Newport
14 OR, and Tiburon, CA.
- 15 NAIP 2010
- 16 Nakamoto, R. J., T. T. Kisanuki, and G. H. Goldsmith. 1995. *Age and Growth of Klamath River Green*
17 *Sturgeon (Acipenser medirostris)*. Project No. 93-FP-13. January. Prepared by U.S. Forest Service,
18 Arcata, CA, and U.S. Fish and Wildlife Service, Arcata, CA.
- 19 Nakano, S., and M. Murakami. 2001. Reciprocal Subsidies: Dynamic Interdependence between
20 Terrestrial and Aquatic Food Webs. *Proceedings of the National Academy of Sciences of the United*
21 *States of America* 98:166–170.
- 22 National Marine Fisheries Service. 2001. *Guidelines for Salmonid Passage at Stream Crossings*.
23 September. Long Beach, CA.
- 24 National Marine Fisheries Service. 2005. *Green Sturgeon (Acipenser medirostris) Status Review*
25 *Update*. February. Santa Cruz, CA.
- 26 National Marine Fisheries Service. 2009. *Spreadsheet to Estimate the Levels of Underwater Sound*
27 *Received by Fishes That Are Exposed to Elevated Levels of Underwater Sound Produced during Pile*
28 *Driving*. Available: <[http://www.wsdot.wa.gov/NR/rdonlyres/1C4DD9F8-681F-49DC-ACAF-](http://www.wsdot.wa.gov/NR/rdonlyres/1C4DD9F8-681F-49DC-ACAF-ABD307DAEAD2/0/BA_NMFSpileDrivCalcs.xls)
29 [ABD307DAEAD2/0/BA_NMFSpileDrivCalcs.xls](http://www.wsdot.wa.gov/NR/rdonlyres/1C4DD9F8-681F-49DC-ACAF-ABD307DAEAD2/0/BA_NMFSpileDrivCalcs.xls)>.
- 30 National Research Council. 2000. *Toxicological Effects of Methylmercury— Committee on the*
31 *Toxicological Effects of Methylmercury*. Washington, DC: National Academies Press.
- 32 Nobriga, M. L., T. R. Sommer, F. Feyrer, and K. Fleming. 2008. Long-Term Trends in Summertime
33 Habitat Suitability for Delta Smelt (*Hypomesus transpacificus*). *San Francisco Estuary and*
34 *Watershed Science* 6(1):1–13.
- 35 Nobriga, M., and B. Herbold. 2009. *Sacramento–San Joaquin Delta Regional Ecosystem Restoration*
36 *Implementation Plan: The Little Fish in California’s Water Supply: A Literature Review and Life-*
37 *History Conceptual Model for Delta Smelt (Hypomesus transpacificus) for the Delta Regional*
38 *Ecosystem Restoration and Implementation Plan (DRERIP)*. Prepared by California Department of
39 Fish and Game, Sacramento, CA, and U.S. Environmental Protection Agency, Washington, DC.

- 1 Nobriga, M., and P. Cadrett. 2003. Differences among Hatchery and Wild Steelhead: Evidence from
2 Delta Fish Monitoring Programs. *Interagency Ecological Program for the San Francisco Estuary*
3 *Newsletter* 14(3):30–38.
- 4 PSMFC 1996
- 5 Quinn, T. P. 2005. *The Behavior and Ecology of Pacific Salmon and Trout*. Seattle, WA: University of
6 Washington Press.
- 7 Renaud, C. B. 2008. Petromyzontidae, *Entosphenus tridentatus*: Southern Distribution Record, Isla
8 Clarión, Revillagigedo Archipelago, Mexico. *Check List* 4(1):82–85.
- 9 Reynolds, F. L., T. Mills, R. Benthin, and A. Low. 1993. *Central Valley Anadromous Fisheries and*
10 *Associated Riparian and Wetlands Areas Protection and Restoration Action Plan*. Draft. California
11 Department of Fish and Game, Inland Fisheries Division, Sacramento, CA.
- 12 Roffe, T. J., and B. R. Mate. 1984. Abundances and Feeding Habits of Pinnipeds in the Rogue River,
13 Oregon. *Journal of Wildlife Management* 48:1262–1274.
- 14 Rosenfield, J. A. 2010. *Sacramento–San Joaquin Delta Regional Ecosystem Restoration Implementation*
15 *Plan: Life History Conceptual Model and Sub-Models for Longfin Smelt, San Francisco Estuary*
16 *Population*. Prepared by Aquatic Restoration Consulting, Berkeley, CA.
- 17 Rosenfield, J. A., and R. D. Baxter. 2007. Population Dynamics and Distribution Patterns of Longfin
18 Smelt in the San Francisco Estuary. *Transactions of the American Fisheries Society* 136(6):1577–
19 1592.
- 20 Ruggerone, G. T., S. Goodman, and R. Miner. 2008. *Behavioral Response and Survival of Juvenile Coho*
21 *Salmon Exposed to Pile Driving Sounds*. July. Prepared by Natural Resources Consultants, Inc.,
22 Seattle, WA. Prepared for Port of Seattle, Seattle, WA.
- 23 Ruiz-Campos and Gonzalez-Guzman 1996
- 24 S.P. Cramer and Associates, Inc. 2000
- 25 S.P. Cramer and Associates, Inc. 2001
- 26 SAIC 2011
- 27 Schaffter 1980
- 28 Schaffter, R. 1997. White Sturgeon Spawning Migrations and Location of Spawning Habitat in the
29 Sacramento River, California. *California Department of Fish and Game* 83:1–20.
- 30 Shields 2011
- 31 Simenstad, C. A., K. L. Fresh, and E. O. Salo. 1982. The Role of Puget Sound and Washington Coastal
32 Estuaries in the Life History of Pacific Salmon: An Unappreciated Function. In V. S. Kennedy
33 (ed.), *Estuarine Comparisons*. New York, NY: Academic Press.
- 34 Simenstad, C., J. Toft, H. Higgins, J. Cordell, M. Orr, P. Williams, L. Grimaldo, Z. Hymanson, and D.
35 Reed. 2000. *Sacramento–San Joaquin Delta Breached Levee Wetland Study (BREACH)*.
36 Preliminary Report. Prepared by Wetland Ecosystem Team, University of Washington, School of
37 Fisheries, Seattle, WA.

- 1 Snider, B., and R. G. Titus. 2000. *Timing, Composition, and Abundance of Juvenile Anadromous*
2 *Salmonid Emigration in the Sacramento River near Knights Landing, October 1996–September*
3 *1997*. Technical Report No. 00-04. California Department of Fish and Game, Habitat
4 Conservation Division, Stream Evaluation Program, Sacramento, CA.
- 5 Sommer, T. R., B. Harrell, M. Nobriga, R. Brown, P. Moyle, W. Kimmerer, and L. Schemel. 2001.
6 California's Yolo Bypass: Evidence That Flood Control Can Be Compatible with Fisheries,
7 Wetlands, Wildlife, and Agriculture. *Fisheries* 26(8):6–16.
- 8 Sommer, T. R., F. Mejia, M. Nobriga, F. Feyrer, and L. Grimaldo. 2011. The Spawning Migration of
9 Delta Smelt in the Upper San Francisco Estuary. *San Francisco Estuary and Watershed Science*
10 9(2):1–16.
- 11 Sommer, T. R., L. Conrad, G. O'Leary, F. Feyrer, and W. Harrell. 2002. Spawning and Rearing of
12 Splittail in a Model Floodplain Wetland. *Transactions of the American Fisheries Society* 131:966–
13 974.
- 14 Sommer, T. R., M. L. Nobriga, W. C. Harrell, W. Batham, and W. J. Kimmerer. 2001. Floodplain Rearing
15 of Juvenile Chinook Salmon: Evidence of Enhanced Growth and Survival. *Canadian Journal of*
16 *Fisheries and Aquatic Sciences* 58:325–333.
- 17 Sommer, T. R., R. Baxter, and B. Herbold. 1997. Resilience of Splittail in the Sacramento–San Joaquin
18 Estuary. *Transactions of the American Fisheries Society* 126:961–976.
- 19 Sommer, T. R., R. D. Baxter, and F. Feyrer. 2007. Splittail “Delisting”: A Review of Recent Population
20 Trends and Restoration Activities. *American Fisheries Society Symposium* 53:25–38.
- 21 Sommer, T. R., W. C. Harrell, and M. L. Nobriga. 2005. Habitat Use and Stranding Risk of Juvenile
22 Chinook Salmon on a Seasonal Floodplain. *North American Journal of Fisheries Management*
23 25:1493–1504.
- 24 Sommer, T. R., W. C. Harrell, Z. Manteca, and F. Feyrer. 2008. Habitat Associations and Behavior of
25 Adult and Juvenile Splittail (*Cyprinidae: Pogonichthys macrolepidotus*) in a Managed Seasonal
26 Floodplain Wetland. *San Francisco Estuary and Watershed Science* 6(2):1–16.
- 27 Stevens, D. E., and L. W. Miller. 1970. Distribution of Sturgeon Larvae in the Sacramento–San Joaquin
28 River System. *California Department of Fish and Game* 56:80–86.
- 29 Streif, B. 2008. *Fact Sheet Pacific Lamprey (Lampetra tridentate)*. U.S. Fish and Wildlife Service,
30 Portland, OR. Available at:
31 <[http://www.fws.gov/oregonfwo/Species/Data/PacificLamprey/Documents/012808PL-](http://www.fws.gov/oregonfwo/Species/Data/PacificLamprey/Documents/012808PL-FactSheet.pdf)
32 [FactSheet.pdf](http://www.fws.gov/oregonfwo/Species/Data/PacificLamprey/Documents/012808PL-FactSheet.pdf)>.
- 33 Surface Water Resources, Inc. 2004. *Matrix of Life History and Habitat Requirements for Feather River*
34 *Fish Species, SP-F3.2 Task 2– White Sturgeon*. Oroville Facilities Relicensing FERC Project No.
35 2100. Sacramento, CA.
- 36 Swift, C. C., T. R. Haglund, M. Ruiz, and R. N. Fisher. 1993. The Status and Distribution of the
37 Freshwater Fishes of Southern California. *Bulletin Southern California Academy of Sciences*
38 92(3):101–167.
- 39 Trulio, L., D. Clark, S. Ritchie, A. Hutzler, and the Science Team. 2007. *South Bay Salt Pond Restoration*
40 *Project Adaptive Management Plan*. Appendix D to the Final Environmental Impact

- 1 Statement/Environmental Impact Report. Prepared for U.S. Fish and Wildlife Service,
2 Washington, DC, and California Department of Fish and Game, Sacramento, CA. Available:
3 <[http://www.southbayrestoration.org/pdf_files/SBSP_EIR_Final/Appendix%20D%20Final%20](http://www.southbayrestoration.org/pdf_files/SBSP_EIR_Final/Appendix%20D%20Final%20AMP.pdf)
4 [AMP.pdf](http://www.southbayrestoration.org/pdf_files/SBSP_EIR_Final/Appendix%20D%20Final%20AMP.pdf)>. U.S. Army Corps of Engineers. 1978. *Effects of Dredging and Disposal on Aquatic*
5 *Organisms*. Final Technical Report DS-78-5. Washington, DC.
- 6 U.S. Army Corps of Engineers 2007
- 7 U.S. Census Bureau 2010
- 8 U.S. Department of the Interior, Bureau of Reclamation, U.S. Fish and Wildlife Service, and California
9 Department of Fish and Game. 2011. *Suisun Marsh Habitat Management, Preservation, and*
10 *Restoration Plan*. Final Environmental Impact Statement/Environmental Impact Report, ICF No.
11 06888.06. November. Sacramento, CA. Prepared with assistance from: ICF International,
12 Sacramento, CA.
- 13 U.S. Fish and Wildlife Service unpublished data
- 14 U.S. Fish and Wildlife Service. 1996. *Sacramento–San Joaquin Delta Native Fishes Recovery Plan*.
15 Portland, OR.
- 16 U.S. Fish and Wildlife Service. 2001. *Abundance and Survival of Juvenile Chinook Salmon in the*
17 *Sacramento–San Joaquin Estuary: 1997 and 1998*. Annual Progress Reports. December. Stockton,
18 CA.
- 19 U.S. Fish and Wildlife Service. 2002. *Spawning Areas of Green Sturgeon (Acipenser medirostris) in the*
20 *Upper Sacramento River California*. Red Bluff, CA.
- 21 U.S. Fish and Wildlife Service. 2004. *Impacts of Riprapping to Aquatic Organisms and River*
22 *Functioning, Lower Sacramento River, California*. Second Edition. June. Sacramento, CA.
- 23 U.S. Fish and Wildlife Service 2006
- 24 U.S. Fish and Wildlife Service. 2008. *Fact Sheet Pacific Lamprey (Lampetra tridentate)*. Portland, OR.
25 Available: <http://www.fws.gov/wafwo/species/Fact%20sheets/Pacific_lamprey_final.pdf>.
- 26 Vladykov, V. D., and W. I. Follett. 1958. Redescription of *Lampetra ayersi* (Gunther) of Western North
27 America, A Species of Lamprey (Petromyzontidae) Distinct from *Lampetra fluviatilis* (Linnaeus)
28 of Europe. *Journal of the Fisheries Research Board of Canada* 15(1):47–77.
- 29 Wang, J. C. S. 1986. *Fishes of the Sacramento–San Joaquin Estuary and Adjacent Waters, California: A*
30 *Guide to the Early Life Histories*. Interagency Ecological Program Technical Report No. 9.
31 Prepared by National Environmental Sciences, Clayton, CA. Prepared for U.S. Department of the
32 Interior, Bureau of Reclamation, Mid-Pacific Region, Byron, CA.
- 33 Wang, J. C. S. 1991. *Early Life Stages and Early Life History of the Delta Smelt (Hypomesus*
34 *transpacificus) in the Sacramento–San Joaquin Estuary with Comparison of Early Life Stages of the*
35 *Longfin Smelt (Spirinchus thaleichthys)*. Technical Report 28, Interagency Ecological Studies
36 Program for the Sacramento–San Joaquin Estuary. Sacramento, CA.
- 37 Ward, P. D., T. R. McReynolds, and C. E. Garman. 2003. *Butte and Big Chico Creeks spring-run Chinook*
38 *salmon, Oncorhynchus tshawytscha life history investigation, 2001–2002*. Administrative Report.
39 California Department of Fish and Game, Inland Fisheries. Sacramento, CA.

- 1 Ward, P. D., T. R. McReynolds, and C. E. Garman. 2002. *Butte and Big Chico Creeks Spring-Run Chinook*
2 *Salmon (Oncorhynchus tshawytscha) Life History Investigation, 2000–2001*. Administrative
3 Report. California Department of Fish and Game, Inland Fisheries, Sacramento, CA.
- 4 Welch et al. 2006
- 5 Windham, L., J. S. Weis, and P. Weis. 2001. Patterns and Processes of Mercury Release from Leaves of
6 Two Dominant Salt Marsh Macrophytes (*Phragmites australis*) and (*Spartina alterniflora*).
7 *Estuaries* 24(6A):787–795.
- 8 Wones, A. 2008a. *Washington Department of Fish and Wildlife Scientific Collecting Permit Annual*
9 *Report Form: Big Hanaford Creek Site*. Permit Number 07-304A. Olympia, WA.
- 10 Wones, A. 2008b. *Washington Department of Fish and Wildlife Scientific Collecting Permit Annual*
11 *Report Form: Smith Island Mitigation Site*. Permit Number 07-304A. Olympia, WA.
- 12 Yoshiyama, R. M., F. W. Fisher, and P. B. Moyle. 1998. Historical Abundance and Decline of Chinook
13 Salmon in the Central Valley Region of California. *North American Journal of Fisheries*
14 *Management* 18:487–521.
- 15 Zedler, J. B. 2005. Ecological Restoration: Guidance from Theory. *San Francisco Estuary and*
16 *Watershed Science* 3(2):1–31.

17 H.9.1 Personal Communications

- 18 Ford, Tim. Biologist. Turlock and Modesto Irrigation Districts. February 16, 1994—fax and phone
19 call to Randall Baxter, California Department of Fish and Game. Documenting splittail catches in
20 the Tuolumne and lower San Joaquin Rivers 1986–1992.
- 21 Heyne, Tim. Biologist. California Department of Fish and Game. October 3, 2003—email to Randall
22 Baxter, California Department of Fish and Game. Documenting splittail counts from the
23 Tuolumne (RM 5) and Merced (RM 13) rotary screw traps 1999–2003.
- 24 Horvath, Mike. Fish and wildlife technician. California Department of Fish and Game. April 16,
25 1999—email to Randall Baxter, California Department of Fish and Game, describing the catch in
26 a screw trap of a gravid female splittail the previous day.
- 27 M. Stevenson pers. comm.
- 28 R. Tilstra pers. comm.

